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5. ELECTRONIC STRUCTURE OF ATOMS
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**8.1 Nature of electromagnetic radiation, the time dependent E and B fields**

8.2 Quantum theory of absorption/emission of radiation: Fermi's golden rule

8.3 Einstein's coefficients for stimulated absorption/emission and Lambert-Beer law

8.4 Selection rules and transition moments for electric dipole transitions

8.5 Molecular energy levels and states

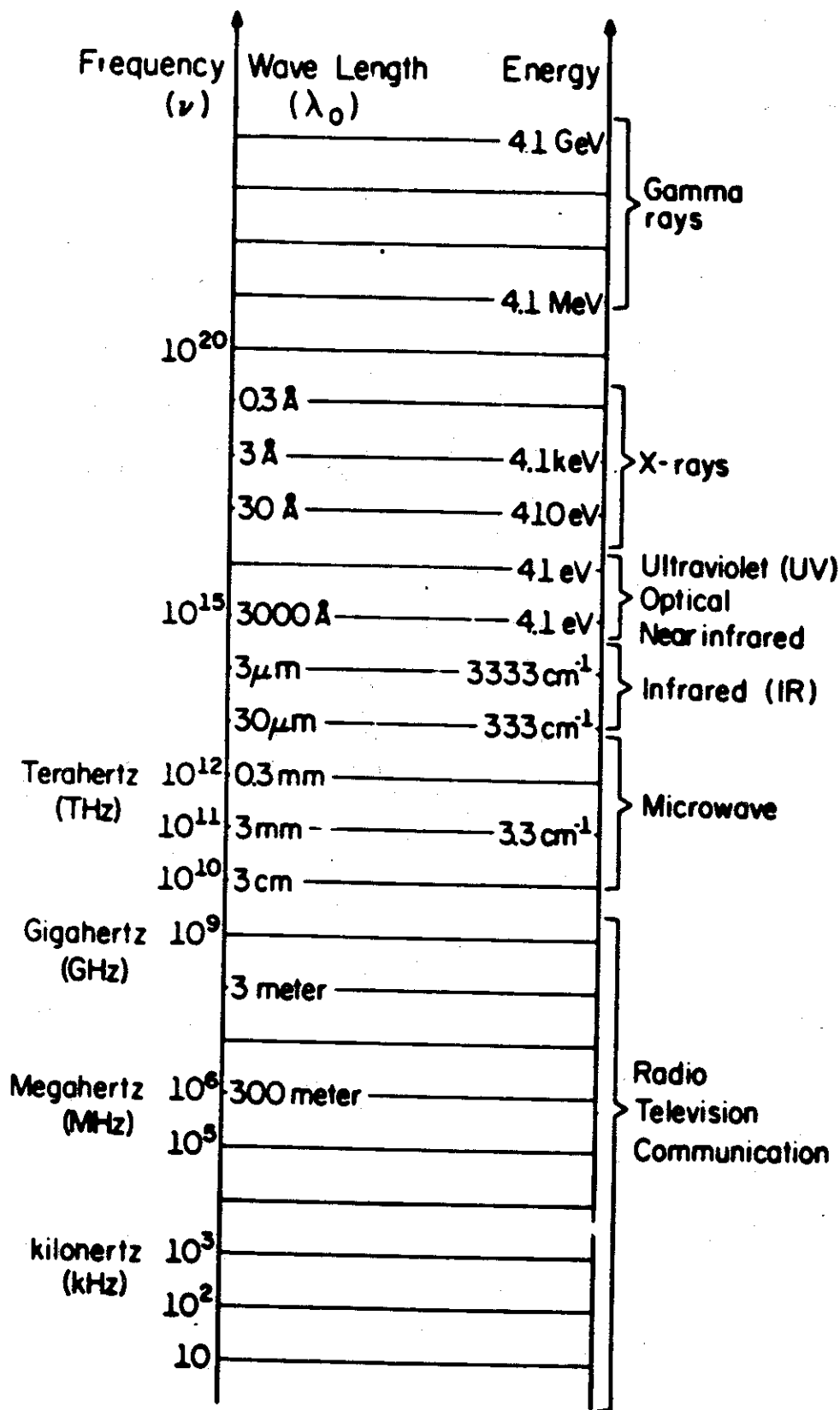
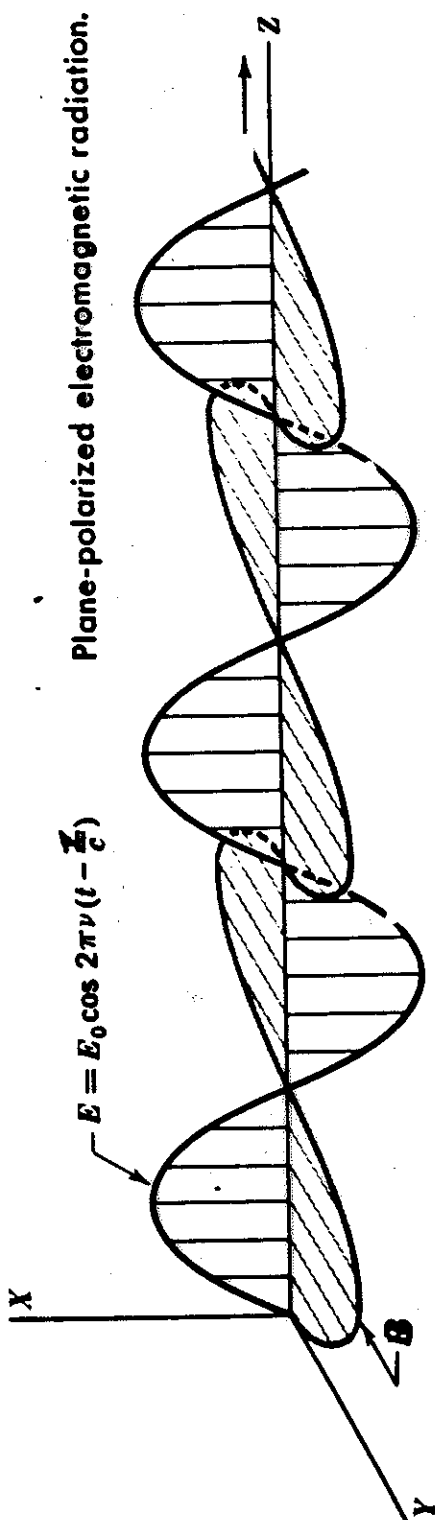
8.6 Transitions between different electronic states

8.7 Transitions within the same electronic state: vibration-rotation spectroscopy

8.8 Symmetry of states of polyatomic molecules

8.9 Vibration-rotation spectroscopy of polyatomics

Plane-polarized electromagnetic radiation.



$$c = \nu\lambda = 300 \times 10^8 \text{ m s}^{-1}$$

$$1 \text{ Å} = 10^{-8} \text{ cm}$$

$$1 \mu\text{m} = 10^{-4} \text{ cm} = 10^4 \text{ Å}$$

$$1 \text{ cm}^{-1} = 124 \times 10^4 \text{ eV}$$

The electromagnetic spectrum.

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# TIME-DEPENDENT PERTURBATION THEORY

Suppose we have a system such that for  $\mathcal{H}^{(0)}$  NOT EXPLICITLY dependent on time,

$\psi_n(t) = \phi_n \exp[-iE_n t/\hbar]$  are the known solutions:

$$\mathcal{H}^{(0)}\psi_n(t) = i\hbar(\partial/\partial t)\psi_n(t) \quad \text{and} \quad \mathcal{H}^{(0)}\phi_n = E_n\phi_n$$

Now consider another Hamiltonian

$$\mathcal{H} = \mathcal{H}^{(0)} + \mathcal{H}^{(1)}(t)$$

The solution to  $\mathcal{H}$  will be a linear combination of the complete set of  $\psi_n(t)$  solutions to  $\mathcal{H}^{(0)}$ ,

$$\mathcal{H}\Psi(t) = i\hbar(\partial/\partial t)\Psi(t) \quad \text{where}$$

$$\Psi(t) = a_1(t)\psi_1(t) + a_2(t)\psi_2(t) + a_3(t)\psi_3(t) + \dots$$

Let us illustrate with a two-level system:

$$\text{Subst. } \Psi(t) = a_1(t)\phi_1 \exp[-iE_1 t/\hbar] + a_2(t)\phi_2 \exp[-iE_2 t/\hbar]$$

in  $\mathcal{H}\Psi(t) = i\hbar(\partial/\partial t)\Psi(t)$ . The only terms left are

$$a_1(t)\mathcal{H}^{(1)}(t)\phi_1 \exp[-iE_1 t/\hbar] \\ + a_2(t)\mathcal{H}^{(1)}(t)\phi_2 \exp[-iE_2 t/\hbar] =$$

$$i\hbar(da_1(t)/dt)\phi_1 \exp[-iE_1 t/\hbar] + \\ i\hbar(da_2(t)/dt)\phi_2 \exp[-iE_2 t/\hbar]$$



Operate on both sides with  $\int \phi_1^* d\tau$ :

$$a_1(t)H_{11}^{(1)} \exp[-iE_1 t/\hbar] + a_2(t)H_{12}^{(1)} \exp[-iE_2 t/\hbar] = i\hbar(da_1(t)/dt) \exp[-iE_1 t/\hbar] \quad \&\&$$

where  $H_{12}^{(1)} = \int \phi_1^* \mathcal{H}^{(1)}(t) \phi_2 d\tau$

Rearrange eqn  $\&\&$ :

$$da_1(t)/dt =$$

$$(1/i\hbar) \{ a_1(t)H_{11}^{(1)} + a_2(t)H_{12}^{(1)} \exp[-i(E_2 - E_1)t/\hbar] \}$$

Assume that  $H_{11}^{(1)}(t) = 0$  at all times (i.e., no self-interaction).

$$\text{Then, } da_1(t)/dt = (a_2(t)/i\hbar) H_{12}^{(1)}(t) \exp[+i(E_1 - E_2)t/\hbar]$$

Similarly,

$$da_2(t)/dt = (a_1(t)/i\hbar) H_{21}^{(1)}(t) \exp[+i(E_2 - E_1)t/\hbar]$$

Generalize to more than 2 levels :

$$da_k(t)/dt = (1/i\hbar) \sum_n a_n(t) H_{kn}^{(1)}(t) \exp[+i(E_k - E_n)t/\hbar] \quad **$$

Let the system be in state  $i$ , initially ( $t=0$ ), then

$$a_i(0) = 1 \text{ and } a_{\text{all others}}(0) = 0$$

Time integration of eq \*\* from  $t=0$  to short time  $t_1$ ,

$$a_f(t_1) - a_f(0) = (1/i\hbar) \int_{t=0}^{t=t_1} a_i(t) H_{fi}^{(1)}(t) \exp[+i(E_f - E_i)t/\hbar] dt$$

Note that  $a_f(0) = 0$  and  $a_i(t_1) \approx 1$

$$a_f(t_1) = (1/i\hbar) \int_{t=0}^{t=t_1} H_{fi}^{(1)}(t) \exp[i(E_f - E_i)t/\hbar] dt$$

The time-varying perturbation  $\mathcal{H}^{(1)}(t)$  causes the system which was initially in state  $i$  to end up as a linear combination

$$\Psi(t) = a_1(t)\phi_1 \exp[-iE_1t/\hbar] + a_2(t)\phi_2 \exp[-iE_2t/\hbar] + \dots$$

where each of the coefficients  $a_f(t)$  can be found by

$$a_f(t) = (1/i\hbar) \int_{t=0}^t H_{fi}^{(1)}(t) \exp[i(E_f - E_i)t/\hbar] dt$$

Now apply this to  $\mathcal{H}^{(1)}(t) = -\mu \bullet \mathcal{E}(\exp^{+i\omega t} + \exp^{-i\omega t})$  for the electric field of the radiation of frequency  $\omega = 2\pi\nu$  interacting with all charges  $q$  (nuclei and electrons) of a molecule, and write  $E_f - E_i = \hbar\omega_{fi}$  to express energies in same way in:

$$a_f(t) = \mu_{fi} \bullet \mathcal{E} (1/i\hbar) \int_{t=0}^t dt (\exp^{+i\omega t} + \exp^{-i\omega t}) \exp^{i(E_f - E_i)t/\hbar}$$

$$a_f(t) = \frac{\mu_{fi} \bullet \mathcal{E}}{i\hbar} \left[ \frac{\exp^{+i(\omega_{fi} + \omega)t} - 1}{i(\omega_{fi} + \omega)} + \frac{\exp^{+i(\omega_{fi} - \omega)t} - 1}{i(\omega_{fi} - \omega)} \right]$$

note  $\uparrow\uparrow$  becomes very large  
when  $\omega_{fi} - \omega \approx 0$  (at resonance)

$$a_f(t) \approx \frac{\mu_{fi} \bullet \mathcal{E}}{\hbar} \left[ \frac{1 - \exp^{+i(\omega_{fi} - \omega)t}}{(\omega_{fi} - \omega)} \right]$$

Take the absolute square  $a_f^*(t) a_f(t)$ :

$$|a_f(t)|^2 \approx \frac{|\mu_{fi} \bullet \mathcal{E}|^2}{\hbar^2} \frac{[1 - \exp^{+i(\omega_{fi} - \omega)t}][1 - \exp^{-i(\omega_{fi} - \omega)t}]}{(\omega_{fi} - \omega)^2}$$

$$|a_f(t)|^2 \approx \frac{|\mu_{fi} \bullet \mathcal{E}|^2}{\hbar^2} \frac{[2 - 2\cos(\omega_{fi} - \omega)t]}{(\omega_{fi} - \omega)^2}$$

$$|a_f(t)|^2 \approx \frac{|\mu_{fi} \bullet \mathcal{E}|^2}{\hbar^2} \frac{[\sin^2_{1/2}(\omega_{fi} - \omega)t]}{1/4(\omega_{fi} - \omega)^2} \quad \text{now, use } \lim_{x \rightarrow 0} \frac{\sin^2 x}{x^2} = 1$$

$$|a_f(t)|^2 \approx \frac{|\mu_{fi} \bullet \mathcal{E}|^2}{\hbar^2} t^2 \quad \text{for } \omega_{fi} = \omega$$

At time  $t=0$ , system is in state  $\phi_i$ :

$$|a_i(0)|^2 = 1, \quad |a_f(0)|^2 = 0$$

At time  $t=t$  not too long after perturbation is turned on, the probability of finding the system (which was in state  $\phi_i$ ) in the state  $\phi_f$  is

$$|a_f(t)|^2 \approx \frac{|\mu_{fi} \bullet \mathcal{E}|^2}{\hbar^2} t^2 \quad \text{for } \omega_{fi} = \omega \quad \text{and} \quad |a_i(t)|^2 \approx 1 - \delta.$$

For the transitions into states that have energy that differ by  $\hbar\omega$  from the energy of the initial state, the transition probability per unit time from state  $i$  to states  $f$  is given by

$$W_{i \rightarrow f} \text{ per second} = 2\pi \left| \underline{\mu_{fi}} \cdot \underline{\epsilon} \right|^2 / \hbar \cdot \left\{ \text{density of final states per unit of energy} \right\}$$

“Fermi golden rule”

Irradiance  $I$  (time-averaged power per unit area) is related to the electric field amplitude  $\epsilon$  by  $I = \frac{1}{2} c \epsilon_0 \epsilon^2$  where  $\epsilon_0$  is the permittivity of free space, where  $I = \int c \rho(\omega) d\omega$  where  $\rho(\omega)$  is the energy density in the angular frequency interval between  $\omega$  and  $\omega + d\omega$  for a nearly monochromatic directional beam.

$$W_{i \rightarrow f} \text{ per second} = 2\pi \frac{\left| \underline{\mu_{xfi}} \right|^2}{\hbar^2} \rho(\omega_{if}, \epsilon_{0x})$$

where  $\rho(\omega_{if}, \epsilon_{0x})$  is the energy density at the frequency  $\omega_{if}$  for  $x$  polarized light that provides a range of frequencies with the same  $\epsilon_{0x}$  for each frequency.

For isotropic light,  $\rho(\omega_{if}, \epsilon_{0x})$  is the same for y and z and is equal to 1/3 of the total energy density so that, for isotropic light, the total transition rate is

$$W_{i \rightarrow f} \text{ per second} = \underbrace{(2\pi/3) \frac{|\underline{\mu}_{fi}|^2}{\hbar^2}}_B \rho(\omega_{if})$$

B is Einstein's COEFFICIENT  
of STIMULATED ABSORPTION ( $E_f > E_i$ )  
or STIMULATED EMISSION ( $E_f < E_i$ ),  
the same since we never stipulated which was greater.

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$-\boldsymbol{\mu} \cdot \boldsymbol{\mathcal{E}}(t)$  (electric dipole moment)  $\cdot$  (electric field vector)

$-\boldsymbol{\mu}_{\text{induced}} \cdot \boldsymbol{\mathcal{E}}(t)$       $\boldsymbol{\mu}_{\text{induced}} = \alpha \boldsymbol{\mathcal{E}}$       $\boldsymbol{\mathcal{E}}(t) = 2 \boldsymbol{\mathcal{E}}_0 \cos(2\pi\nu t)$

$-\boldsymbol{\mu}_{\text{spin}} \cdot \boldsymbol{\mathcal{B}}_1(t)$  (magnetic dip. mom.)  $\cdot$  (magnetic field vector)

For isotropic light, the total transition rate from initial state  $i$  to final state  $f$  is given by

$W_{i \rightarrow f}$	=	$B_{i \rightarrow f}$	$\rho(\nu_{if})$
transitions		Einstein's	radiation
per second		coefficient	density
		for	at frequency $\nu_{if}$
		stimulated	(total radiation
		absorption	energy
		or	per unit volume
		emission	per unit frequency)

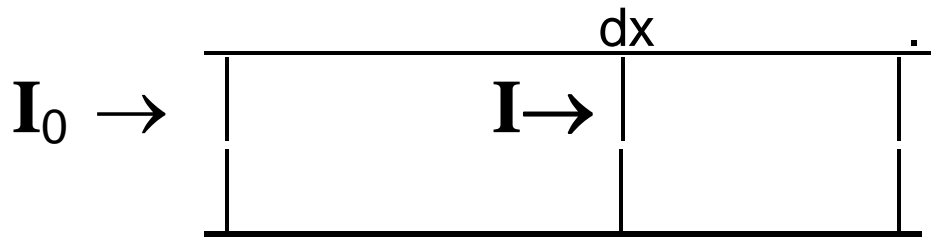
$$B_{i \rightarrow f} = \frac{2\pi}{3\hbar^2} \left\{ \int \Psi_i^* \boldsymbol{\mu} \Psi_f d\tau \right\}^2 \quad \text{for electric dipole transitions}$$

dipole moment operator

$$\mu_x = \sum_i e_i x_i \quad \mu_y = \sum_i e_i y_i$$

$$\boldsymbol{\mu} = \mu_x \mathbf{i} + \mu_y \mathbf{j} + \mu_z \mathbf{k}$$

$$\mu_z = \sum_i e_i z_i$$



Lambert-Beer law -  $d\mathbf{I} = \alpha_M(\nu) \mathbf{I} C dx$

concentration  $C \frac{\text{mol}}{\text{L}} = 10^3 \frac{\text{cm}^3}{\text{L}} \frac{\mathcal{N}_i}{N_{\text{Avo}}}$

radiation intensity  $\mathbf{I} = \frac{c}{n} \rho(\nu_{if})$

radiation energy  $n$

flowing through

a  $1 \text{ cm}^2$  cross sectional area in 1 second

molar extinction coefficient  $\alpha_M(\nu) \text{ L mol}^{-1} \text{ cm}^{-1}$

loss of intensity -  $d\mathbf{I} = \mathcal{N}_i B_{i \rightarrow f} \rho(\nu_{if}) dx h\nu_{if}$

through layer  $dx$  # photons energy

in 1 second with per second per

an energy absorption per unit photon

$h\nu_{if}$  for each transition volume absorbed

$$\mathcal{N}_i B_{i \rightarrow f} \rho(\nu_{if}) dx h\nu_{if} = \alpha_M(\nu_{if}) \frac{c}{n} \rho(\nu_{if}) 10^3 \frac{\mathcal{N}_i}{N_{\text{Avo}}} dx$$

$n \quad 1 \text{ sec} \quad N_{\text{Avo}}$

$$\therefore \alpha_M(\nu_{if}) = \frac{n N_{\text{Avo}}}{c 10^3} B_{i \rightarrow f} h\nu_{if} \text{ L mol}^{-1} \text{ cm}^{-1}$$



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When is the integral  $\int \psi_i^* \underset{\text{or } x \text{ or } y}{z} \psi_f d\tau$  NOT ZERO?

Atoms:  $z = r \cos \theta$

$$\int \psi_{nlm}^* r \cos \theta \psi_{n'l'm'} r^2 dr \sin \theta d\theta d\phi$$

LEADS to  $m = m'$  or  $\Delta m = 0$

$$l = l' \pm 1 \text{ or } \Delta l = \pm 1$$

$$\text{since } \int_0^{2\pi} e^{-im\phi} e^{im'\phi} d\phi = \delta_{mm'}$$

$$\text{and } \cos \theta Y_{lm} = \frac{l+|m|}{2l+1} Y_{l-1,m} + \frac{l-|m|+1}{2l+1} Y_{l+1,m}$$

$$x = r \sin \theta \cos \phi$$

$$y = r \sin \theta \sin \phi$$

$$\int \psi_{nlm}^* \underset{\text{or } r \sin \theta \sin \phi}{r \sin \theta \cos \phi} \psi_{n'l'm'} r^2 dr \sin \theta d\theta d\phi$$

LEADS to  $m = m' \pm 1$  or  $\Delta m = \pm 1$

$$l = l' \pm 1 \text{ or } \Delta l = \pm 1$$

$$\text{since } \cos \phi = \frac{e^{i\phi} + e^{-i\phi}}{2} \quad \sin \phi = \frac{e^{i\phi} - e^{-i\phi}}{2i}$$

$$\text{and } \sin \theta Y_{lm} = \frac{m}{l} Y_{l-1,m} + \frac{m+1}{l+1} Y_{l+1,m}$$

Also  $\Delta S = 0$  since no spin in  $H^0$

Molecules:

$$\Psi = \psi_{\text{elec}} \cdot \psi_{\text{vib}} \cdot \psi_{\text{rot}}$$

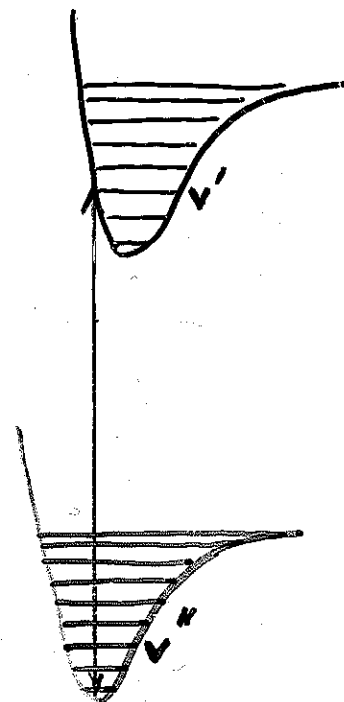
$$\vec{\mu} = \vec{\mu}_{\text{electrons}} + \vec{\mu}_{\text{nuclei}}$$

When is the integral

$$\int \Psi''^* \vec{\mu} \Psi' d\tau \text{ NOT ZERO?}$$

A) DIFFERENT ELECTRONIC STATES

$$\Delta S = 0 \quad (\text{no spin operator in } \vec{\mu})$$



# THE ELECTRIC DIPOLE MOMENT ORIENTATION IN THE LABORATORY FRAME:

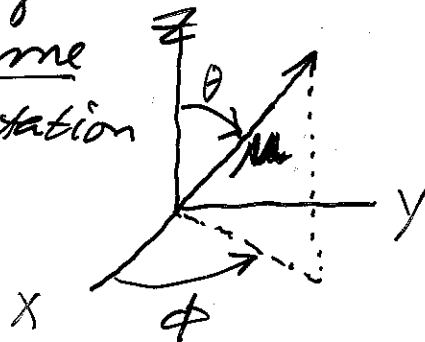
$$\mu = \mu_x \hat{i} + \mu_y \hat{j} + \mu_z \hat{k} \quad \text{in the LAB frame}$$

where  $\hat{i}$ ,  $\hat{j}$ ,  $\hat{k}$  are unit vectors along the laboratory x, y, and z axes.

$$\mu_x = \mu \sin\theta \cos\phi \quad \mu_y = \mu \sin\theta \sin\phi \quad \mu_z = \mu \cos\theta$$

where  $\theta$  and  $\phi$  are the angles of orientation relative to the laboratory frame

These are the angles of orientation in the molecular rotational wavefunctions



$$\psi_{JM}(\theta, \phi) \cdot \frac{1}{\sqrt{\pi}} e^{iM\phi}$$

for a diatomic molecule

DEPENDENCE ON BOND LENGTH:

$$\mu = \mu(R) = \mu(R_e) + \left( \frac{d\mu(R)}{dR} \right)_{R=R_e} (R-R_e) + \frac{1}{2!} \left( \frac{d^2\mu(R)}{dR^2} \right)_{R=R_e} (R-R_e)^2 + \dots$$

ELECTRONIC AND NUCLEAR CONTRIBUTIONS:

$$\mu = \mu_{elec} + \mu_{nuclear}$$

↑  
in the molecular frame  
all electrons

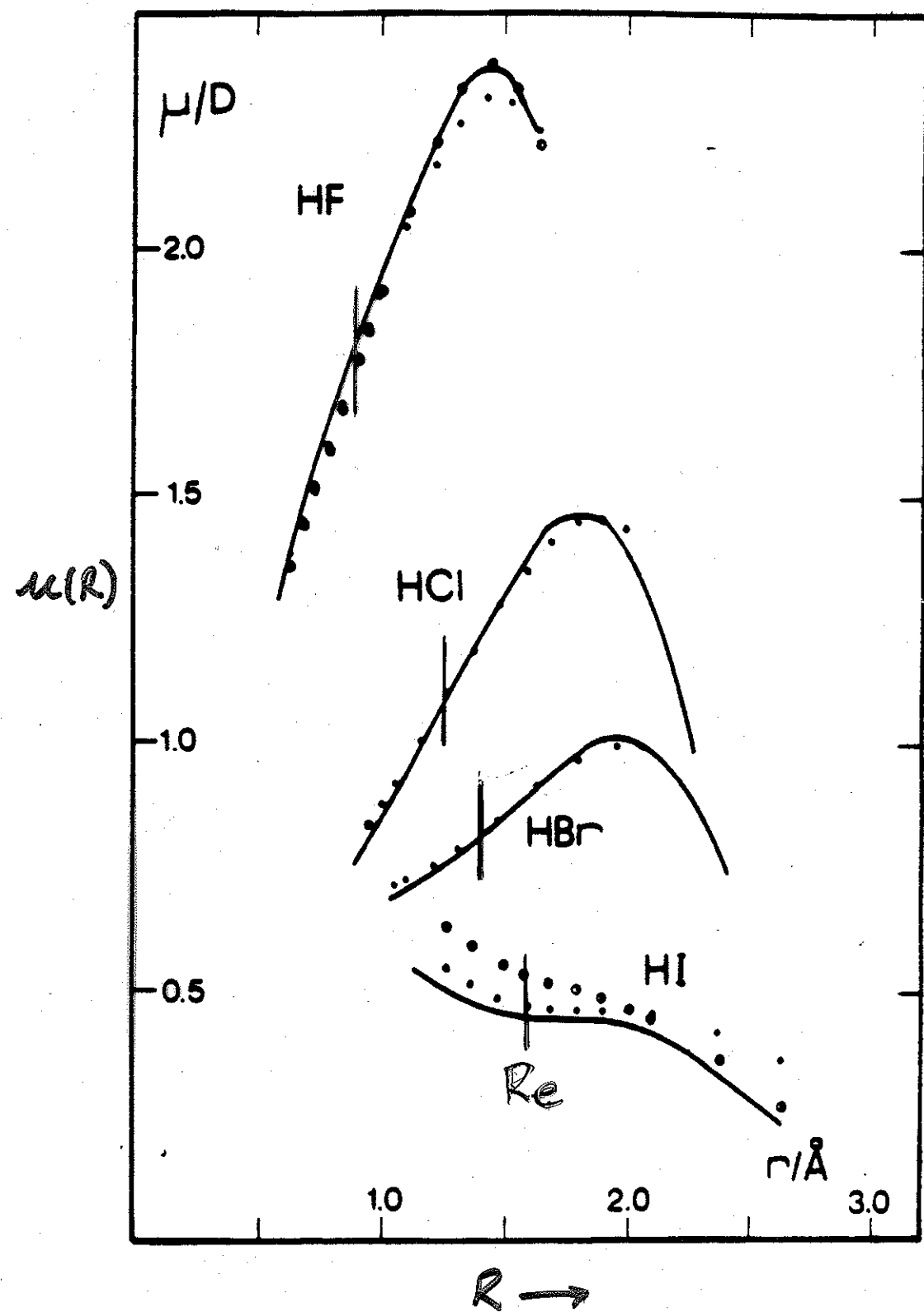
$$\mu_{elec} = \sum_i e x_i$$

↑  
electronic coordinates  
in the molecular  
frame

• rotation involves 3 angles

POLYATOMIC MOLECULES: •  $\mu = \mu(Q_1, Q_2, \dots) =$

$$\mu(\text{at equil}) + \sum_i \left( \frac{d\mu}{dQ_i} \right)_{\text{equil}} Q_i + \sum_{i,j} \frac{1}{2!} \frac{\partial^2 \mu}{\partial Q_i \partial Q_j} Q_i Q_j + \dots$$



Ab initio points  
 are from  
 Werner, Reinisch,  
 Rosmus  
 Chem Phys Lett.  
 72, 311 (1981)

# THE ELECTRIC DIPOLE TRANSITION MOMENT:

$$\langle i | \mu | f \rangle = \int \psi_e''^* \mu_{elec} \psi_e' d\tau_e \cdot \int \psi_v''^* \psi_{rot}'' \psi_v' \psi_{rot}' d\tau_n$$

$$+ \underbrace{\int \psi_e''^* \psi_e' d\tau_e \cdot \int \psi_v''^* \psi_{rot}'' \mu_n \psi_v' \psi_{rot}' d\tau_n}_{\text{ZERO for different electronic states ORTHOGONAL}}$$

$\mu_{elec}$  belongs to symmetry species of  $x$  or  $y$  or  $z$  itself

$\Gamma_e'' \otimes \Gamma_x \otimes \Gamma_e'$  must contain  $A_1$  or  $A_g$  or  $A_{1g}$  or  $A_1$ ,  
totally symmetric IRREP

That is, if  $\Gamma_e''$  is totally symmetric (as most ground states are) then, can only observe electronic transitions to excited states for which  $\Gamma_e'$  is the same as  $\Gamma_x$  or  $\Gamma_y$  or  $\Gamma_z$ .

Furthermore, most intense transitions in this case are those for which  $\int \psi_v''^* \psi_v' d\tau_{vib}$  is large.   
 (the OVERLAP OF VIBR. FUNCS.)

For a diatomic molecule: "Franck-Condon factor"

Rotational transitions accompanying these are R branch  
subject to the selection rules  $\Delta M_J = 0, \pm 1$  and  $\Delta J = \pm 1$   
since the electric dipole moment in the LABORATORY P branch  
frame has components

$\mu_x = \mu \sin \theta \cos \phi$   $\mu_y = \mu \sin \theta \sin \phi$  and  $\mu_z = \mu \cos \theta$   
and the rotation functions  $\psi_{rot}(J, M_J)$  and  $\psi_{rot}(J', M_J')$   
are spherical harmonics  $\psi_{JM}(\theta, \phi) = \frac{1}{\sqrt{2\pi}} e^{iM_J \phi}$

where  $\theta$  and  $\phi$  are the angles of orientation relative to the LAB frame.

IN OTHER WORDS the integrals for ROTATIONAL TRANSITIONS are the same ones as for ELECTRONIC TRANSITIONS in ATOMS !!

# B) THE SAME ELECTRONIC STATE Diatomic Molecule

$$\vec{\mu} = \mu_x \hat{x} + \mu_y \hat{y} + \mu_z \hat{z}$$

$$\mu_x = \mu(R) \sin\theta \cos\phi$$

$$\mu_y = \mu(R) \sin\theta \sin\phi$$

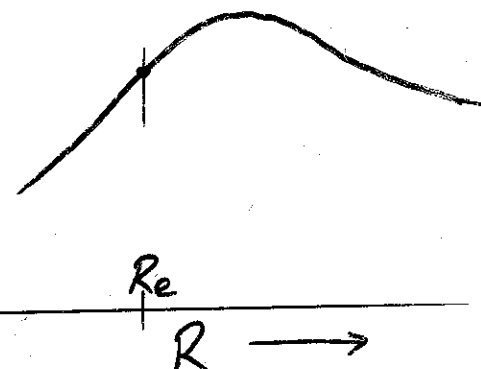
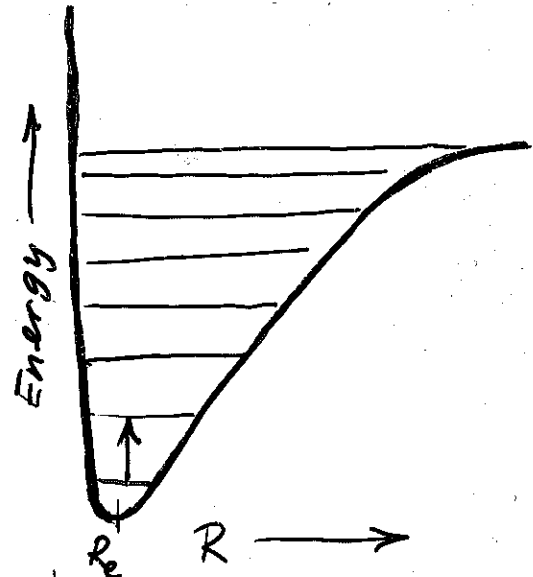
$$\mu_z = \mu(R) \cos\theta$$

$$\mu(R) = \mu(R_e) + \left( \frac{d\mu(R)}{dR} \right)_{R=R_e} (R - R_e) + \dots$$

When is the integral

$$\int \Psi''^* \vec{\mu} \Psi' d\tau \quad \text{NOT ZERO?}$$

$\mu(R)$



$$= \mu(R_e) \int \Psi_{v''}^* \Psi_{v'} d\tau_{vib} \cdot \int Y_{J''M''}^*(\theta, \phi) \begin{pmatrix} \sin\theta \cos\phi \\ \text{or} \\ \sin\theta \sin\phi \\ \text{or} \\ \cos\theta \end{pmatrix} Y_{J'M'}(\theta, \phi) d\tau$$

$$+ \left( \frac{d\mu(R)}{dR} \right)_{R=R_e} \int \Psi_{v''}^* (R - R_e) \Psi_{v'} d\tau_{vib} \cdot \int Y_{J''M''}^*(\theta, \phi) \begin{pmatrix} \sin\theta \cos\phi \\ \text{or} \\ \sin\theta \sin\phi \\ \text{or} \\ \cos\theta \end{pmatrix} Y_{J'M'}(\theta, \phi) d\tau$$

+...

## A) THE SAME VIBRATIONAL STATE (MICROWAVE SPECTRUM)

that  $v'' = v'$

First term:  $\frac{1}{\text{NORMALIZATION INTEGRAL FOR } \Psi_{vib}} \mu(R_e) \int Y_{J''M''}^*(\theta, \phi) \begin{pmatrix} \sin\theta \cos\phi \\ \text{or} \\ \sin\theta \sin\phi \\ \text{or} \\ \cos\theta \end{pmatrix} Y_{J'M'}(\theta, \phi) d\tau$

NO MICROWAVE SPECTRUM  
AT ALL UNLESS MOLECULE  
HAS A PERMANENT ELECTRIC  
DIPOLE MOMENT

$H_2, N_2, Cl_2$ , etc. have no microwave spectrum

Second and all other following terms:

Diatomic molecule  $\left( \frac{d\mu}{dR} \right) = 0$  also for  $H_2, N_2, Cl_2, \dots$  homonuclear diatomics

$$\Delta J = \pm 1$$

$$\Delta M_J = 0, \pm 1$$

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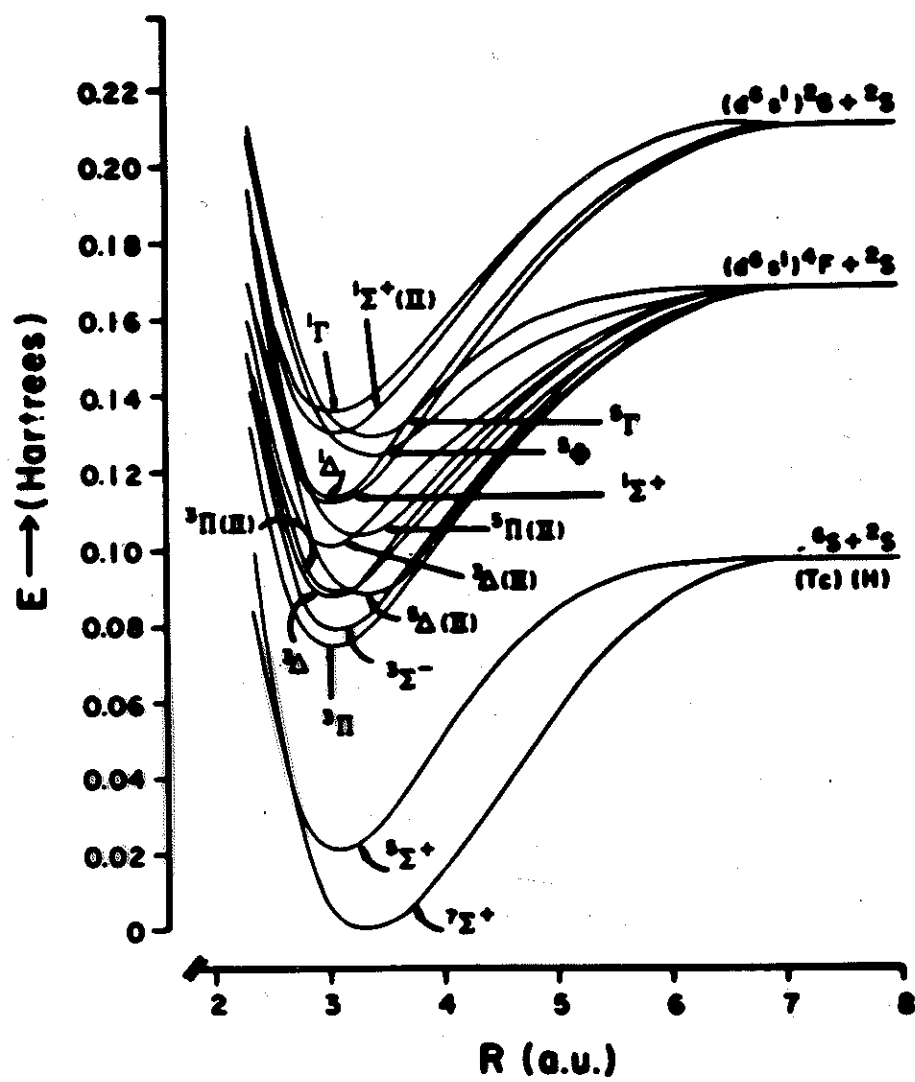
8.6 Transitions between different electronic states

8.7 Transitions within the same electronic state: vibration-rotation spectroscopy

8.8 Symmetry of states of polyatomic molecules

8.9 Vibration-rotation spectroscopy of polyatomics

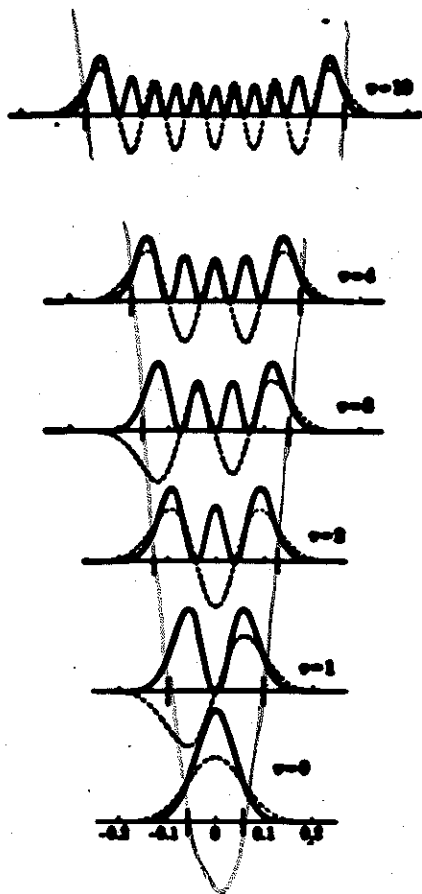
WANG AND BALASUBRAMANIAN





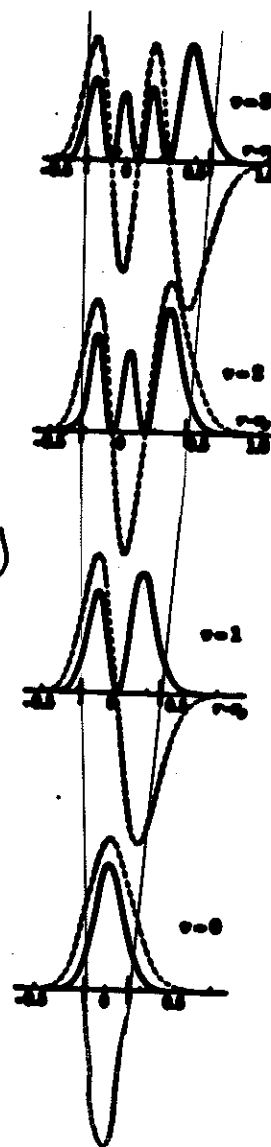
# VIBRATIONAL WAVEFUNCTIONS for a HARMONIC OSCILLATOR

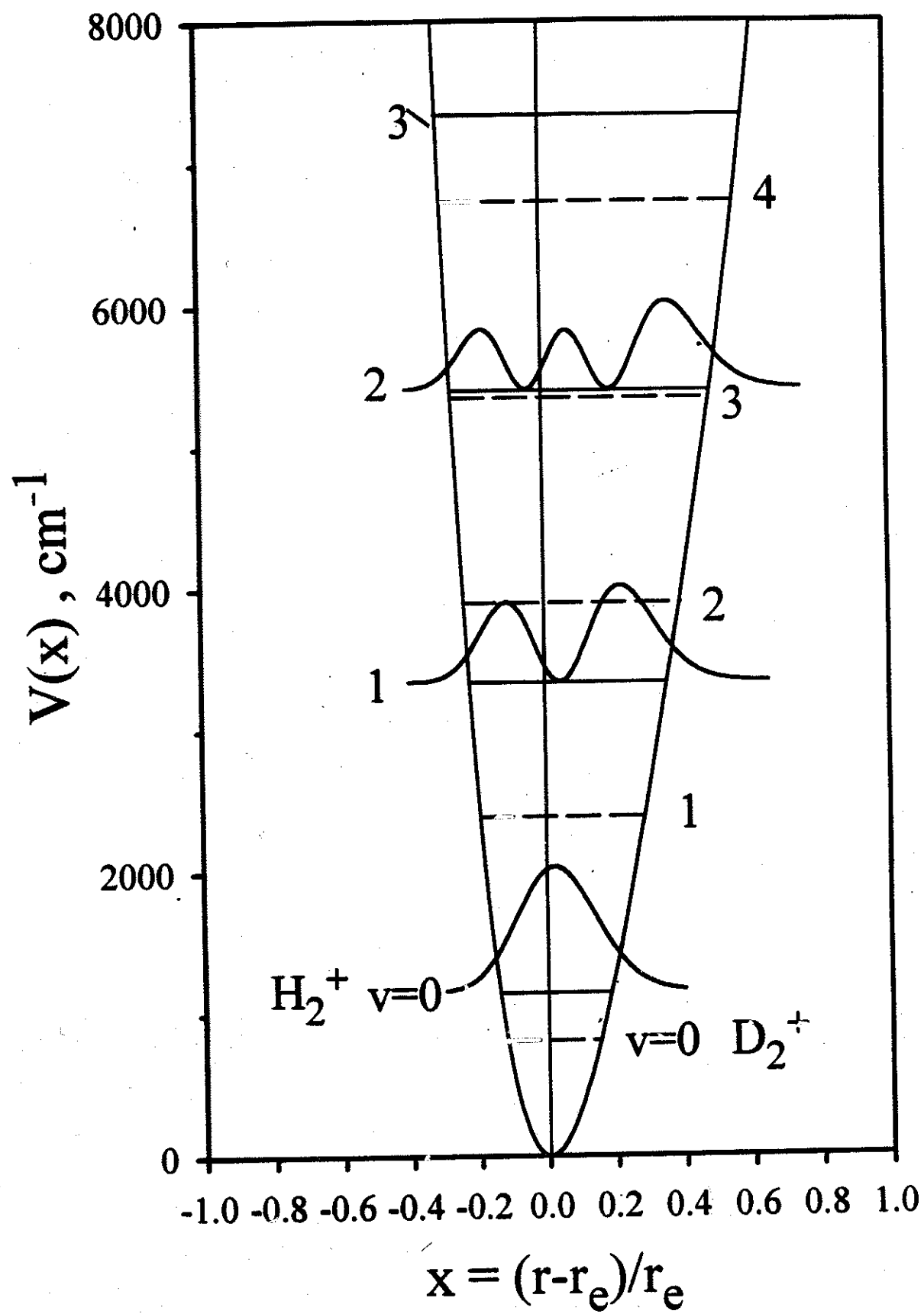
$\psi_v(R)$



# VIBRATIONAL WAVEFUNCTIONS for a DIATOMIC MOLECULE

$\psi_v(R)$





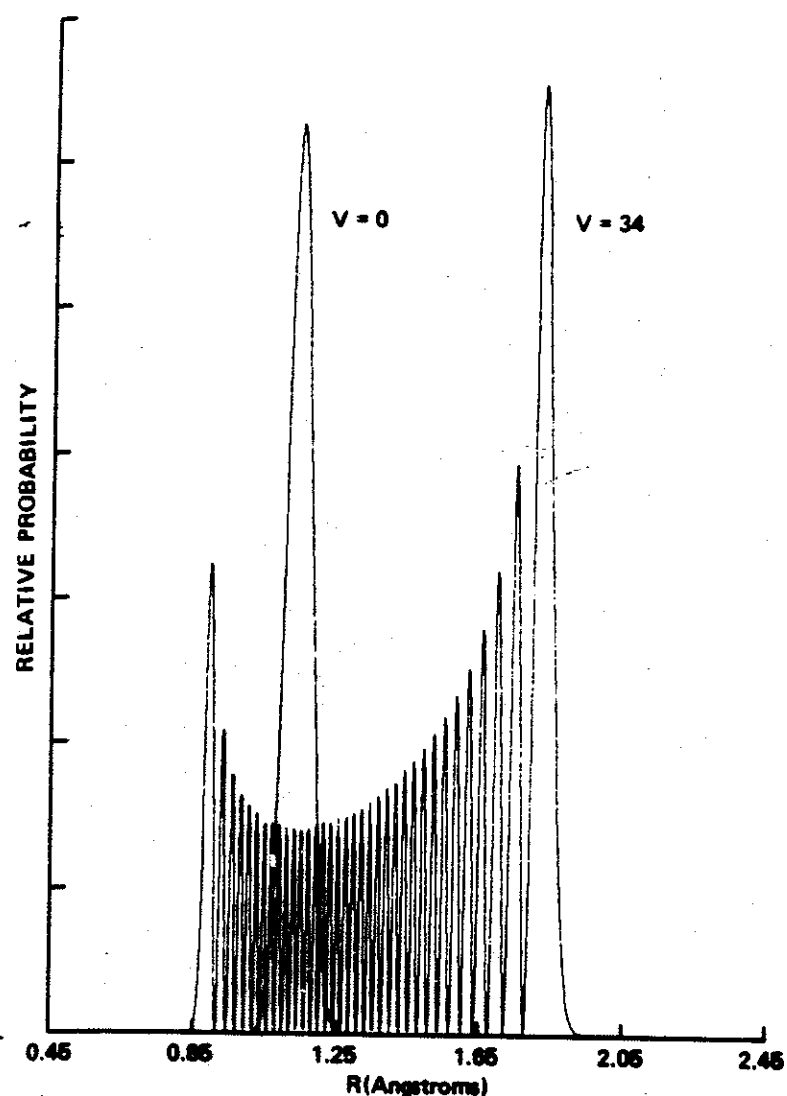


FIG. 1. Probability amplitude for nuclear position in the  $V = 0$  and  $V = 34$  vibrational states. For proper relative scaling multiply the vertical component of the  $V = 0$  graph by 2.5.

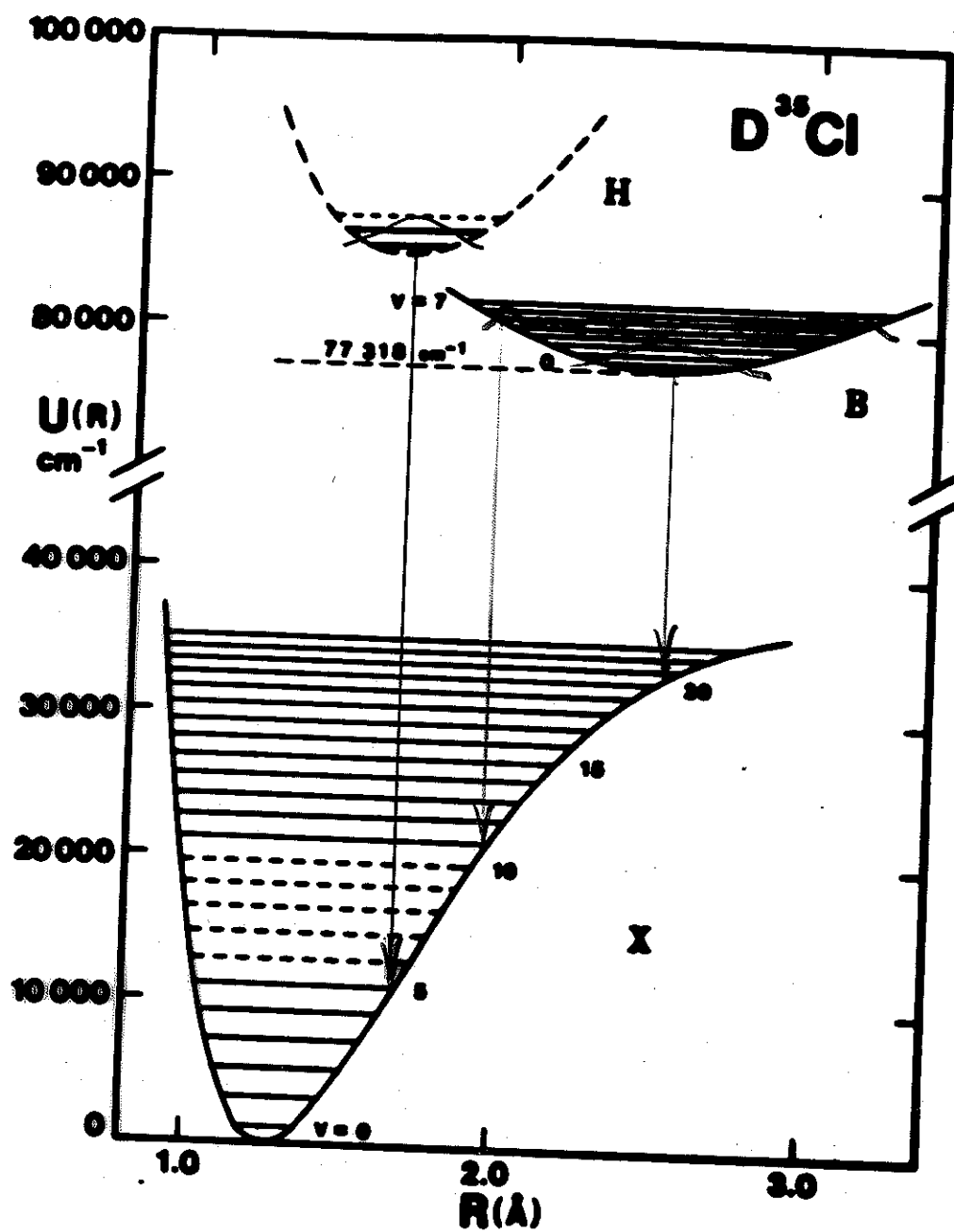
*CO molecule*

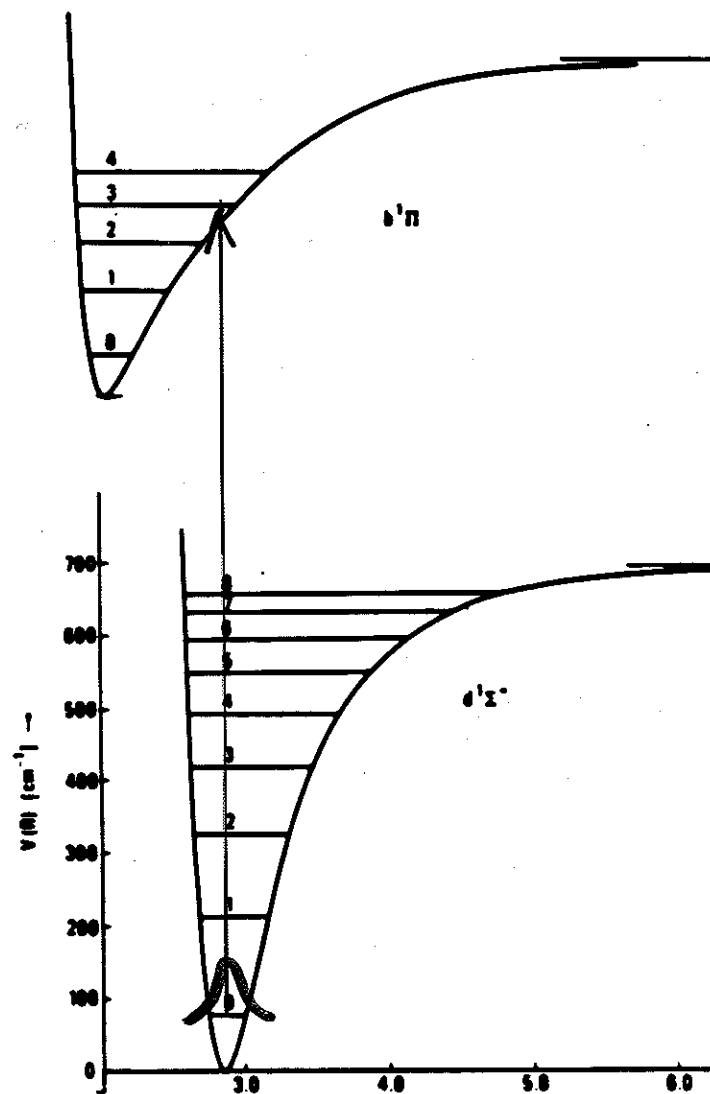
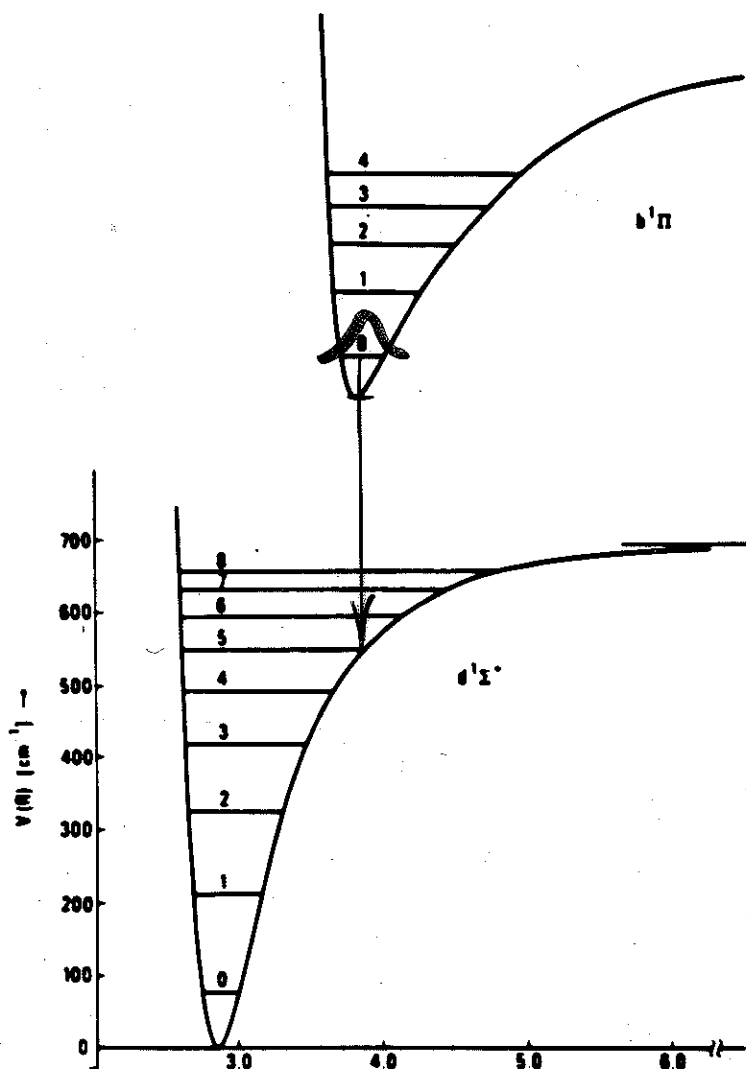
*from Can. J. Phys. 62, 1579 (1984)*

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- 8.8 Symmetry of states of polyatomic molecules
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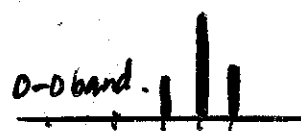
COXON, HAJIGEORGIOU, AND HUBER



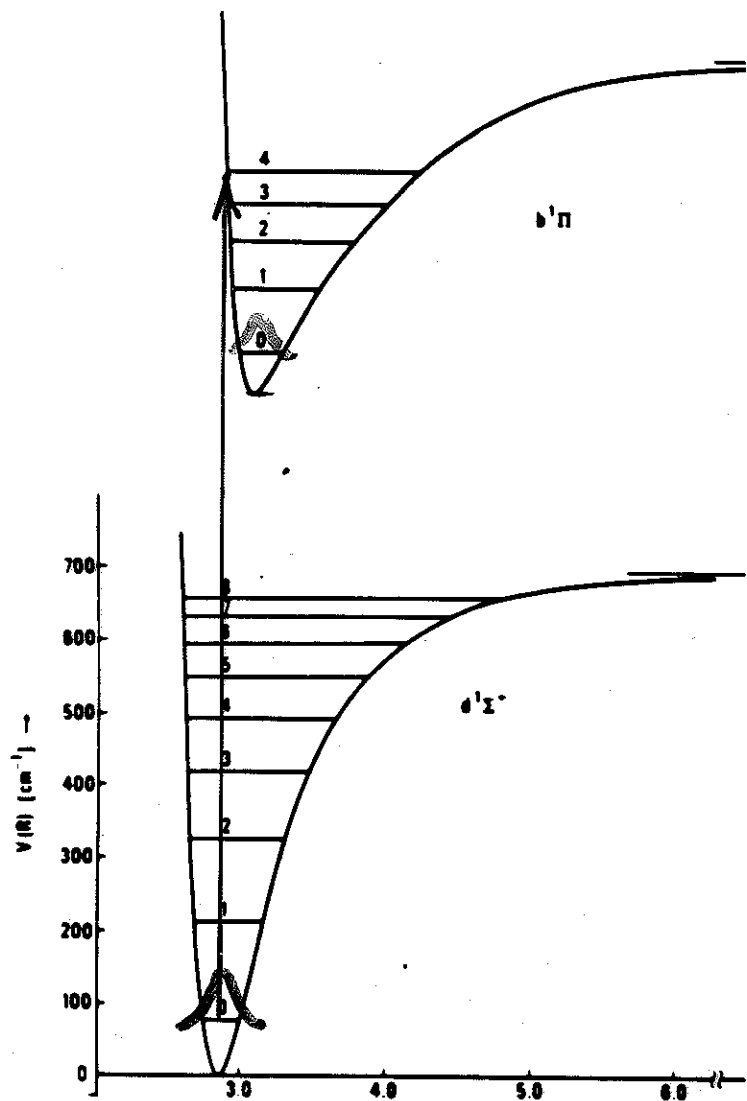


EMISSION SPECTRUM

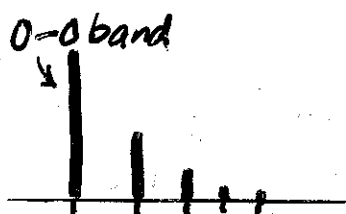
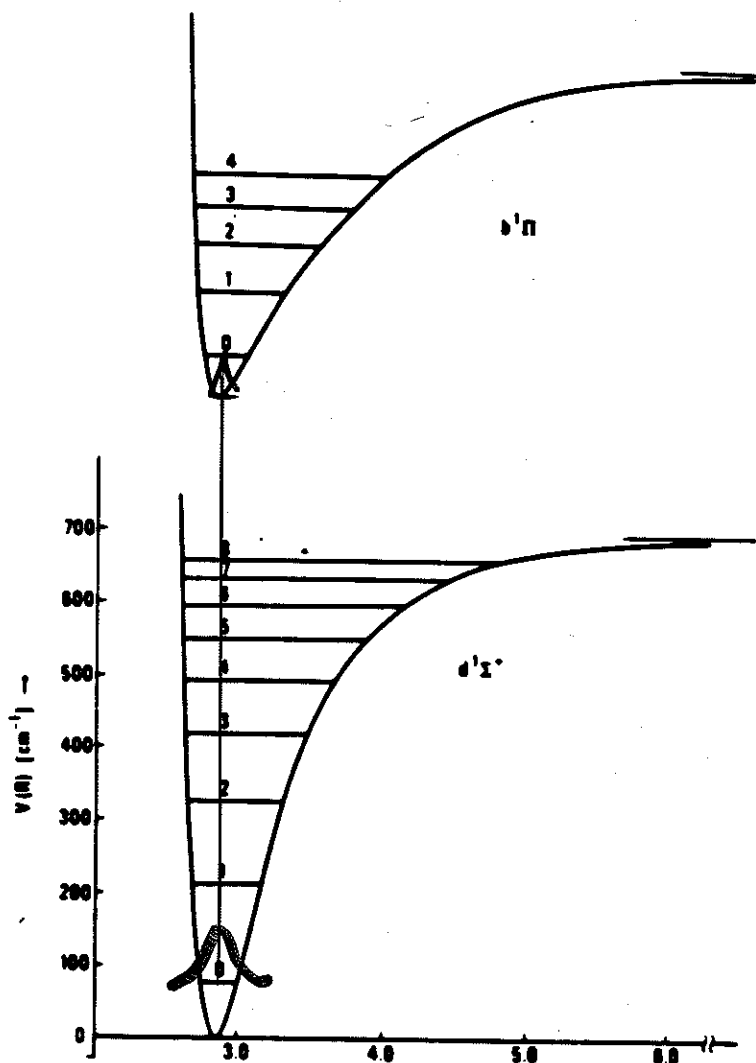
Shows the vibrational spacing of the GROUND ELECTRONIC STATE



ABSORPTION SPECTRUM



ABSORPTION SPECTRUM  
shows the vibrational  
spacing of the  
EXCITED ELECTRONIC STATE

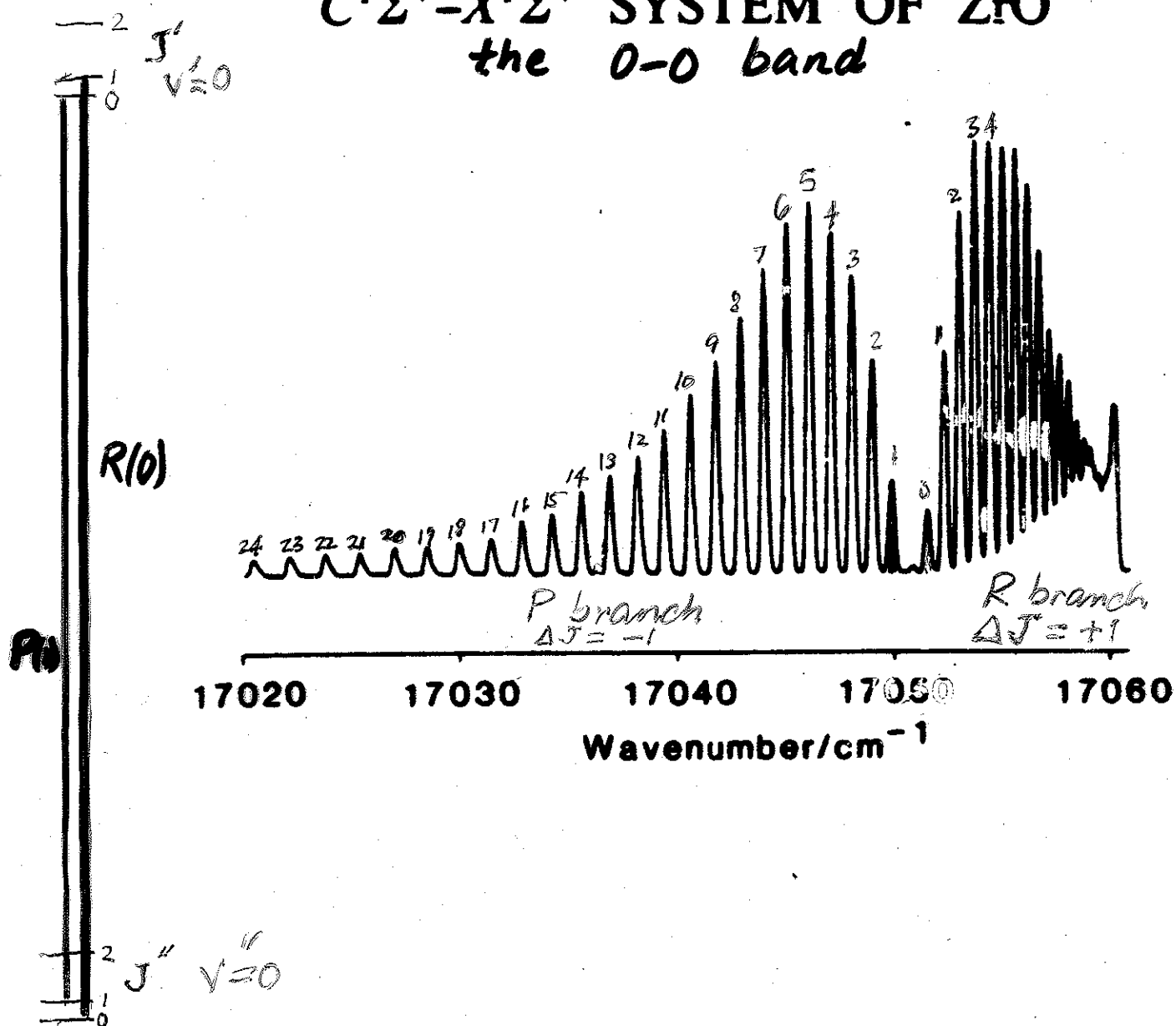


ABSORPTION SPECTRUM

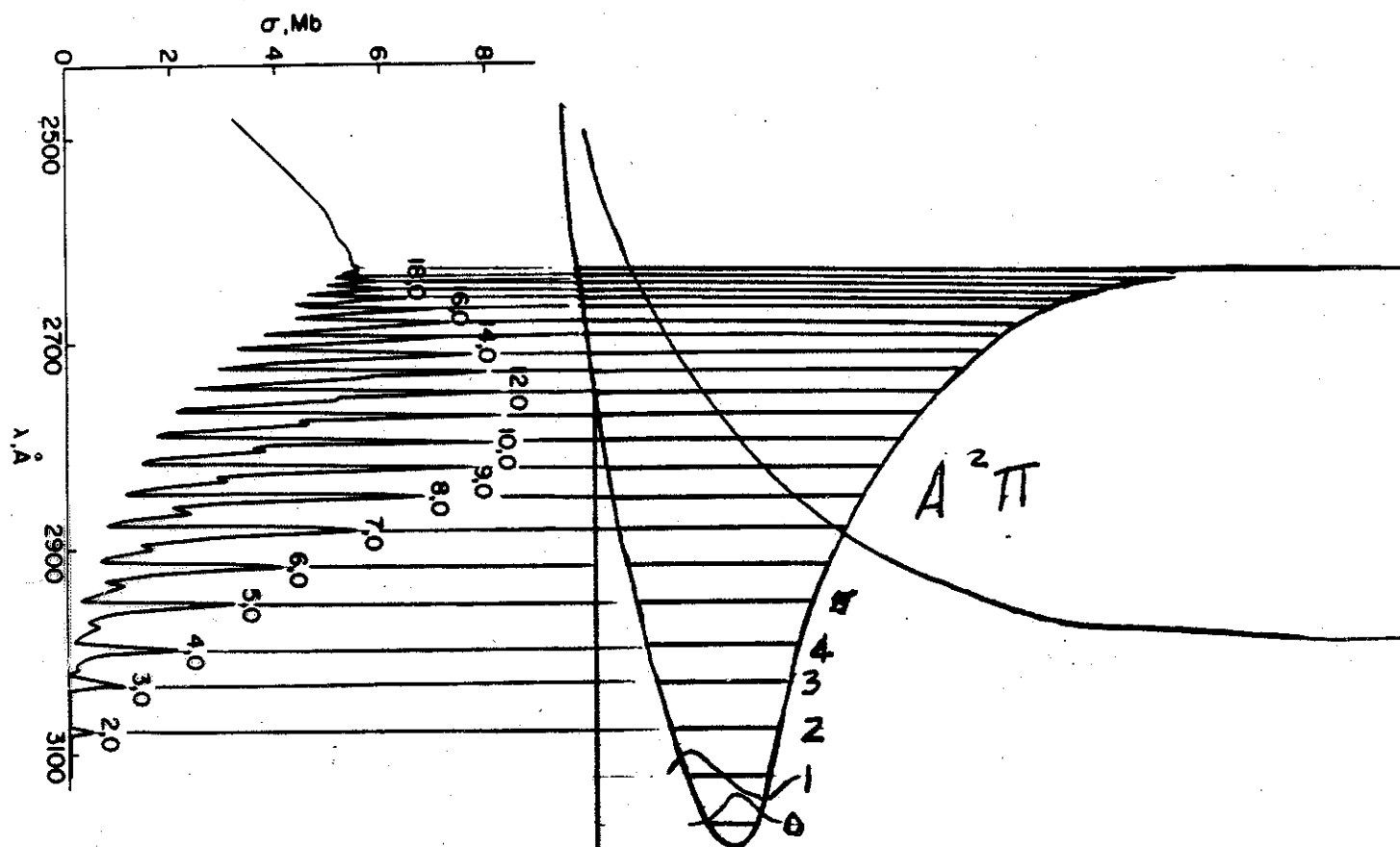
RELATIVE INTENSITIES OF THE VIBRATIONAL BANDS  
CORRESPONDING TO  $v' \leftarrow v''$  CHANGES ACCOMPANYING  
ELECTRONIC TRANSITION are determined by

$$\int \psi_{v'}^* \psi_{v''} d\tau$$

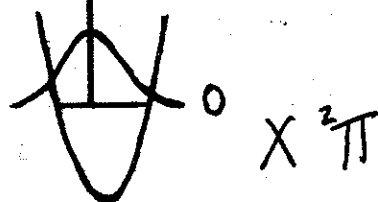
# $C^1\Sigma^+ - X^1\Sigma^+$ SYSTEM OF ZrO the 0-0 band







(making use of the fact that except for  $v=0$ , vibrational functions have their maximum absolute



values at the turning points (i.e., on the potential  $U(R)$  curve) and vertical transitions.

# Electronic Transitions in Diatomic Molecules

$$E = \overbrace{U_e(R_e)}^{T_e} + \overbrace{\left[ h\nu_{00} + h\nu_e(v + \frac{1}{2}) - h\nu_e x_e(v + \frac{1}{2})^2 \right]}^{G(v)}$$

$$F(J) \left[ \begin{aligned} &+ h B_e J(J+1) - h D_e [J(J+1)]^2 \\ &- h \alpha_e (v + \frac{1}{2}) J(J+1) + \dots \end{aligned} \right]$$

usually we leave out  $h$  and use  $\text{Hz}$  or  $\text{cm}^{-1}$

Franck-Condon determines which  $v'' \rightarrow v'$  most likely to occur

For the time, ignore  $D_e$  term centrifugal stretching since it is small and consider the difference between  $E'$  and  $E''$ :

$$E' - E'' = \left[ (T_e' - T_e'') + G'(v') - G''(v'') \right] \text{ band origins}$$

$$+ [F'(J') - F''(J'')] \text{ P, Q or R branch}$$

The frequencies of the band origins are:

$$G'(v') - G''(v'') = \nu_e' (v' + \frac{1}{2}) - \nu_e'' (v'' + \frac{1}{2}) + \nu_{00}' - \nu_{00}''$$

$$- (\nu_e x_e') (v' + \frac{1}{2})^2 + (\nu_e x_e'') (v'' + \frac{1}{2})^2$$

The frequencies of the rotational lines relative to the band origins are: where  $B_v \equiv B_e - \alpha_e(v + \frac{1}{2})$   
 $J$  is lower level rotational quantum number.

$$R(J) F'(J+1) - F''(J) = (B_{v'}' + B_{v''}'') (J+1) + (B_{v'}' - B_{v''}'') (J+1)^2$$

$$Q(J) F'(J) - F''(J) = (B_{v'}' - B_{v''}'') J + (B_{v'}' - B_{v''}'') J^2$$

if present

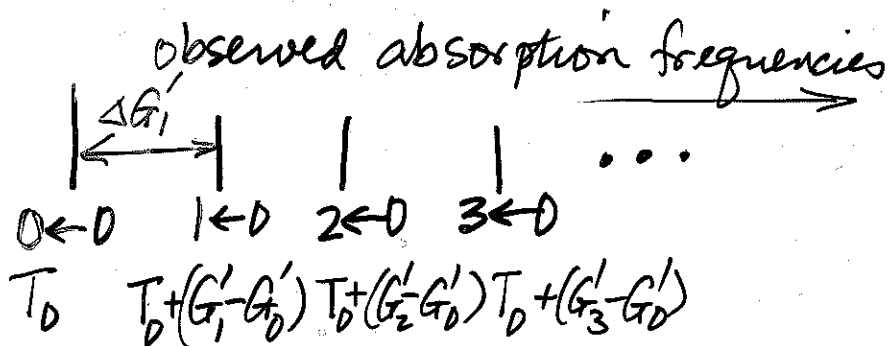
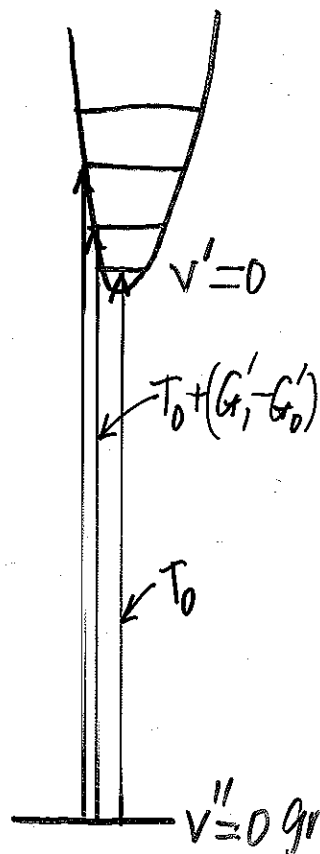
$$P(J) F'(J-1) - F''(J) = -(B_{v'}' + B_{v''}'') J + (B_{v'}' - B_{v''}'') J^2$$

Usual case: If  $r_e' > r_e''$   $B_{v'}' < B_{v''}'' \rightarrow$  get band head in R branch

Unusual: If  $r_e' < r_e''$   $B_{v'}' > B_{v''}'' \rightarrow$  band head in P branch

In absorption:

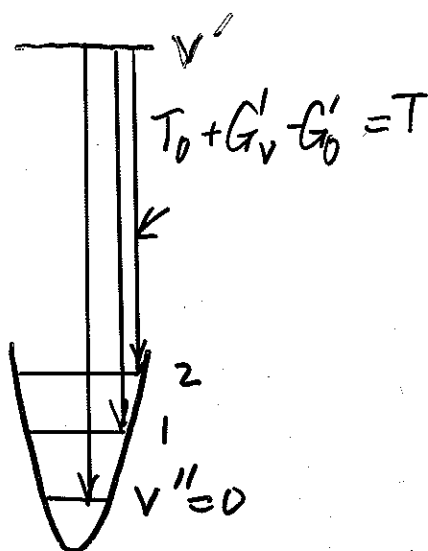
CAN FIND OUT DISSOCIATION ENERGY OF UPPER STATE



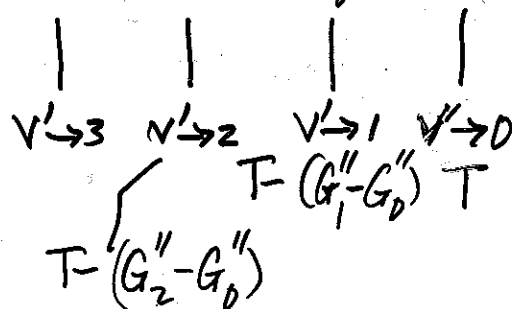
$$\Delta G'_1 = T_0 + (G'_1 - G'_0) - T_0 = G'_1 - G'_0$$

$$\Delta G'_2 = [T_0 + (G'_2 - G'_0)] - [T_0 + (G'_1 - G'_0)] = G'_2 - G'_1 \dots \text{etc.}$$

In emission:



emitted frequencies: →



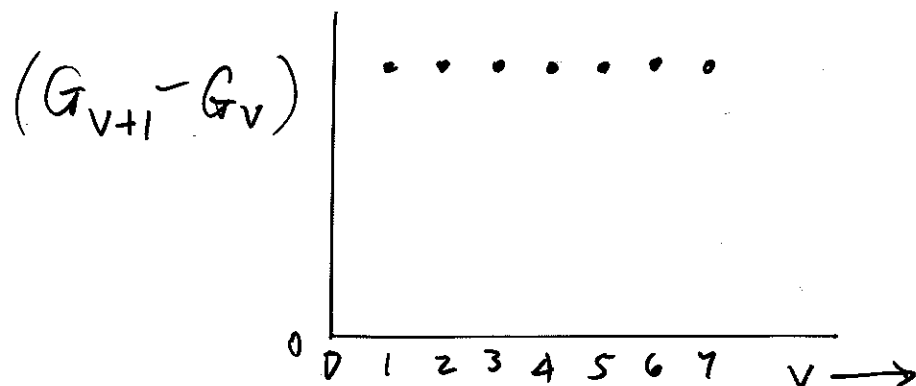
CAN FIND OUT DISSOCIATION ENERGY OF LOWER STATE

$$\Delta G''_1 = T - (G''_1 - G''_0) - T = -(G''_1 - G''_0)$$

$$\Delta G''_2 = T - (G''_2 - G''_0) - [T - (G''_1 - G''_0)] = -(G''_2 - G''_1)$$

## HARMONIC OSCILLATOR:

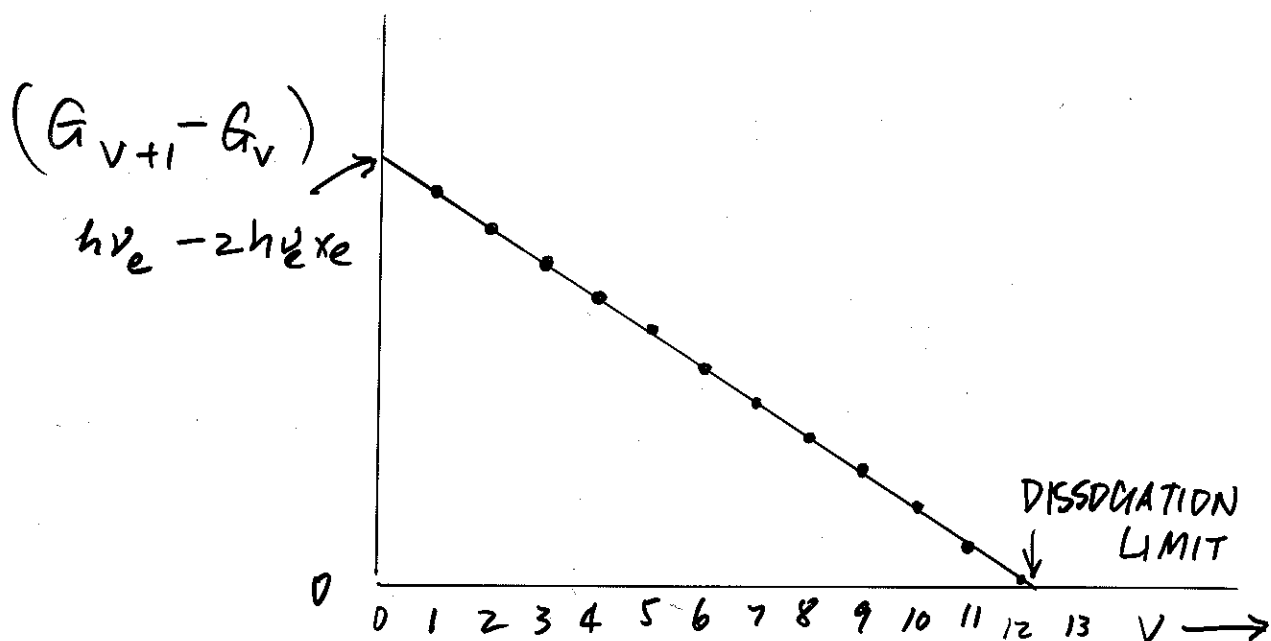
$$G_{v+1} - G_v = \Delta G_v = h\nu_e \text{ for any } v$$



## ANHARMONIC OSCILLATOR:

$$G_v = h\nu_e \left(v + \frac{1}{2}\right) - h\nu_e x_e \left(v + \frac{1}{2}\right)^2$$

$$\begin{aligned} G_{v+1} - G_v &= h\nu_e + -h\nu_e x_e \left[ \frac{v^2 + 3v + \frac{9}{4}}{-v^2 - v - \frac{1}{4}} \right] \\ &= h\nu_e - 2h\nu_e x_e (v+1) \end{aligned}$$

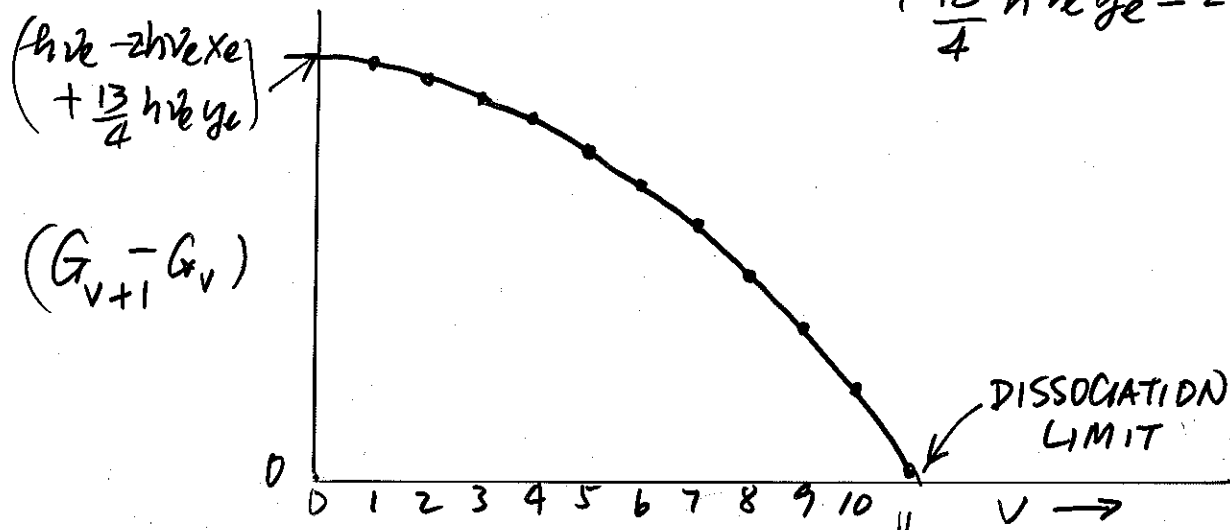


TYPICAL DIATOMIC MOLECULE

$$G_v = h\nu_e(v + \frac{1}{2}) - h\nu_e x_e(v + \frac{1}{2})^2 + h\nu_e y_e(v + \frac{1}{2})^3$$

$$G_{v+1} - G_v = h\nu_e - 2h\nu_e x_e(v + 1) + h\nu_e y_e \left[ v^3 + \frac{9}{2}v^2 + \frac{27}{4}v + \frac{27}{8} - (v^3 + \frac{3}{2}v^2 + \frac{3}{4}v + \frac{1}{8}) \right]$$

$$= h\nu_e + (6h\nu_e y_e - 2h\nu_e x_e)v + 3h\nu_e y_e v^2 + \frac{13}{4}h\nu_e y_e - 2h\nu_e x_e$$



Birge-Sponer plots  
for determination of  
Dissociation energies  
of ground or excited  
electronic states

Example: an excited state

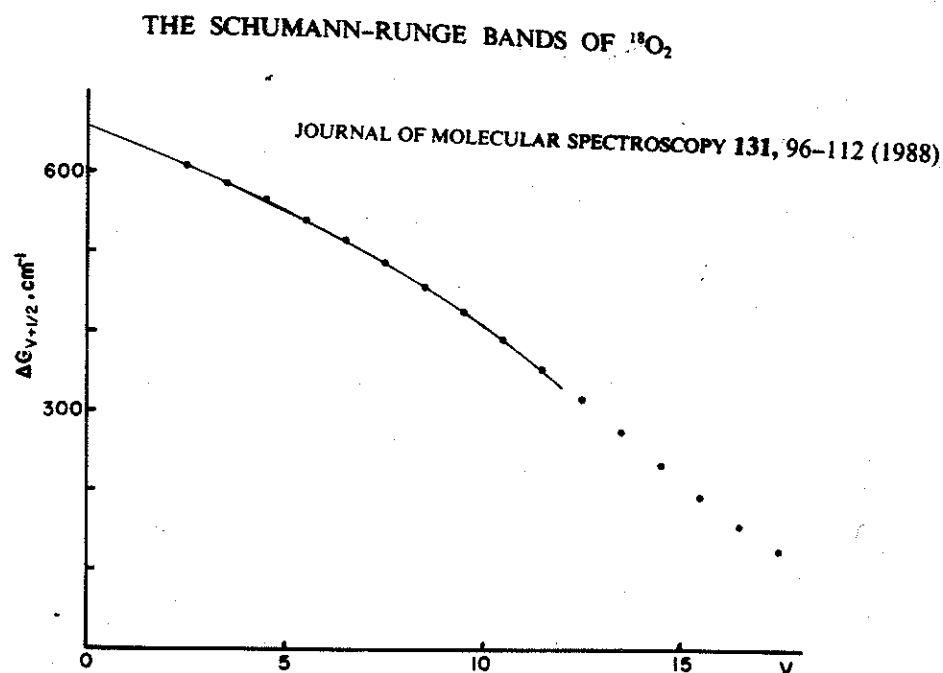


FIG. 3. Plot of vibrational spacings  $\Delta G_{v+1/2}$  versus  $v$  for the  $B^3\Sigma_u^-$  state of  $^{18}\text{O}_2$ . The curve is calculated from the vibrational constants of  $^{16}\text{O}_2$  and reduced mass ratio.

Another example (next 3 pages) is that of  $^7\text{LiH}$  molecule from J. mol. Spectrosc. 76, 17 (1979).

TABLE II

Band Origins of the  $A'\Sigma^+ - X'\Sigma^+$  System of  ${}^7\text{LiH}$ 

$v'' \backslash v'$	2	3	4	5	6	7	8	9						
0	21997.81	<u>1227.77</u>	<u>20770.04</u>	<u>1185.45</u>	<u>19584.59</u>	<u>1143.90</u>	<u>18440.69</u>	<u>1102.59</u>	<u>17338.10</u>	<u>1061.71</u>	<u>16276.39</u>	<u>1021.16</u>	<u>15255.23</u>	<u>980.24</u>
1	<u>23549.46</u>	<u>1270.93</u>	<u>22278.53</u>	<u>1227.68</u>	<u>21050.85</u>	<u>1185.51</u>	<u>19865.34</u>	<u>1143.74</u>	<u>18721.60</u>	<u>1102.57</u>	<u>17619.03</u>	<u>1061.77</u>	<u>16557.26</u>	
	<u>312.98</u>	<u>1270.87</u>	<u>22590.57</u>	<u>1227.87</u>	<u>21363.70</u>	<u>1185.40</u>	<u>20178.30</u>							
2	<u>23862.44</u>	<u>1270.87</u>	<u>22590.57</u>	<u>1227.87</u>	<u>21363.70</u>	<u>1185.40</u>	<u>20178.30</u>							
	<u>335.69</u>	<u>1270.87</u>	<u>22927.26</u>	<u>1227.94</u>	<u>21699.32</u>	<u>1185.11</u> <sup>a</sup>	<u>20514.21</u>	<u>1143.64</u> <sup>a</sup>	<u>19370.57</u>	<u>1102.73</u>	<u>18267.84</u>	<u>1062.03</u> <sup>a</sup>	<u>17205.81</u>	
3	<u>24198.13</u>	<u>1270.87</u>	<u>22927.26</u>	<u>1227.94</u>	<u>21699.32</u>	<u>1185.11</u> <sup>a</sup>	<u>20514.21</u>	<u>1143.64</u> <sup>a</sup>	<u>19370.57</u>	<u>1102.73</u>	<u>18267.84</u>	<u>1062.03</u> <sup>a</sup>	<u>17205.81</u>	
	<u>352.82</u>	<u>1270.79</u>	<u>23280.16</u>											
4	<u>24550.95</u>	<u>1270.79</u>	<u>23280.16</u>											
	<u>366.14</u>													
5	<u>24917.09</u>													
6														
7														

average these

$$\begin{array}{r} 23549.46 \\ - 22278.53 \\ \hline 1270.93 \end{array}$$

<sup>a</sup>These values were not used in the averaging due to the poor quality of associated bands.

$v'' \backslash v'$	10 <sup>b</sup>	11	12		
0	<u>980.24</u>	<u>14274.99</u>	<u>939.97</u>	<u>13335.02</u>	
1		<u>280.86</u>	<u>13615.88</u>	<u>897.97</u>	<u>12717.91</u>

Note. The upper entry for each band is the band origin (in  $\text{cm}^{-1}$ ).Underlined numbers between bands are  $\Delta G'$  or  $\Delta G''$  values.

TABLE III

Average Experimental Vibrational Spacings  $\Delta G'$  and  $\Delta G''$  (in  $\text{cm}^{-1}$ ) in the  $A'^1\Sigma^+$  and  $X'^1\Sigma^+$  States of  $^7\text{LiH}$

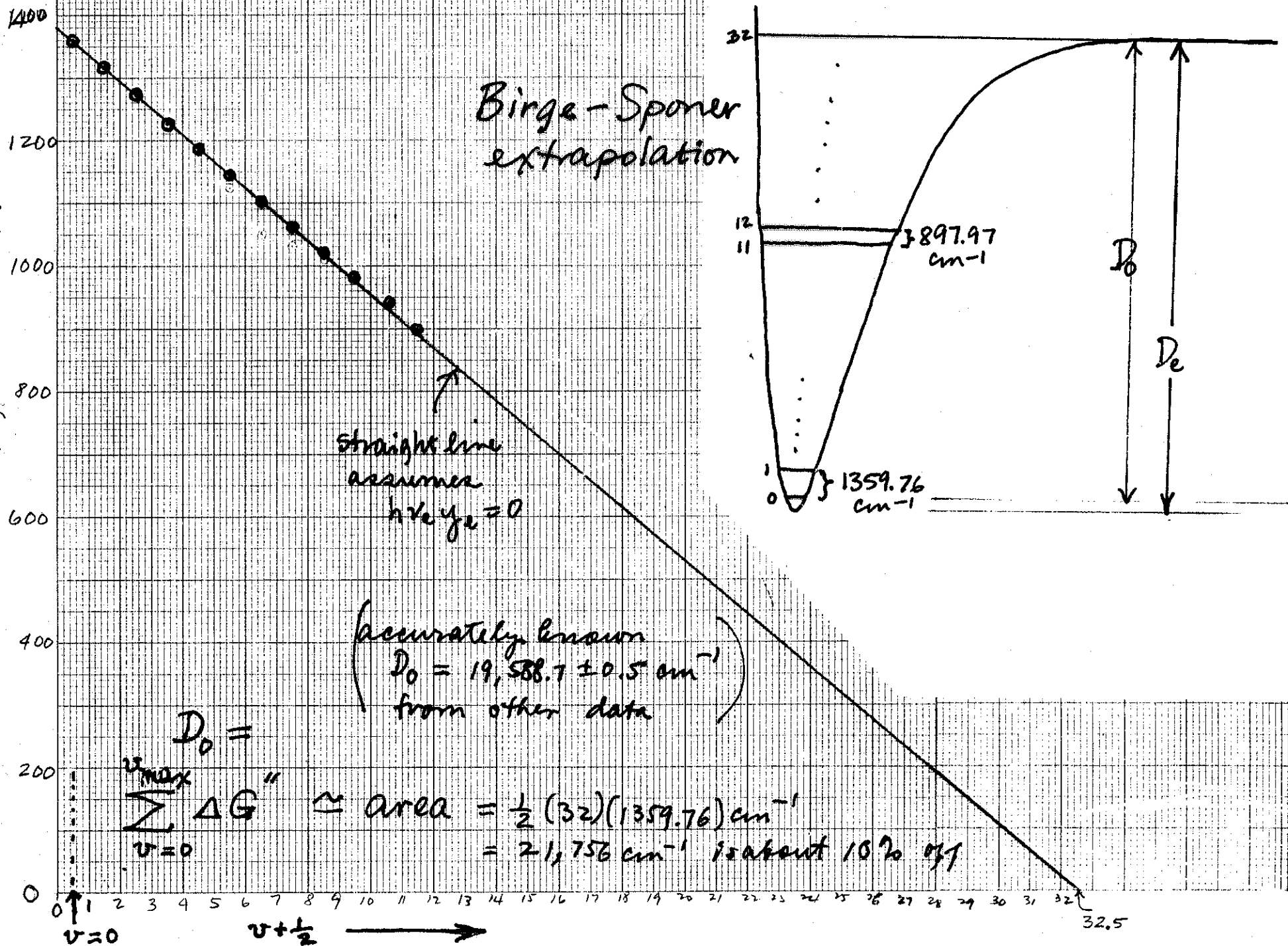
$v + \frac{1}{2}$	$\Delta G' (v + \frac{1}{2})$ in $A'^1\Sigma^+$		$\Delta G'' (v + \frac{1}{2})$ in $X'^1\Sigma^+$	
	Table II	Ref. 4	Table II	Ref. 4
1/2	<u>280.84</u>	280.81		<u>1359.76</u>
3/2	<u>312.97</u>	312.81		<u>1314.85</u>
5/2	<u>335.69</u>	335.45	<u>1270.87</u>	1270.91
7/2	<u>352.74</u>	<u>352.79</u>	<u>1227.82</u>	1228.92
9/2	<u>365.92</u>	<u>365.85</u>	<u>1185.40</u>	1185.33
11/2	<u>375.72</u>	<u>375.60</u>	<u>1143.83</u>	
13/2	<u>382.94</u>	<u>382.68</u>	<u>1102.63</u>	
15/2		<u>387.55</u>	<u>1061.74</u>	
17/2		<u>390.37</u>	<u>1021.16</u>	
19/2		<u>391.59</u>	<u>980.42</u>	
21/2		<u>391.05</u>	<u>939.97</u>	
23/2		<u>389.19</u>	<u>897.97</u>	
25/2		<u>385.94</u>		
27/2		<u>381.32</u>		
29/2		<u>375.21</u>		

Note. Recommended values are underlined.

See graph (Birge-Sponer plot) on next page  
for the  $X'^1\Sigma^+$  state only.  
Can of course do the same for the excited  
electronic state  $A'^1\Sigma^+$  as well.



$\sum_{v=0}^{v_{\max}} \Delta G''(v+\frac{1}{2}), \text{ cm}^{-1} \text{ LiH}$



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## b) DIFFERENT VIBRATIONAL STATES (INFRARED SPECTRUM)

First term is ZERO since  $\int \psi_{v''}^* \psi_{v'} dr$  functions are ORTHOGONAL

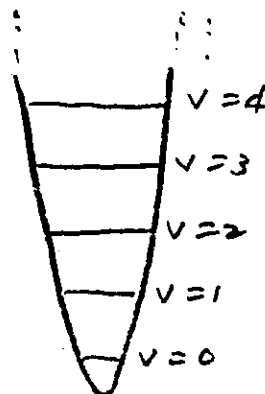
Second term :

$$\left( \frac{dU(R)}{dR} \right)_{R=R_e} = 0 \text{ also for } H_2, N_2, Cl_2, \dots \text{ homonuclear diatomics}$$

HO: For a harmonic oscillator with eigenfunction  $\psi_v(R-R_e)$  call it  $q$

Table of integrals:

$$\left( \frac{\hbar}{4\pi\nu\mu} \right)^{1/2} \begin{bmatrix} 0 & \sqrt{1} & 0 & 0 & 0 & 0 \\ \sqrt{1} & 0 & \sqrt{2} & 0 & 0 & 0 \\ 0 & \sqrt{2} & 0 & \sqrt{3} & 0 & 0 \\ 0 & 0 & \sqrt{3} & 0 & \sqrt{4} & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$



$$\int \psi_{v''}^*(R-R_e) \psi_{v'} dr$$

$$\Delta v = \pm 1$$

$$g_{mn} = \left[ \sqrt{n} \delta_{m,n-1} + \sqrt{n+1} \delta_{m,n+1} \right] \left( \frac{\hbar}{4\pi\nu\mu} \right)^{1/2}$$

Other HO integrals

$$g_{mn}^2 = \left\{ \sqrt{n(n-1)} \delta_{m,n-2} + (2n+1) \delta_{mn} + \sqrt{(n+1)(n+2)} \delta_{m,n+2} \right\} \left( \frac{\hbar}{4\pi\nu\mu} \right)$$

$$g_{mn}^3 = \left\{ \sqrt{n(n-1)(n-2)} \delta_{m,n-3} + 3n^{3/2} \delta_{m,n-1} + \sqrt{(n+1)(n+2)(n+3)} \delta_{m,n+3} + 3(n+1)^{3/2} \delta_{m,n+1} \right\} \left( \frac{\hbar}{4\pi\nu\mu} \right)^{3/2}$$

IN OTHER WORDS, for a HARMONIC OSCILLATOR the following integrals are NOT ZERO:

$$\langle q \rangle_{v,v\pm 1} \quad \langle q^2 \rangle_{v,v} \quad \langle q^3 \rangle_{v,v\pm 1} \quad \langle q^4 \rangle_{v,v}$$

$v, v\pm 2 \quad v, v\pm 3 \quad v, v\pm 4$

Similarly for the linear momentum  $\langle p \rangle_{v,v\pm 1}, \{ \langle qp \rangle \text{ or } \langle pq \rangle \text{ or } \langle p^2 \rangle \}_{v,v,v\pm 2}, \text{ etc...}$

For the true VIBRATIONAL WAVEFUNCTIONS:  $\langle v'' | u(R) | v' \rangle$

$$\langle v'' | u(R_e) + \left(\frac{du}{dR}\right)_{R_e} q + \frac{1}{2} \left(\frac{d^2u}{dR^2}\right)_{R_e} q^2 + \dots | v' \rangle \text{ integral}$$

can be TRANSFORMED INTO the HO integral

$$\begin{aligned} \langle HO | & u(R_e) + \left(\frac{du}{dR}\right)_{R_e} q + \frac{1}{2} \left(\frac{d^2u}{dR^2}\right)_{R_e} q^2 + \frac{1}{3!} \left(\frac{d^3u}{dR^3}\right)_{R_e} q^3 | HO \rangle \\ & + \left(\frac{du}{dR}\right)_{R_e} (k q^2 + k p^2) \\ & + \left(\frac{du}{dR}\right)_{R_e} [(f + k^2) p^2 q + (f + k^2) q^3] \\ & + \frac{1}{2} \left(\frac{d^2u}{dR^2}\right)_{R_e} (k p^2 q + k q^3) + \dots \end{aligned}$$

where  $k$  stands for a quantity proportional to the quadratic force constant  $\left(\frac{d^2u}{dR^2}\right)_{R_e}$

and  $f$  stands for a quantity proportional to the cubic force constant  $\left(\frac{d^3u}{dR^3}\right)_{R_e}$

Therefore, for a diatomic molecule, the most probable transition

$$v=0 \text{ to } v=1$$

has a probability which is proportional to  $\left(\frac{du}{dR}\right)_{R_e}$

Less probable but observable also are the transitions  $v=0$  to  $v=2$  and others ( $v=0$  to  $v=3$ , etc)

which has a probability which is proportional to

$$\left\{ \frac{1}{2} \left(\frac{d^2u}{dR^2}\right)_{R_e} + \left(\frac{du}{dR}\right)_{R_e} k \right\}$$

$$\text{or } \left\{ \frac{1}{3!} \left(\frac{d^3u}{dR^3}\right)_{R_e} + \left(\frac{du}{dR}\right)_{R_e} (f + k^2) + \frac{1}{2} \left(\frac{d^2u}{dR^2}\right)_{R_e} k \right\} \text{ etc...}$$

$$E = U_{\alpha}(R_e) + (v+1/2)v_e - x_e v_e (v+1/2)^2 + y_e v_e (v+1/2)^3 + B_e J(J+1) - D_e [J(J+1)]^2 - \alpha_e (v+1/2)J(J+1) + Y_{00}$$

where all spectroscopic quantities are expressed in energy units (or the corresponding frequency or wavenumbers). In energy units, the following are positive quantities:

$$B_e \equiv \hbar^2 / 2\mu R_e^2 \quad B_e \text{ rotational constant}$$

$$hx_e v_e \equiv 1/4 B_e^2 / (h\nu_e)^2 \cdot \{ (10/3) B_e [U'''(R_e) R_e^3]^2 / (h\nu_e)^2 - U^{iv}(R_e) R_e^4 \}$$

$x_e v_e$  anharmonicity constant

$$D_e \equiv 4 B_e^3 / (h\nu_e)^2$$

$D_e$  centrifugal distortion constant

$$\alpha_e \equiv -2 B_e^2 / h\nu_e \cdot \{ 3 + 2 B_e [U'''(R_e) R_e^3]^2 / (h\nu_e)^2 \}$$

$\alpha_e$  vibrational rotational coupling constant

$$Y_{00} \equiv B_e^2 / 16(h\nu_e)^2 \cdot \{ U^{iv}(R_e) R_e^4 - (14/9) B_e [U'''(R_e) R_e^3]^2 / (h\nu_e)^2 \}$$

$$h\nu_e \equiv (h/2\pi) [ U''(R_e) / \mu ]^{1/2} \quad \nu_e \text{ harmonic frequency}$$

$\mu$  reduced mass  $1/\mu = 1/m_A + 1/m_B$

$R_e$  equilibrium bond length

Rotational constant for the  $v_{th}$  vibrational state is  $B_v$

$$B_v = B_e - \alpha_e (v+1/2)$$

$Y_{00}$  same anharmonic correction to every vibrational level

Since  $Y_{00}$  is a constant for the electronic state, it is usually put together with  $U(R_e)$ .

# PEAK POSITIONS in MOLECULAR SPECTRA

are given by

$$h\nu = E_{v', J'} - E_{v'', J''}$$

Example: For a diatomic molecule, the transitions within the same electronic state appear at the following frequencies:

$$\begin{aligned} \nu = & \left[ \left( v' + \frac{1}{2} \right) - \left( v'' + \frac{1}{2} \right) \right] \nu_e - \nu_e x_e \left[ \left( v' + \frac{1}{2} \right)^2 - \left( v'' + \frac{1}{2} \right)^2 \right] \\ & + B_e [J'(J'+1) - J''(J''+1)] - \alpha_e \left\{ \left( v' + \frac{1}{2} \right) J'(J'+1) - \left( v'' + \frac{1}{2} \right) J''(J''+1) \right\} \\ & - D_e \{ [J'(J'+1)]^2 - [J''(J''+1)]^2 \} \end{aligned}$$

For  $v' = 1$   $v'' = 0$ :

P Branch  $J' = J'' - 1$

$$\begin{aligned} \nu_{P(J'')} &= \nu_e - 2\nu_e x_e - 2B_e J'' - \alpha_e (J'' - 2)J'' + 4D_e J''^3 \\ &\approx \text{roughly } \nu_{\text{center}} - 2B_e J'' \end{aligned}$$

R Branch  $J' = J'' + 1$

$$\begin{aligned} \nu_{R(J'')} &= \nu_e - 2\nu_e x_e + 2B_e (J'' + 1) - \alpha_e (J'' + 1)(J'' + 3) - 4D_e (J'' + 1)^3 \\ &\approx \text{roughly } \nu_{\text{center}} + 2B_e (J'' + 1) \end{aligned}$$

Adjacent peaks:

$$\nu_{P(1)} - \nu_{P(2)} = 2B_e + \alpha_e - 28D_e$$

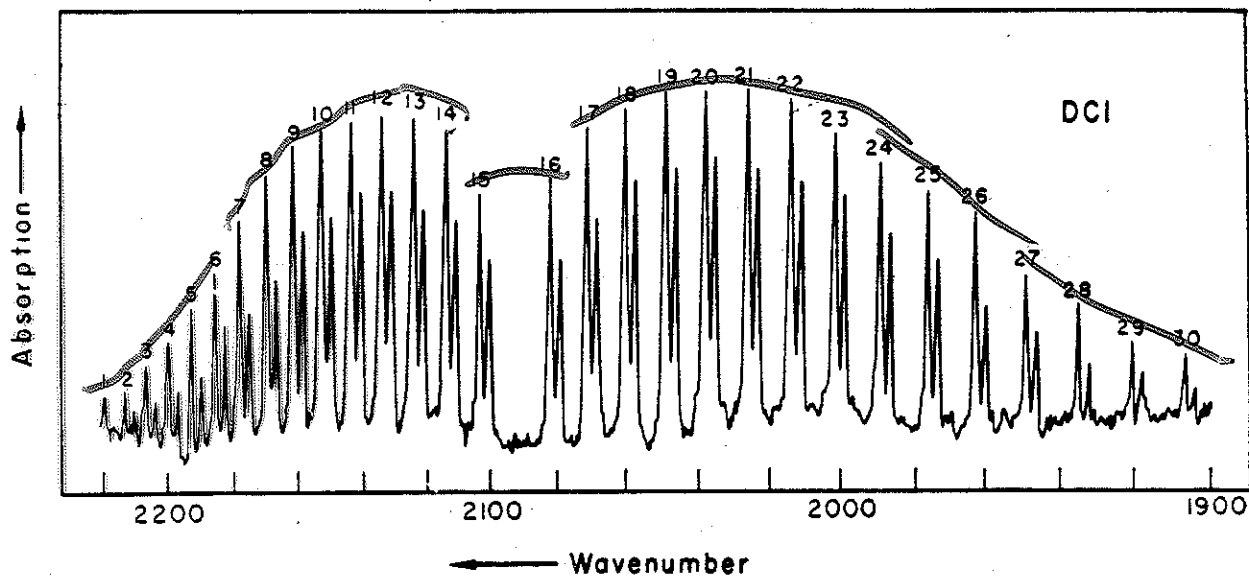
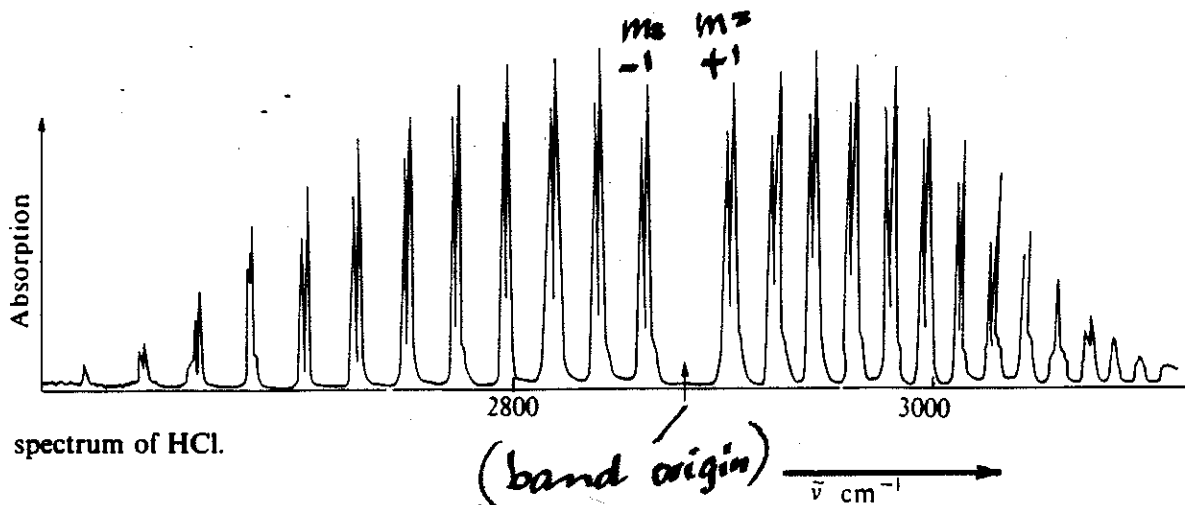
$$\nu_{R(1)} - \nu_{R(0)} = 2B_e - 5\alpha_e - 28D_e$$

Note: further apart than just  $2B_e$

Note: closer together than just  $2B_e$ .

# INFRARED

1. HCl and DCl spectra are compared below:



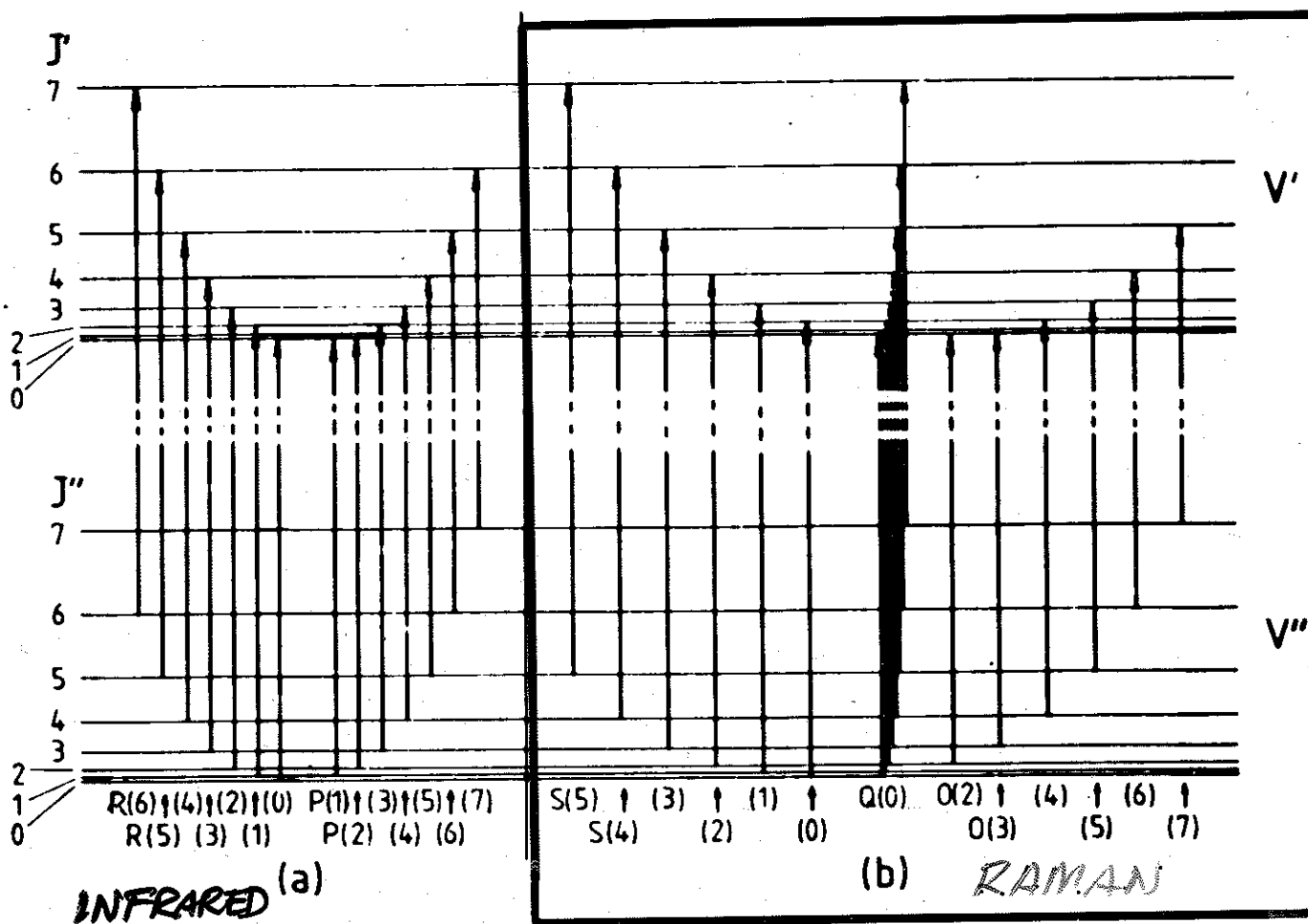
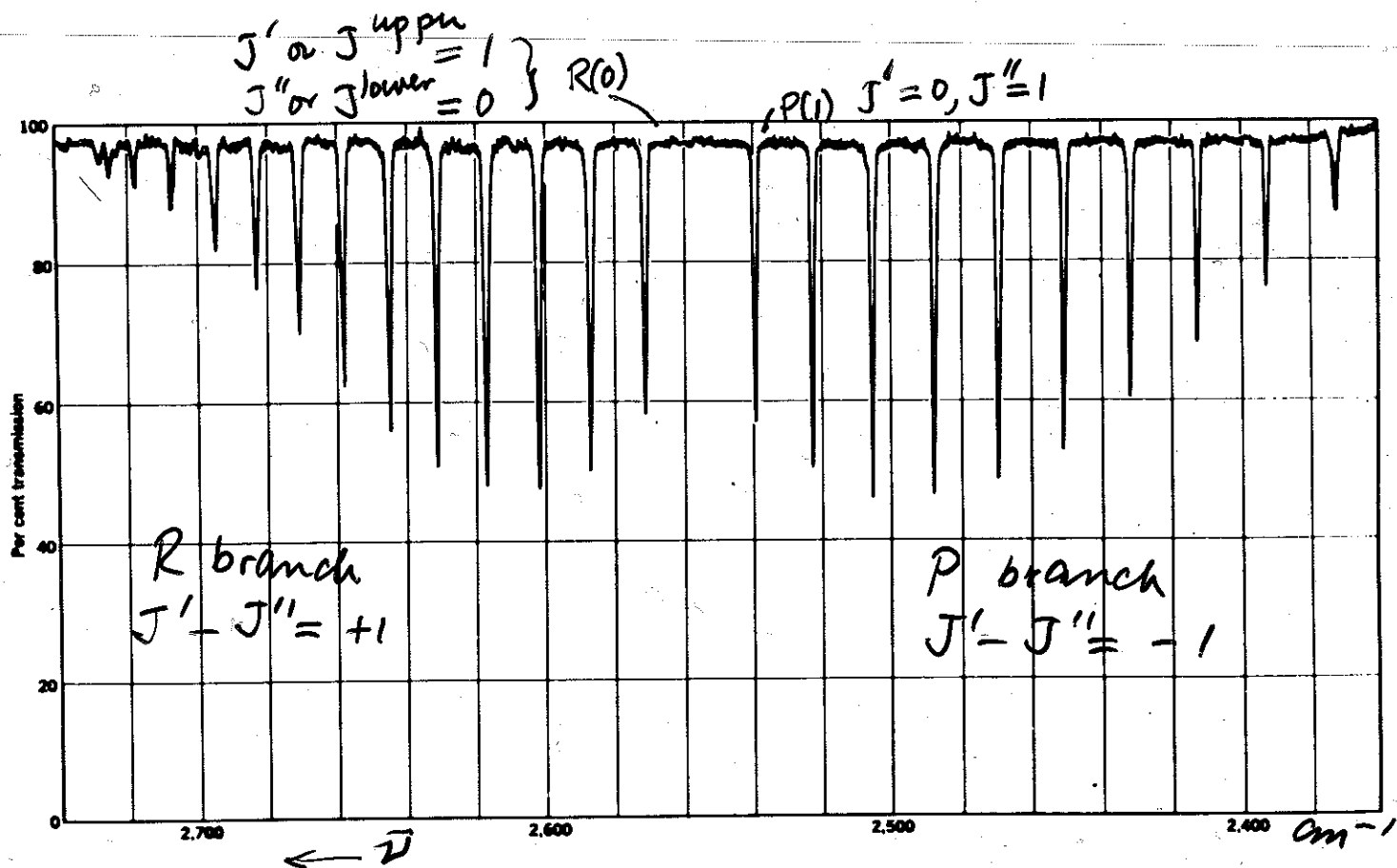
The deuterium chloride fundamental rotation-vibration band at 2224-1905  $\text{cm}^{-1}$ .  
The numbers on the lines refer to the IUPAC standard lines.

Peak positions given by:  $m = \pm 1, \pm 2, \dots$  (a running no.)

$$\underbrace{h\nu_e(\nu' - \nu'') - h\nu_e x_e[(\nu' + \frac{1}{2})^2 - (\nu'' + \frac{1}{2})^2]}_{\text{position of band origin}} + [2B_e - \alpha_e(\nu' + \nu'' + 1)]m - \alpha_e(\nu' - \nu'')m^2 + \tilde{D}_e m^3$$

can see a band head if  $\alpha_e$  large enough

$^1\text{H}^{35}\text{Cl}$  has  $\nu_e = 2989.74 \text{ cm}^{-1}$   $x_e \nu_e = 52.05 \text{ cm}^{-1}$   $B_e = 10.594 \text{ cm}^{-1}$



Rotational transitions accompanying a vibrational transition in (a) an infrared spectrum and (b) a Raman spectrum of a diatomic molecule.



① R(0) means  $J_{\text{lower}} = 0 \rightarrow J_{\text{upper}} = 1$  or  $J'' = 0 \rightarrow J' = 1$   
P(1) means  $J_{\text{lower}} = 1 \rightarrow J_{\text{upper}} = 0$  { double prime stands for lower prime stands for upper }

that is, the number, given is the  $J$  value for the lower vibrational level, and  $J_{\text{upper}} = J_{\text{lower}} - 1$

for P branch,  $J_{\text{upper}} = J_{\text{lower}} + 1$  for R branch

Using the given formula:

$$E_{\text{upper}} = U(R_e) + h\nu_e(1 + \frac{1}{2}) + [hB_e - h\alpha_e(1 + \frac{1}{2})]J'(J'+1) + hY_{00} - h\nu_e x_e(1 + \frac{1}{2})^2 - h\bar{D}_e[J'(J'+1)]^2$$

$$E_{\text{lower}} = U(R_e) + h\nu_e(0 + \frac{1}{2}) + [hB_e - h\alpha_e(0 + \frac{1}{2})]J''(J''+1) + hY_{00} - h\nu_e x_e(0 + \frac{1}{2})^2 - h\bar{D}_e[J''(J''+1)]^2$$

P Branch:  $J' = J'' - 1$

$$h\nu_{\text{observed}} = (h\nu_e - 2h\nu_e x_e) - h[B_e - \alpha_e]2J'' - h\alpha_e(J'')^2 + 4h\bar{D}_e(J'')^3$$

$J'' = 1, 2, 3, \dots$

R Branch:  $J' = J'' + 1$

$$h\nu_{\text{observed}} = (h\nu_e - 2h\nu_e x_e) + h[B_e - \alpha_e]2(J''+1) - h\alpha_e(J''+1)^2 - 4\bar{D}_e(J''+1)^3$$

$J'' = 0, 1, 2, 3, \dots$

Neglecting  $\alpha_e$  and  $\bar{D}_e$ , spacing would be equal between P lines and R lines.  $\alpha_e > \bar{D}_e$  (see given formulas and remember that  $B_e/\nu_e \sim 10/3000$  for example.)

Including the effect of  $\alpha_e$ , the quadratic dependence on  $J''$  gives an increase in the spacing between P branch lines as  $J''$  increases since the term in  $J''$  and in  $(J'')^2$  are the same sign. On the other hand they are opposite in sign in the R branch so there will be a decrease in the spacing between R branch lines as  $J''$  increases.

Since  $\nu_e = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$  and  $\frac{1}{\mu} = \frac{1}{m_H} + \frac{1}{m_{Cl}}$  for HCl

$$\nu_e(^1H^{37}Cl) = \nu_e(^1H^{35}Cl) \cdot \frac{\left(\frac{35}{36}\right)^{1/2}}{\left(\frac{37}{38}\right)^{1/2}} \quad \frac{1}{\mu} = \frac{1}{m_D} + \frac{1}{m_{Cl}} \text{ for DCl}$$

$$\frac{1}{\mu} = \frac{1}{1} + \frac{1}{35} \text{ or } \mu = \frac{35}{36} \text{ for } ^1H^{35}Cl$$

$$\mu = \frac{37}{38} \text{ for } ^1H^{37}Cl$$

$$= 0.9992 \nu_e(^1H^{35}Cl)$$

$$\nu_e(D^{35}Cl) = \nu_e(^1H^{35}Cl) \cdot \frac{\left(\frac{35}{36}\right)^{1/2}}{\left(\frac{70}{37}\right)^{1/2}} \quad \mu = \frac{70}{37} \text{ for } D^{35}Cl$$

$$\mu = \frac{74}{39} \text{ for } D^{37}Cl$$

$$= 0.7168 \nu_e(^1H^{35}Cl)$$

$$\nu_e(D^{37}Cl) = \nu_e(^1H^{35}Cl) \cdot \frac{\left(\frac{35}{36}\right)^{1/2}}{\left(\frac{74}{39}\right)^{1/2}}$$

$$= 0.7158 \nu_e(^1H^{35}Cl)$$

Looking at the given formula for  $\nu_{Xe}$  we see that  $\nu_{Xe} \propto \frac{1}{\mu}$ . Thus

$$\nu_{Xe}(^1H^{37}Cl) = \nu_{Xe}(^1H^{35}Cl) \cdot \frac{\left(\frac{35}{36}\right)}{\left(\frac{37}{38}\right)} = 0.9985 \nu_{Xe}(^1H^{35}Cl)$$

$$\nu_{Xe}(D^{35}Cl) = \nu_{Xe}(^1H^{35}Cl) \cdot \frac{\left(\frac{35}{36}\right)}{\left(\frac{70}{37}\right)} = 0.51389 \nu_{Xe}(^1H^{35}Cl)$$

$$\nu_{Xe}(D^{37}Cl) = \nu_{Xe}(^1H^{35}Cl) \cdot \frac{\left(\frac{35}{36}\right)}{\left(\frac{74}{39}\right)} = 0.5124 \nu_{Xe}(^1H^{35}Cl)$$

and  $B_e \propto \frac{1}{\mu}$  also so the same factors hold for  $B_e$

$$B_e(^1H^{37}Cl) = 0.9985 B_e(^1H^{35}Cl)$$

$$B_e(D^{35}Cl) = 0.51389 B_e(^1H^{35}Cl)$$

$d_e \propto \mu^{-3/2}$  from the given formula

$$d_e(D^{35}Cl) = (0.51389)^{3/2} d_e(^1H^{35}Cl) = 0.3684 d_e(^1H^{35}Cl)$$

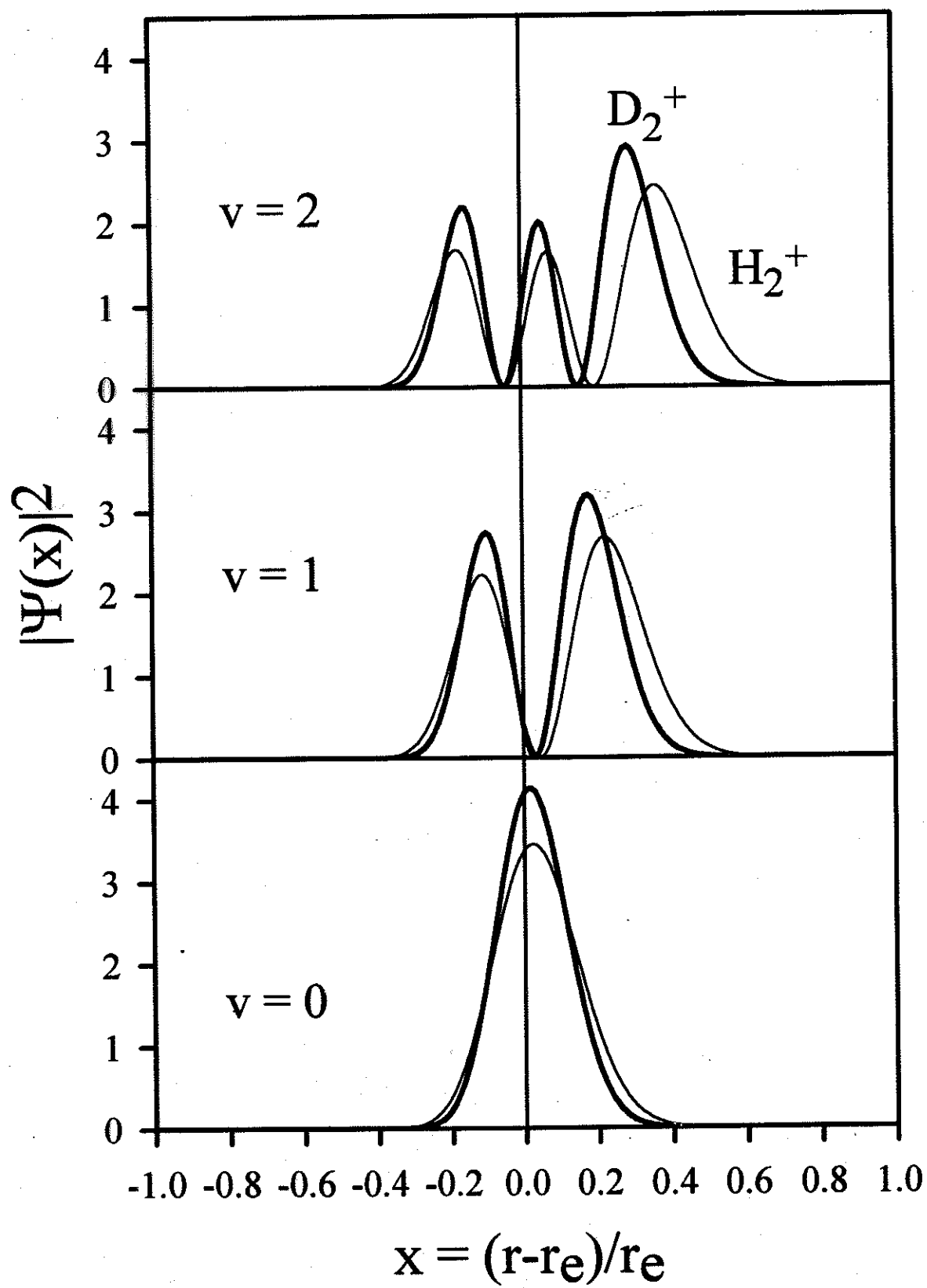
$\alpha_e$  can be estimated (neglecting  $\bar{D}_e$ ) from  $D^{35}Cl$  lines at  $1920 \text{ cm}^{-1}$ :  $P(14)$  and  $2200 \text{ cm}^{-1}$ :  $R(11)$ , using  $\nu_e = .7168(2989.74)$   $\nu_e x_e = .5139(52.05)$ ,  $B_e = .5139(10.52)$  all in  $\text{cm}^{-1}$  or  $2143.0$ ,  $26.75$  and  $5.444 \text{ cm}^{-1}$

$$P(14) = 1920 \text{ cm}^{-1} = 2143.0 - 2(26.75) - [5.444 - \alpha_e]2(14) - \alpha_e(14)^2$$

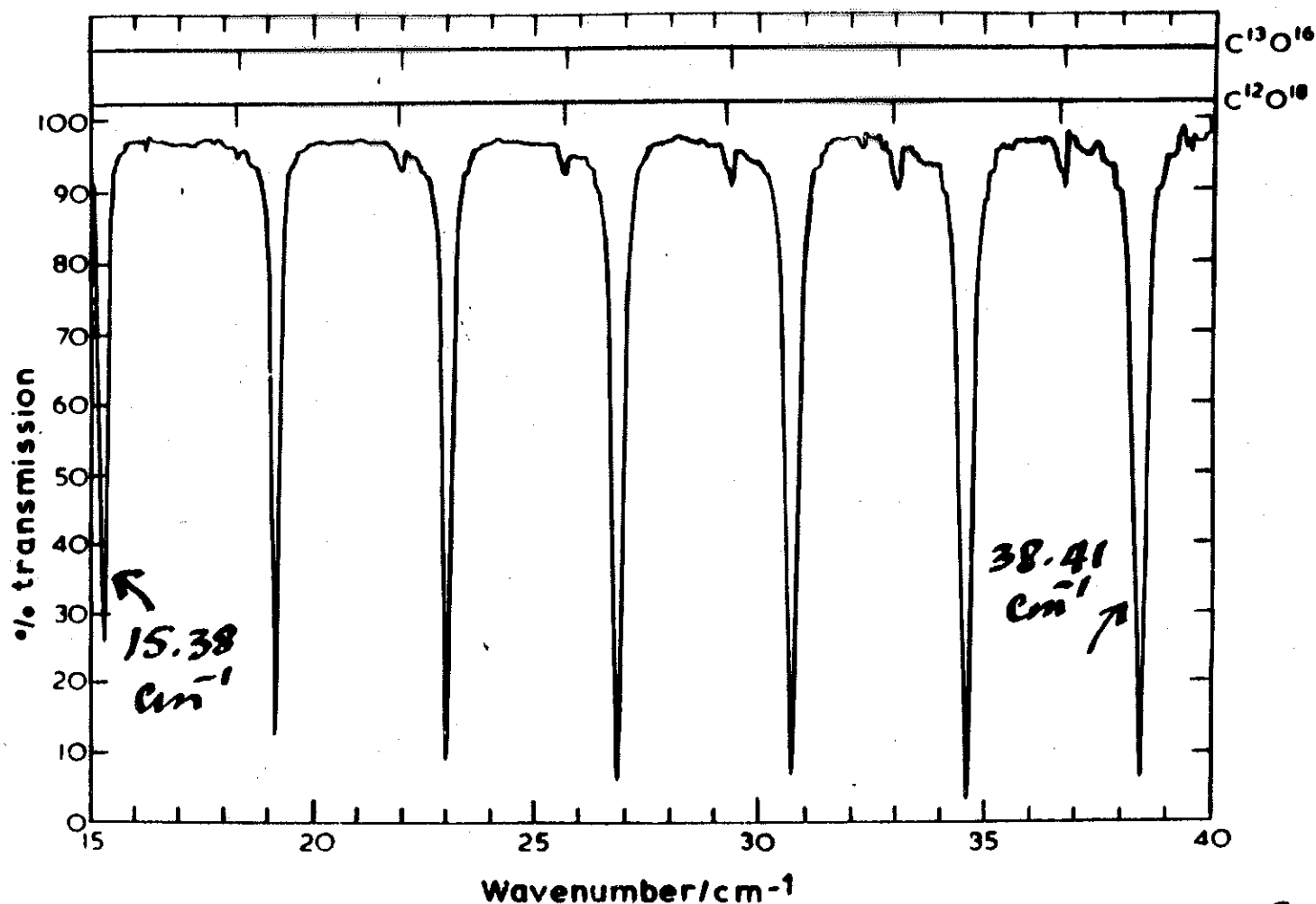
$$R(11) = 2200 \text{ cm}^{-1} = 2143.0 - 2(26.75) + [5.444 - \alpha_e]2(12) - \alpha_e(12)^2$$

$$\begin{aligned} \text{spacing} = 2200 - 1920 &= 280 \text{ cm}^{-1} = 5.444(52) - \alpha_e(52) - \alpha_e[(14)^2 - (12)^2] \\ &= 5.444(52) - 104 \alpha_e \end{aligned}$$

$\therefore \alpha_e = .029 \text{ cm}^{-1}$  which is a little off since we neglected  $\bar{D}_e$  and eye-balled the  $P(14)$  and  $R(11)$  frequencies.



# MICROWAVE



$$\nu_{J+1 \leftarrow J} = 2(J+1) \underbrace{[B_e - (v'' + \frac{1}{2})\alpha_e]}_{B_{v''}} - 4\tilde{D}_e(J+1)^3$$

hardly ever get a "head"  $B_{v''}$  because  $\tilde{D}_e$  is so small

1. INTRODUCTION TO QUANTUM MECHANICS
2. ANGULAR MOMENTUM
3. THE HYDROGEN ATOM
4. MATRIX REPRESENTATION OF QUANTUM MECHANICS
5. ELECTRONIC STRUCTURE OF ATOMS
6. APPROXIMATION METHODS
7. DIATOMIC MOLECULES
8. MOLECULAR SPECTROSCOPY

8.1 Nature of electromagnetic radiation, the time dependent E and B fields

8.2 Quantum theory of absorption/emission of radiation: Fermi's golden rule

8.3 Einstein's coefficients for stimulated absorption/emission and Lambert-Beer law

8.4 Selection rules and transition moments for electric dipole transitions

8.5 Molecular energy levels and states

8.6 Transitions between different electronic states

8.7 Transitions within the same electronic state: vibration-rotation spectroscopy

**8.8 Symmetry of states of polyatomic molecules**

8.9 Vibration-rotation spectroscopy of polyatomics

# GROUP THEORY and APPLICATIONS OF SYMMETRY

**GROUP THEORY** is a mathematical tool for treating SYMMETRY

What is a **GROUP**?

A group is a SET made up of entities called **ELEMENTS** with a system of relations between elements of the set:

1. **CLOSURE** relation - The **PRODUCT** of any two elements of the group is an element of the group. The group is said to be **CLOSED UNDER MULTIPLICATION**

2. **ASSOCIATION** relation - The associative law holds, that is,

$$a(bc) = (ab)c$$

so that the product of any number of elements is determined uniquely by the order in which they are multiplied

3. **IDENTITY** - The group contains an identity element. There is only one possible, that is, an element which **COMMUTES** with all the others and leave them unchanged.

$$aE = a$$

$$Ea = a$$

4. **INVERSE** - The **INVERSE** of any element of the group is also contained in the group.

$$a^{-1}a = E$$

$$aa^{-1} = E$$

For each element there is only one inverse

The  
GROUP  
POSTULATES



In general, the elements of a group do NOT COMMUTE, that is,

$ab$  is not in general the same as  $ba$

**ORDER** of a group = number of group elements

**GROUP THEORY** - is the collection of theorems concerning groups which can be derived from the group postulates and the specific nature of the combining laws.

The group structure is completely determined if we know the products of any two elements of the group - can be displayed in a

### **MULTIPLICATION TABLE**

For a group of order 4, having elements  $E, a, b, \text{ and } c$

	$E$	$a$	$b$	$c$
$E$	$E$	$a$	$b$	$c$
$a$	$a$	$a^2$	$ab$	$ac$
$b$	$b$	$ba$	$b^2$	$bc$
$c$	$c$	$ca$	$cb$	$c^2$

$(0, 1, 2, 3)$  under addition modulo 4

	$0$	$1$	$2$	$3$
$0$	$0$	$1$	$2$	$3$
$1$	$1$	$2$	$3$	$0$
$2$	$2$	$3$	$0$	$1$
$3$	$3$	$0$	$1$	$2$

identity element 1

inverses :  $1, 1$

$-1, -1$

$i, -i$

How to check if multiplication table is correct:

IN EACH ROW each element appears once and only once

IN EACH COLUMN each element appears once and only once



**SUBGROUPS** - Within a GROUP there are smaller groups. The order of a SUBGROUP must be an INTEGRAL FACTOR of the ORDER of the group. A group of order 6 can only have subgroups of order 1, 2, or 3.

**CLASSES** - A class is a complete set of elements which are CONJUGATE to one another, which means they are related by SIMILARITY TRANSFORMATIONS  $A = Y^{-1}BY$   $B = X^{-1}AX$  where X and Y do not necessarily belong to the same class as A or B.

If A is CONJUGATE to B and C, then B and C are conjugate with each other. The ORDER of a CLASS must be an integral factor of the order of the group. A group of order 6 can only have classes of order 1, 2, or 3.

## **SYMMETRY ELEMENTS and SYMMETRY OPERATIONS**

A set of SYMMETRY OPERATIONS (complete but non-redundant) constitutes a group.

**EQUIVALENT** points or atoms are INDISTINGUISHABLE

**SYMMETRY OPERATION** - a movement of a body such that after the movement has been carried out EVERY POINT OF THE BODY IS COINCIDENT WITH AN EQUIVALENT POINT OF THE BODY IN ITS ORIGINAL ORIENTATION

BY THE POSITION AND ORIENTATION OF THE BODY AFTER PERFORMING THE OPERATION IS INDISTINGUISHABLE THOUGH NOT NECESSARILY IDENTICAL TO THE ORIGINAL.

**SYMMETRY ELEMENTS** - Geometrical entities: line, plane, point with respect to which SYMMETRY OPERATIONS may be carried out.

### SYMMETRY ELEMENT

$\sigma$  plane  
 $i$  center of symmetry  
 center of inversion  
 $C_n$  proper axis

$S_n$  improper axis

### SYMMETRY OPERATION

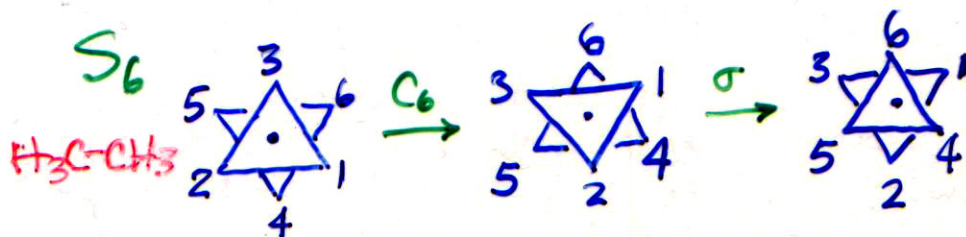
$\sigma$  reflection through the plane  
 $i$  inversion through the center  
 $C_n$  proper rotation (counterclockwise) by  $2\pi/n$  about the axis

$S_n$  improper rotation that is, the sequence  $\sigma C_n$  rotation followed by reflection

All these operations leave one point (the center) unchanged

### POINT GROUP

as opposed to SPACE GROUP or LINE GROUP

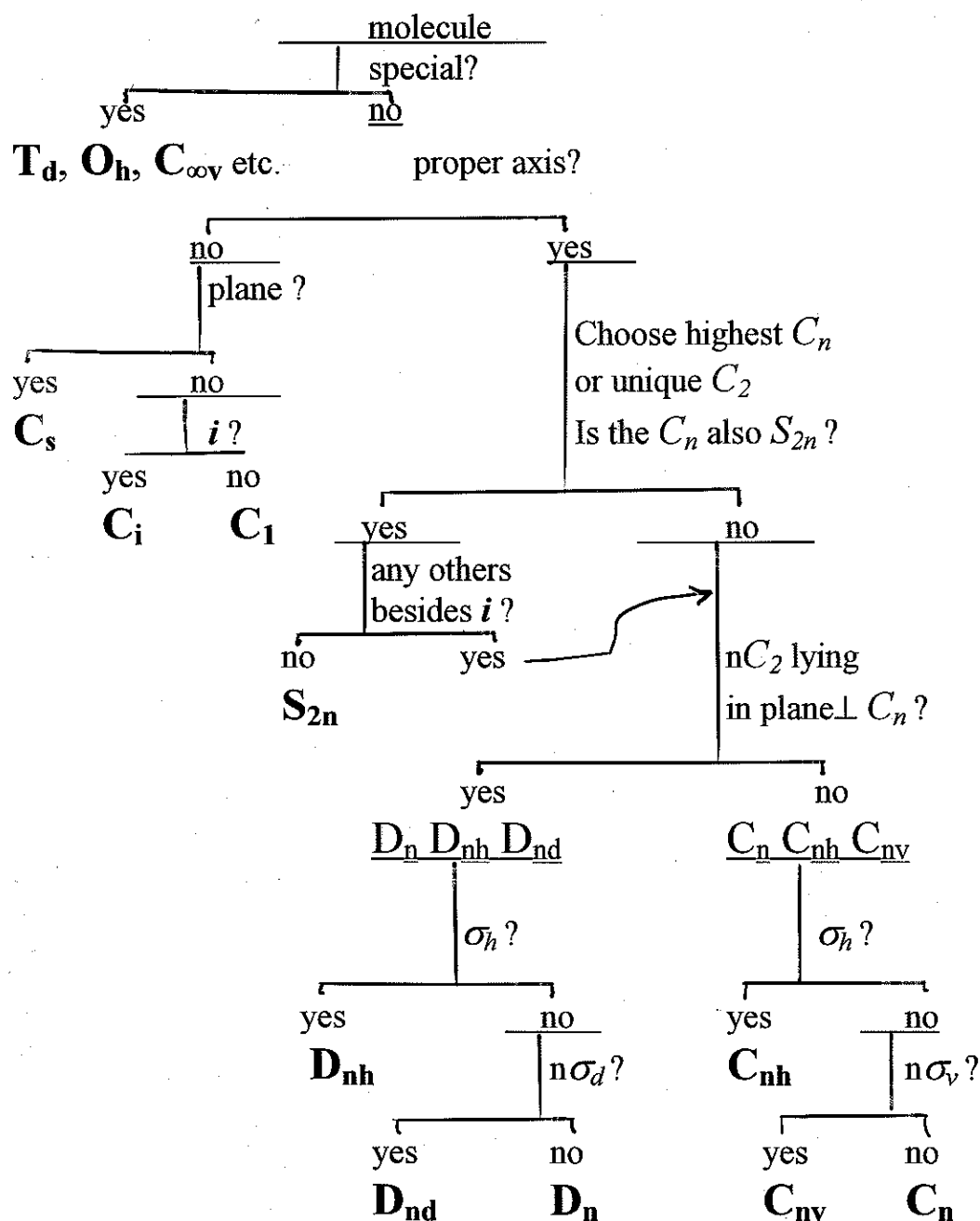


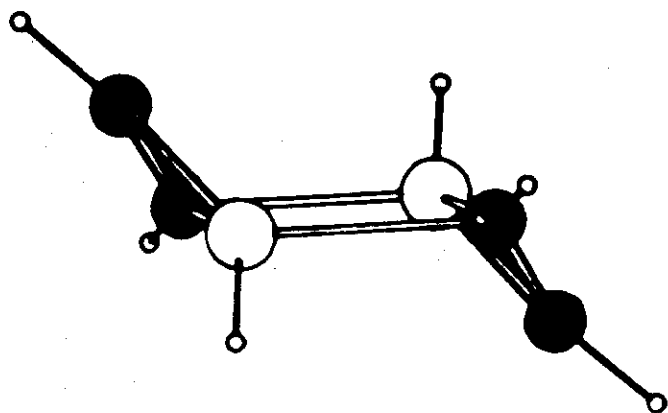


# SYMMETRY POINT GROUPS

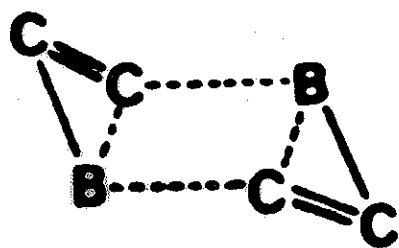
SYMMETRY ELEMENT	SYMMETRY OPERATIONS (ELEMENTS of the GROUP)	ORDER	GROUP
none	$E$	1	$C_1$
$\sigma$	$\sigma, \sigma^2 = E$	2	$C_s$
$i$	$i, i^2 = E$	2	$C_i$
$C_n$	$C_n, C_n^2, C_n^3, \dots, C_n^n = E$	$n$	$C_n$
$S_n$ ( $n$ even)	$S_n, S_n^2 = C_{n/2}, S_n^3, \dots, S_n^n = E$	$n$	$S_n$
$S_n$ ( $n$ odd) or $C_n + \sigma_h$	$n$ odd means that $\sigma_h$ and $C_n$ must also exist $S_n, S_n^2 = C_n^2, S_n^3 = C_n^3, \dots, S_n^n = \sigma_h, S_n^{n+1} = C_n, S_n^{n+2} = S_n, S_n^{n+3} = C_n^2, \dots, S_n^{2n} = C_n^n = E$	$2n$	$C_{nh}$
$C_n + nC_2 (\perp C_n)$	$C_n, C_n^2, \dots, C_n^n = E + nC_2$	$2n$	$D_n$
$C_n + n\sigma_v$	$C_n, C_n^2, \dots, C_n^n = E + n\sigma_v$	$2n$	$C_{nv}$
$C_n + n\sigma_v + \sigma_h$	$C_n, C_n^2, \dots, C_n^n = E + n\sigma_v + nC_2 + S_n, S_n^2, \dots, S_n^n = \sigma_h$ ( $\sigma_v + \sigma_h$ create $C_2$ operations)	$4n$	$D_{nh}$
$C_n + nC_2 (\perp C_n) + n\sigma_d$ bisects angles between adjacent pairs of $C_2$ axes	$C_n, \dots, C_n^n = E + nC_2 + n\sigma_d + S_{2n}, S_{2n}^3, S_{2n}^5, \dots, S_{2n}^{2n-1}$ (only odd powers since even ones are $S_{2n}^2 = C_n$ etc)	$4n$	$D_{nd}$
special groups $C_\infty + \infty\sigma_v$ $C_\infty + \infty\sigma_v + \sigma_h$		$\infty$	$C_{\infty v}$
		$\infty$	$D_{\infty h}$
	operations on a tetrahedron	24	$T_d$
	operations on an octahedron	48	$O_h$

# Systematic symmetry classification of molecules:

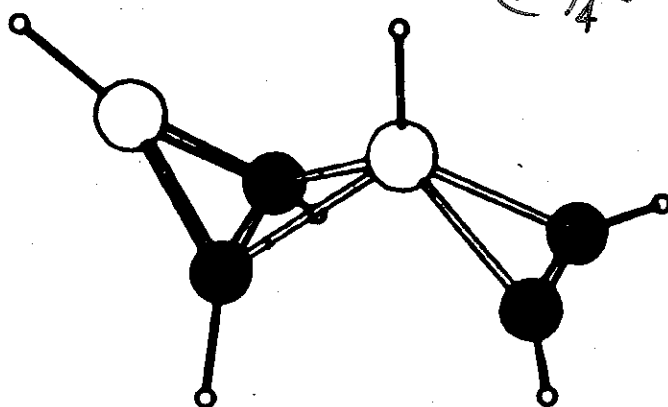




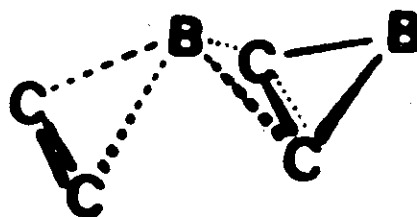
$(CH)_4(BH)_2$  T.S.



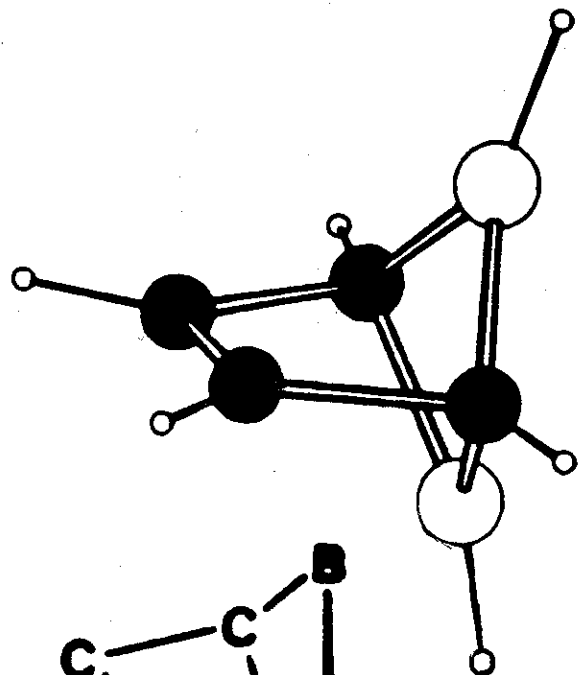
$C_i$



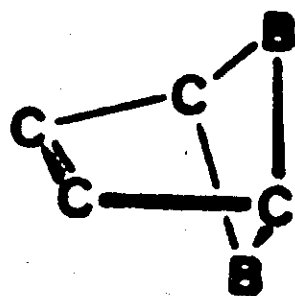
$(CH)_4(BH)_2$  T.S.



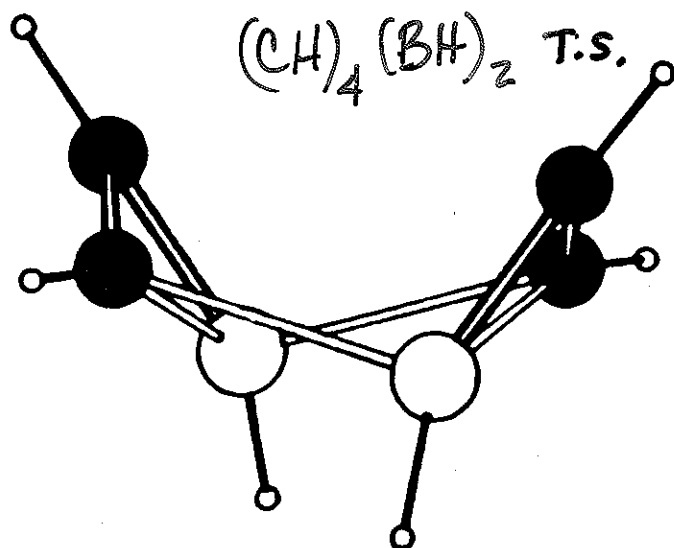
$C_s$



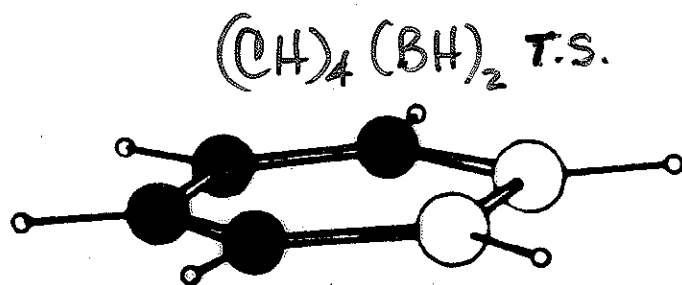
$(CH)_4(BH)_2$   
5,6-diborabicyclo[2.1.1]hexene



$C_{2v}$

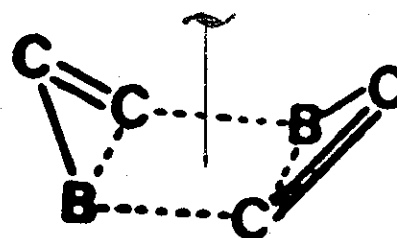


$(CH)_4(BH)_2$  T.S.

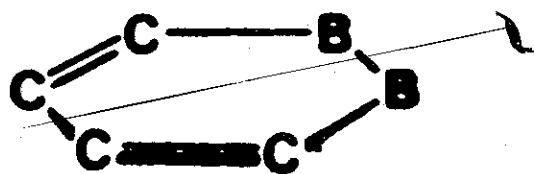


$(CH)_4(BH)_2$  T.S.

1,2-diboracyclohexa-3,5-diene

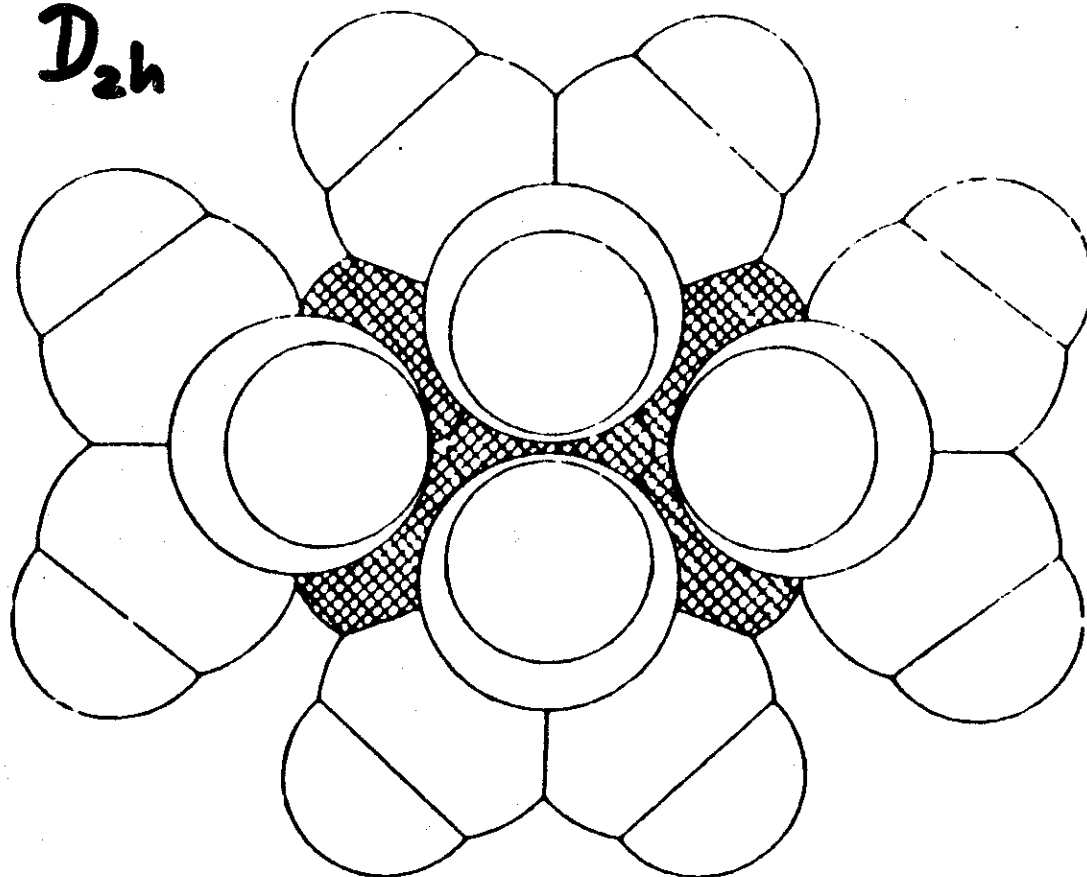


$C_2$

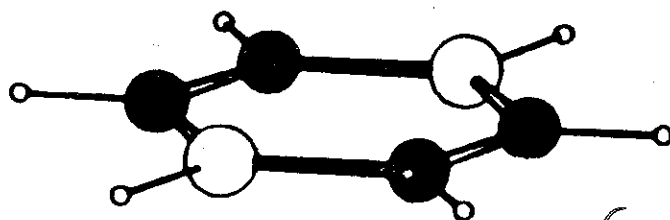


$C_{2v}$

$D_{2h}$

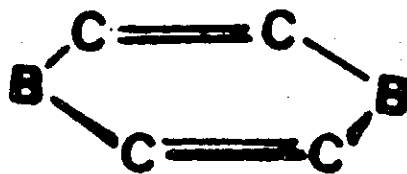


$\text{Cu}_5\text{Fe}_4(\text{CO})_{16}^{3-}$  cluster anion  
viewed  $\perp$  to the plane of the  
metal atoms (Cu atoms are  
shaded)

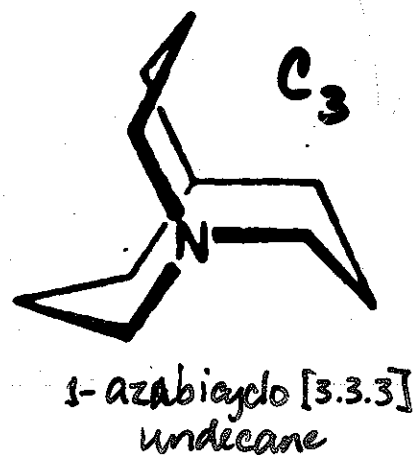
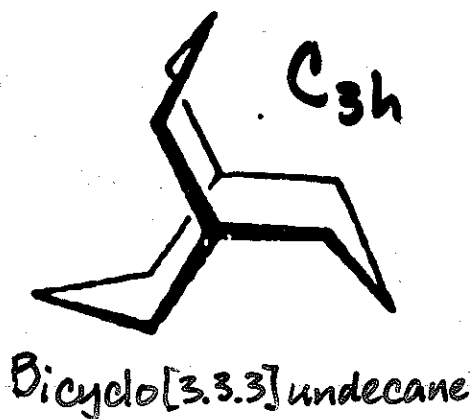
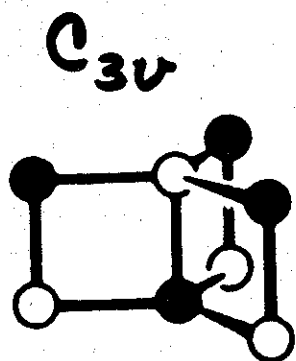
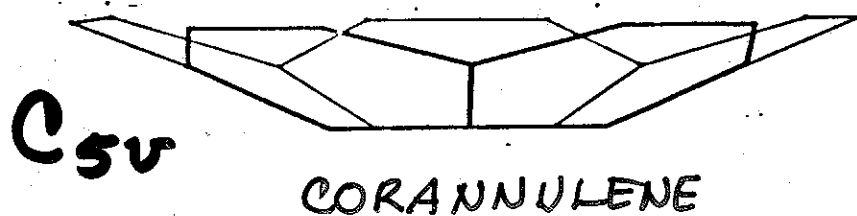
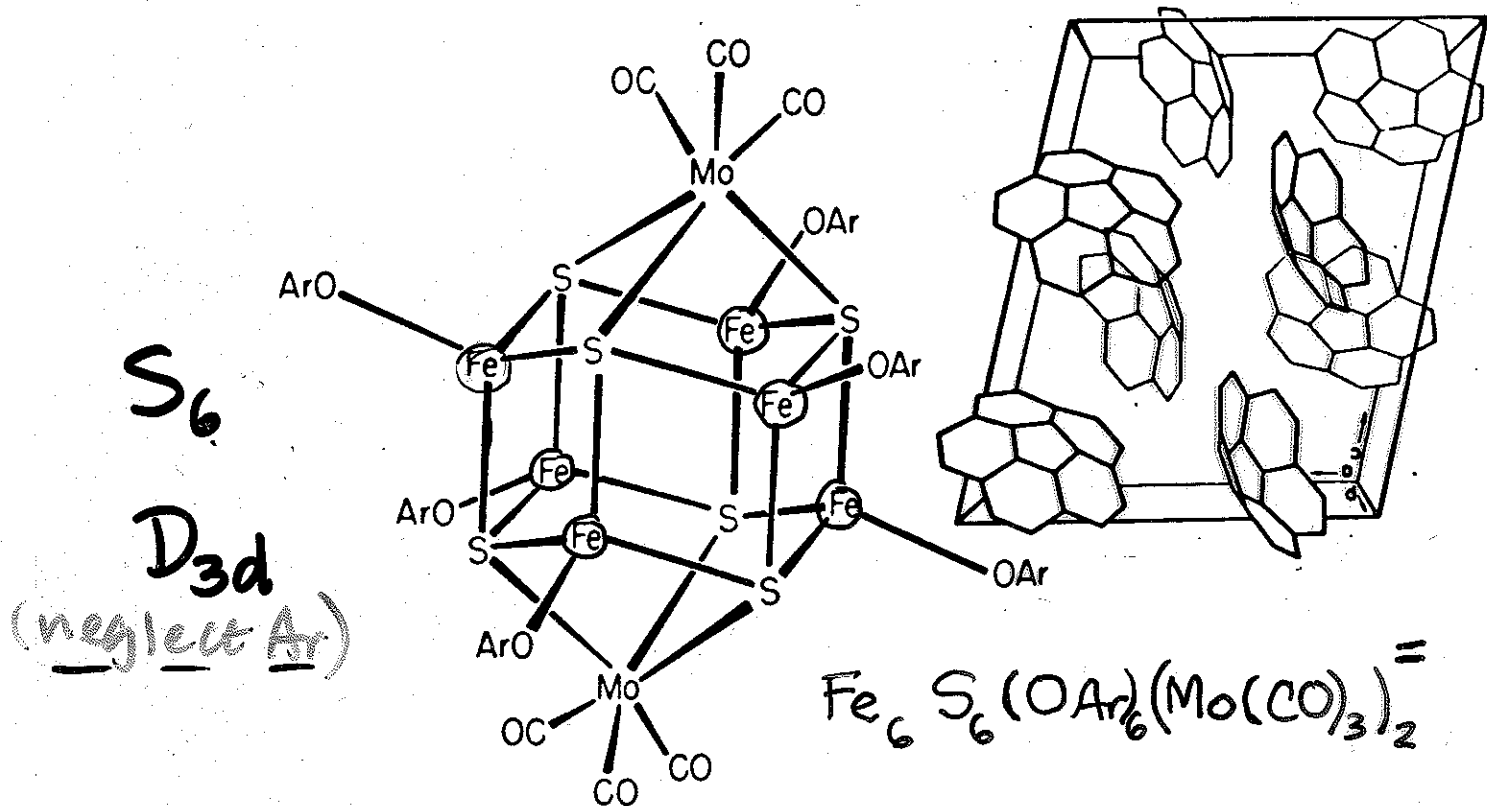


$(\text{CH})_4(\text{BH})_2$

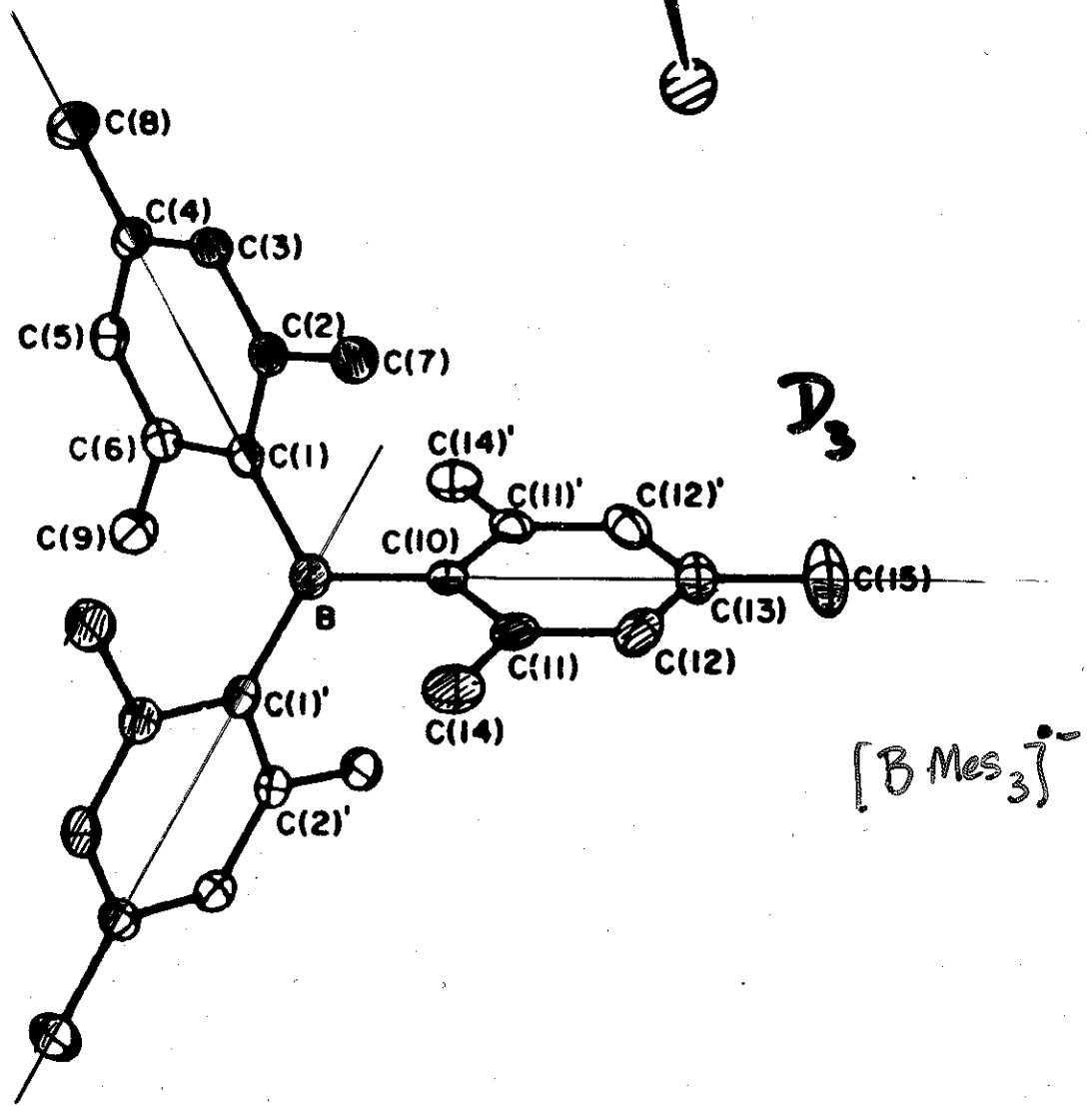
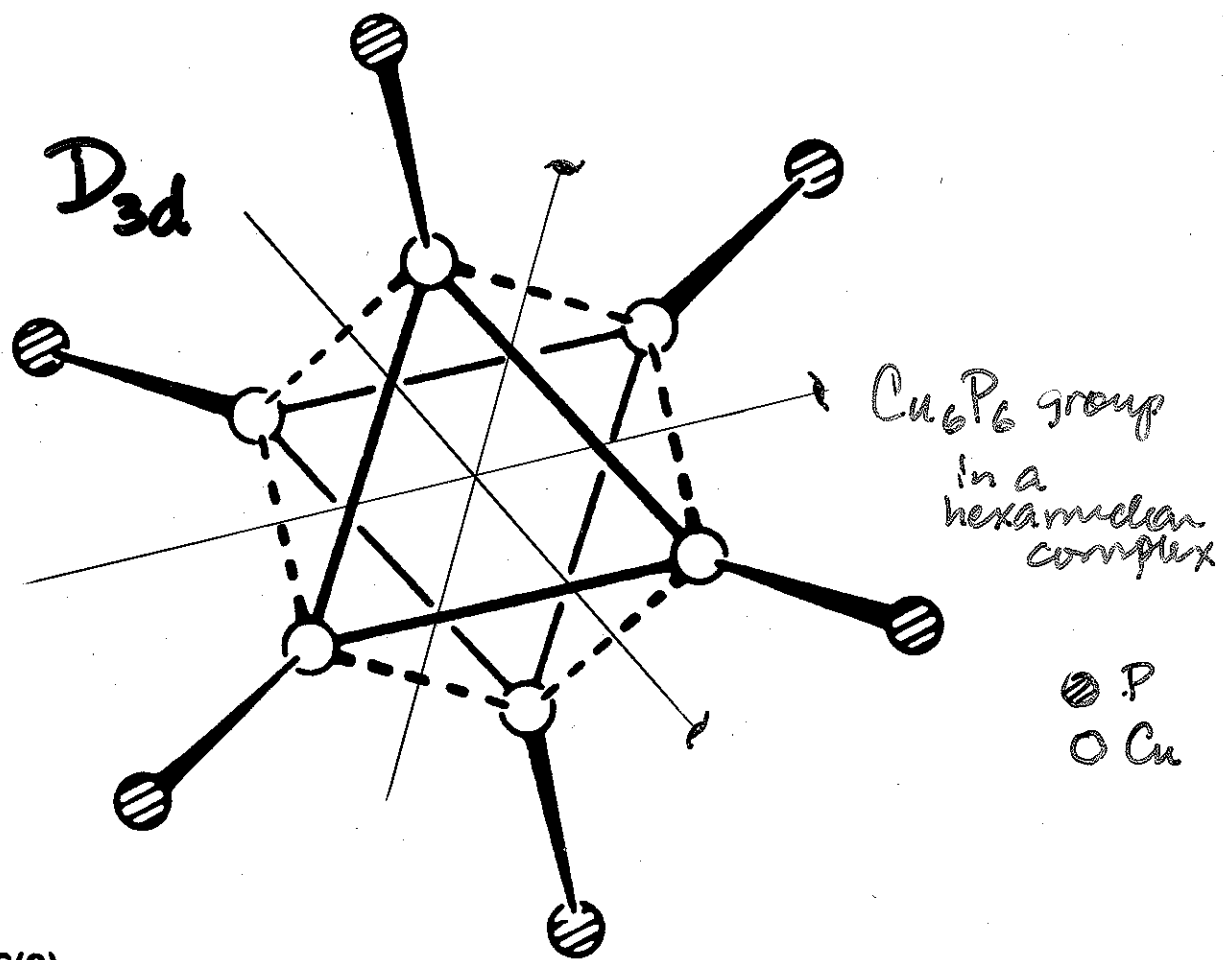
1,4-diboracyclohexa-  
2,5-diene

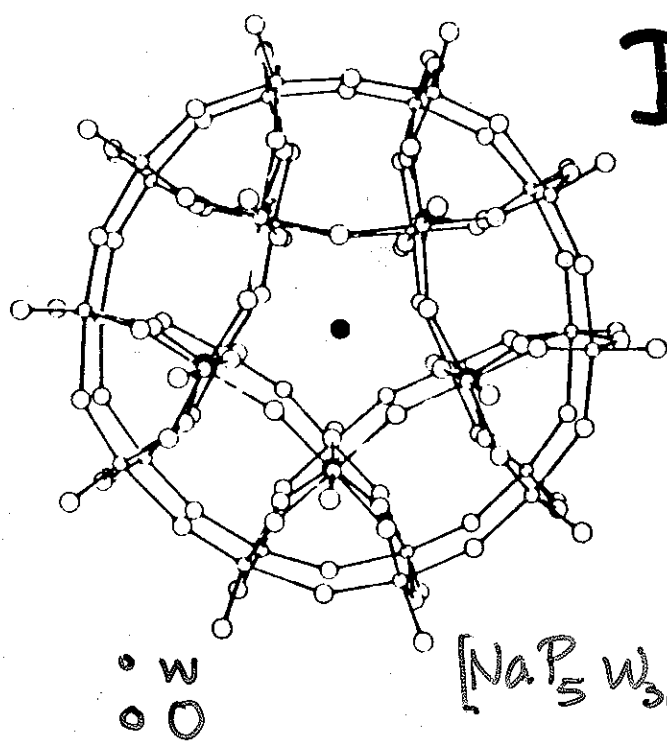
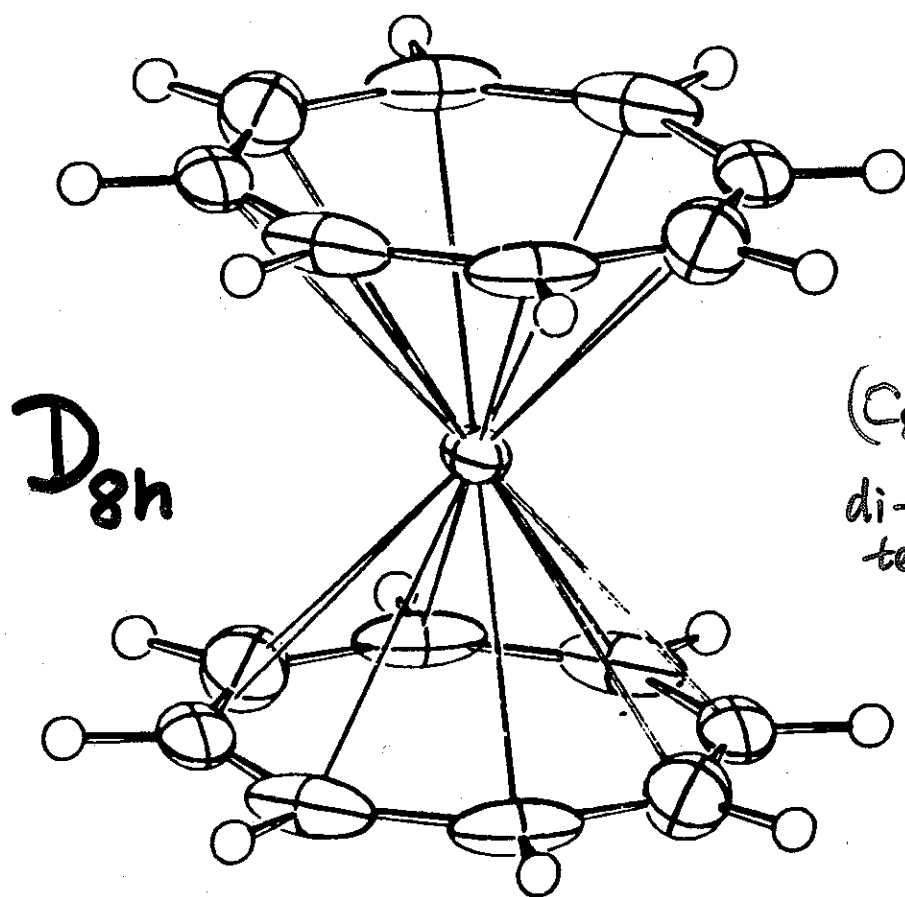


$D_{2h}$

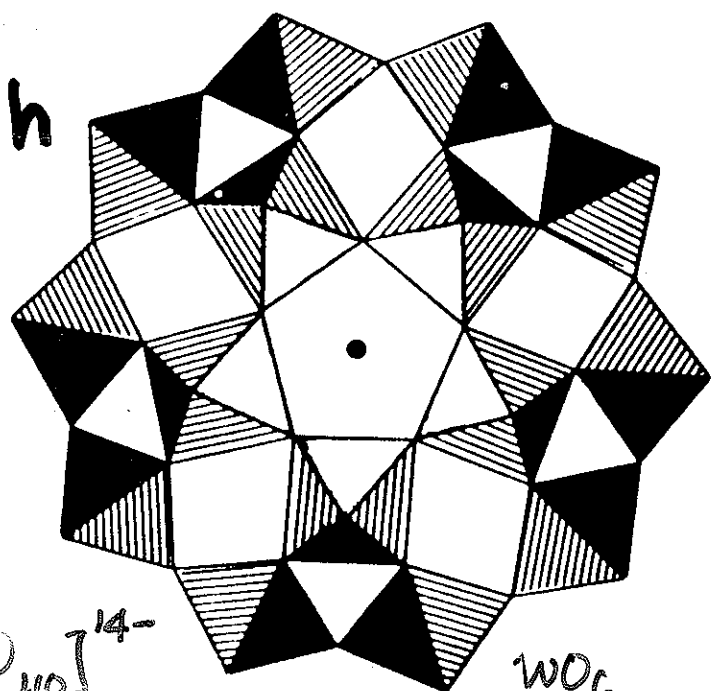


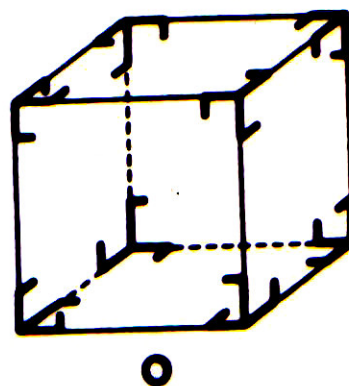
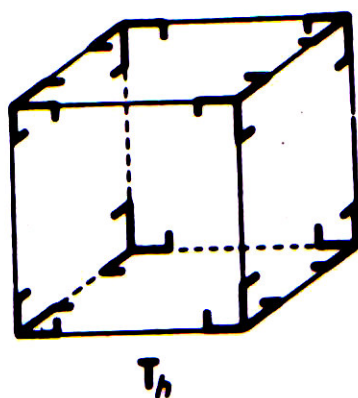
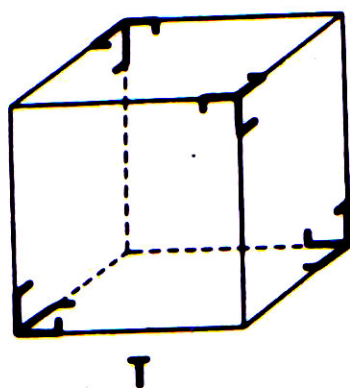
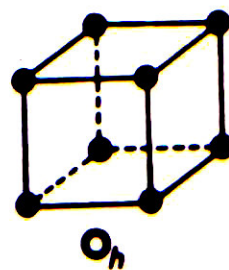
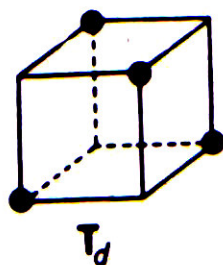






$D_{5h}$





# MATRIX REPRESENTATIONS

REPRESENTATION - a set of SQUARE MATRICES each corresponding to a single operation in the group which can be combined among themselves in a manner parallel to the way in which the group elements combine.

For example, the  $C_{3v}$  group has the following 6 SYMMETRY OPERATIONS:

$$E \quad C_3 \quad C_3^2 \quad \sigma_v \quad \sigma_v' \quad \sigma_v''$$

A possible matrix representation of  $C_{3v}$  is the set of matrices; a one-dimensional representation

$$[1] \quad [1] \quad [1] \quad [1] \quad [1] \quad [1] \quad \text{1x1 matrices}$$

or the set of matrices: a 3-dimensional representation

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} \quad \text{3x3 matrices}$$

$$D(E) \quad D(C_3) \quad D(C_3^2) \quad D(\sigma_v) \quad D(\sigma_v') \quad D(\sigma_v'')$$

Multiplication of the matrices follow the rules of multiplication of the symmetry operations themselves. For example:

$$C_3 C_3^2 = E \quad C_3 \sigma_v' = \sigma_v''$$

$$[1] [1] = [1] \quad [1] [1] = [1]$$

$$\text{or} \quad \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$$

In this way, the square matrices REPRESENT the elements of the group.

Some representations are **REDUCIBLE**. This means that it is possible, using some matrix  $U$  to TRANSFORM EACH MATRIX in the set into a BLOCKED-OUT MATRIX, which can be taken apart in IDENTICAL MANNER, to give 2 or more representations of smaller dimension.

For example

$$\begin{array}{cccccc}
 E & C_3 & C_3^2 & \sigma_v & \sigma_v' & \sigma_v'' \\
 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} & \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix} & \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} & \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}
 \end{array}$$

$$\begin{array}{cccccc}
 \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow U^{-1} \begin{bmatrix} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{bmatrix} U \\
 \begin{bmatrix} \text{---} & 0 & 0 \\ 0 & \text{---} & \text{---} \\ 0 & \text{---} & \text{---} \end{bmatrix} & \begin{bmatrix} \text{---} & 0 & 0 \\ 0 & \text{---} & \text{---} \\ 0 & \text{---} & \text{---} \end{bmatrix} & \begin{bmatrix} \text{---} & 0 & 0 \\ 0 & \text{---} & \text{---} \\ 0 & \text{---} & \text{---} \end{bmatrix} & \begin{bmatrix} \text{---} & 0 & 0 \\ 0 & \text{---} & \text{---} \\ 0 & \text{---} & \text{---} \end{bmatrix} & \begin{bmatrix} \text{---} & 0 & 0 \\ 0 & \text{---} & \text{---} \\ 0 & \text{---} & \text{---} \end{bmatrix} & \begin{bmatrix} \text{---} & 0 & 0 \\ 0 & \text{---} & \text{---} \\ 0 & \text{---} & \text{---} \end{bmatrix}
 \end{array}$$

after the transformation

CHARACTER  
 $\chi$

3

0

0

1

1

THE CHARACTER OF THE IDENTITY OPERATION IS 3

**IRREDUCIBLE REPRESENTATION** - a representation

made up of matrices such that it is impossible to reduce ALL by the same similarity transformation.

BEFORE and AFTER the SIMILARITY TRANSFORMATION the SUM OF THE DIAGONAL ELEMENTS (called the TRACE of the matrix, or the CHARACTER) of each matrix remains the same.

In a given REPRESENTATION (reducible or not) the CHARACTERS of all matrices BELONGING to SYMMETRY OPERATIONS in the SAME CLASS are IDENTICAL

For example, in  $C_{3v}$  the 3 classes are

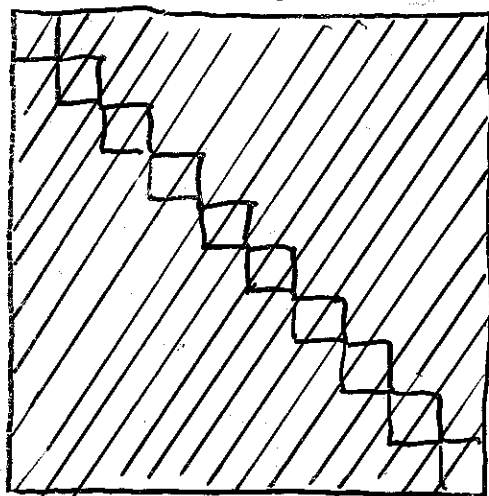
$$\begin{array}{ccc}
 (E) & (C_3 \text{ and } C_3^2) & (\text{all } \sigma_v) \\
 \chi & 3 & 0 \quad 1
 \end{array}$$

Since the TRACE (sum of diagonal elements) of a matrix is not changed by any similarity transformation, then, for each operation  $R_{op}$ :

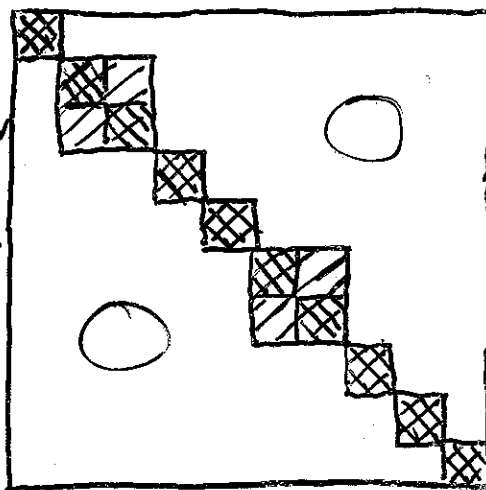
$$\chi^{red}(R_{op}) = \sum_i a_i \chi^{(i)}(R_{op})$$

The number of times that the block constituting the  $i^{th}$  IRREP will appear along the diagonal after the reducible representation is completely reduced.

GROUP  $C_{3V}$ , operation  $C_3$



SIMILARITY  
TRANS-  
FORMATION



$$\chi^{red}(C_3) = 4$$

$$2\chi^{A_1}(C_3) + 4\chi^{A_2}(C_3) + 2\chi^E(C_3) = 4$$

ALL 6 matrices BLOCK OUT IN THIS WAY.  
(for 6 symmetry operations)

From the above we can prove the THEOREM (by using LOT)

A VERY  
USEFUL  
RELATION

$$a_i = \frac{1}{h} \sum_{R_{op}} \chi^{red}(R_{op}) \chi^{(i)*}(R_{op})$$

THIS ALLOWS US TO FIND HOW MANY TIMES an IRREP is contained in a reducible REPRESENTATION

RECALL that  $\Psi = \sum_j c_j \phi_j$  where  $\phi_j$  are functions in a complete orthonormal set

To find  $c_i$

Operate on both sides with  $\int \phi_i^* dz$

$$\int \phi_i^* \Psi dz = \sum_j c_j \underbrace{\int \phi_i^* \phi_j dz}_{\delta_{ij}} = \sum_j c_j \delta_{ij} = c_i$$

In other words: ORTHOGONALITY

$$c_i = \int \phi_i^* \Psi dz$$

$$\chi^{\text{red}}(R_{op}) = \sum_j a_j \chi^{(j)}(R_{op})$$

To find  $a_i$

Multiply both sides by  $\chi^{(i)*}(R_{op})$  and integrate (i.e., sum over all  $R_{op}$ )

$$\sum_{R_{op}} \chi^{(i)*}(R_{op}) \chi^{\text{red}}(R_{op}) = \sum_j a_j \underbrace{\sum_{R_{op}} \chi^{(i)*}(R_{op}) \chi^{(j)}(R_{op})}_{h \delta_{ij} \text{ LOT}} = h a_i$$

In other words:

$$a_i = \frac{1}{h} \sum_{R_{op}} \chi^{(i)*}(R_{op}) \chi^{\text{red}}(R_{op})$$



# WHAT IS SO SPECIAL ABOUT IRREDUCIBLE REPS?

## 1. THE GREAT ORTHOGONALITY THEOREM (GOT)

For a group of order  $h$  ( $=6$  for  $C_{3v}$ ), and any two IRREDUCIBLE REPRESENTATIONS

$$\mathbb{D}^l(R_{op}) \quad \text{and} \quad \mathbb{D}^{l'}(R_{op}) \quad R_{op} = E, C_3, \text{etc...}$$

belonging to symmetry species  $\Gamma_l$  and  $\Gamma_{l'}$ , the GOT states that

$$\sum_{R_{op}} \mathbb{D}_{ij}^l(R_{op}) \mathbb{D}_{i'j'}^{l'}(R_{op}) = \frac{h}{d_l} \delta_{ll'} \delta_{ii'} \delta_{jj'}$$

where  $d_l$  is the size of the matrix

In words:

If you select any position in a matrix of one irreducible rep and any position in a matrix of a second irreducible rep, multiply together the numbers you find there and then sum the product over all elements of the group, the answer is ZERO unless you choose not only **THE SAME SYMMETRY SPECIES** in the two cases, but also **THE SAME LOCATION** to take the numbers from in both sets of matrices, in which case the answer is the number  $\frac{h}{d_l}$ .

Example,  $C_{3v}$  again:

$R_{op}$ :	$E$	$C_3$	$C_3^2$	$\sigma_v$	$\sigma_v'$	$\sigma_v''$	
irrep $\Gamma_1$	[1]	[1]	[1]	[1]	[1]	[1]	$d_1=1$
irrep $\Gamma_2$	$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$	$\begin{bmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix}$	$\begin{bmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix}$	$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$	$\begin{bmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix}$	$\begin{bmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{bmatrix}$	$d_2=2$

$$\Gamma_1 \text{ and } \Gamma_1: 1^2 + 1^2 + 1^2 + 1^2 + 1^2 + 1^2 = 6$$

$$1/3 \text{ of } \Gamma_2 \text{ and } 1/3 \text{ of } \Gamma_2:$$

$$1 \cdot 0 + (-\frac{1}{2})(-\frac{1}{2}) + (-\frac{1}{2})(\frac{1}{2}) + 1 \cdot 0 + (\frac{1}{2})(\frac{1}{2}) + (-\frac{1}{2})(-\frac{1}{2}) = 0$$

$$1/3 \text{ of } \Gamma_2 \text{ and } \Gamma_1: (1/3)(1) + (-\frac{1}{2})(1) + (-\frac{1}{2})(1) + (1/3)(1) + (-\frac{1}{2})(1) + (-\frac{1}{2})(1) = 0$$



## 2. THE LITTLE ORTHOGONALITY THEOREM (LOT)

(If we start with GOT, set  $i=j$ ,  $i'=j'$  and sum over these diagonal elements we get LOT)

$$\sum_{R_{op}} \chi^{(l)}(R_{op}) * \chi^{(l')}(R_{op}) = h \delta_{ll'}$$

Think of GOT in the following way:

Any set of corresponding matrix elements (say the 1,1 element) one from each matrix, behave as the components of a vector in  $h$ -dimensional space such that all these vectors are mutually orthogonal and each is normalized so that the square of its length equals  $h/d_l$  ( $h$  = no. of symmetry ops  $R_{op}$ ,  $d_l$  = size of matrix in the  $l$ th IRREP)

Think of LOT in the following way:

The **characters** (sum of diagonal elements) of each matrix representation behave as the components of a vector in  $h$ -dimensional space such that all these vectors are mutually orthogonal.

In 3-dimensional Cartesian space the mathematical STATEMENT of ORTHOGONALITY is

$$U \cdot V = 0 \quad \text{or} \quad U_x V_x + U_y V_y + U_z V_z = 0$$

The statement in LOT for  $C_{3v}$  group is:

$$\chi^{(1)}(E) * \chi^{(2)}(E) + \chi^{(1)}(C_3) * \chi^{(2)}(C_3) + \chi^{(1)}(C_3^2) * \chi^{(2)}(C_3^2) + \chi^{(1)}(\sigma_v) * \chi^{(2)}(\sigma_v) + \chi^{(1)}(\sigma_v') * \chi^{(2)}(\sigma_v') + \chi^{(1)}(\sigma_v'') * \chi^{(2)}(\sigma_v'') = 0$$

## 3. The sum of the SQUARES of the **characters** in any IRREP equals $h$

$$\sum_{R_{op}} |\chi^{(l)}(R_{op})|^2 = h$$



4. The sum of the SQUARES of the dimensions of the IRREPS of a group is equal to the order of the group.

$$d_1^2 + d_2^2 + \dots = h$$

5. The NUMBER of IRREPS (and since each SYMMETRY SPECIES has its own IRREP), the NUMBER of SYMMETRY SPECIES is equal to the number of CLASSES in the group.

6. The character of the IDENTITY OPERATION is equal to the size (dimension) of the representation.  
of the matrix

### EXAMPLE:

Group  $C_{2v}$  has the symmetry operations

$$E \quad C_2 \quad \sigma_v \quad \sigma_v'$$

Each one is in a separate class because there is no symmetry operation that can be used to transform a rotation about an axis into a reflection, and also the symmetry operation  $C_2$  cannot be used to transform  $\sigma_v$  into  $\sigma_v'$ .

Using 5. The number of SYMMETRY SPECIES each one represented by an IRREP is 4 (same as number of classes).

Using 4.  $d_1^2 + d_2^2 + d_3^2 + d_4^2 = h = 4$  (the order of the group)  
 $\rightarrow$  only one possible solution:  
 $d_1 = d_2 = d_3 = d_4 = 1$  (all IRREPS of  $C_{2v}$  are 1-dimensional matrices)

Using 6. The character of the identity operation is 1

Using 3. The squares of the characters are all the same, all are equal to 1 since this is the only solution to the equation:  
 $|X(E)|^2 + |X(C_2)|^2 + |X(\sigma_v)|^2 + |X(\sigma_v')|^2 = 4$  for each IRREP

This would mean that  $\chi^{(g)}(R_{op}) = +1$  or  $-1$ .  
 We find all the possible combinations of  $+1$  and  $-1$  values such that they are ORTHOGONAL (using 2.):

Group  $C_{2v}$

	Symmetry Operations				Symmetry Species Name
	E	$C_2$	$\sigma_v$	$\sigma_v'$	
IRREP 1 for SYMMETRY SPECIES 1	[1]	[1]	[1]	[1]	$A_1$
IRREP 2 for SYMMETRY SPECIES 2	[1]	[-1]	[-1]	[1]	$B_2$
IRREP 3 for SYMMETRY SPECIES 3	[1]	[-1]	[1]	[-1]	$B_1$
IRREP 4 for SYMMETRY SPECIES 4	[1]	[1]	[-1]	[-1]	$A_2$

$\chi(E)$  is the dimension of the IRREP  
 Choices of  $+1$  or  $-1$  such as to ensure ORTHOGONALITY

## HOW TO NAME THE SYMMETRY SPECIES or IRREP

- Based on the dimension of the IRREP (based on)  $\chi(C_n)$ 
  - 1 A or B
  - 2 E
  - 3 T (or F in other books)
- Subscript
  - 1 if SYMMETRIC with respect to  $C_2 \perp C_n$  or with respect to  $\sigma \parallel C_n$
  - 2 if ANTISYMMETRIC (character is negative)

NOT ALWAYS subscript 3 also
- Prime or double prime
  - 1 if SYMMETRIC with respect to  $\sigma_h$
  - 2 if ANTISYMMETRIC
- g or u
  - 1 if SYMMETRIC with respect to i
  - 2 if ANTISYMMETRIC

# NOTATION FOR THE IRREDUCIBLE REPRESENTATIONS OF POINT GROUPS

Property represented	Symbol	Meaning
Degeneracy of representation	<i>A, B</i>	Non degenerate
	<i>E</i>	Double degenerate
	<i>T</i>	Triple degenerate
	<i>G</i>	Fourfold degenerate
	<i>H</i>	Fivefold degenerate
Symmetry with respect to the principal axis	<i>A</i>	Symmetrical
	<i>B</i>	Antisymmetrical
Symmetry with respect to inversion	Suffix <i>g</i>	Symmetrical (in German: <i>gerade</i> )
	Suffix <i>u</i>	Antisymmetrical (in German: <i>ungerade</i> )
	Used with any of the above symbols	
Symmetry with respect to $\sigma_h$	Prime ' <i>A</i>	Symmetrical
	Double prime '' <i>A</i>	Antisymmetrical
	Used with any of the above symbols	
Symmetry with respect to the secondary binary axes	Suffix 1	The most symmetrical of the <i>A</i> or <i>B</i> representations
	Suffixes 2, 3	Other <i>A</i> or <i>B</i> representations
	Used with symbols <i>A</i> or <i>B</i>	
Transformation properties of the spherical harmonics (see § 6.5)	Suffix 1	Representation to which there belongs the spherical harmonic with $m = 1$
	Suffix 2	Representation to which there belongs the spherical harmonic with $m = 2$
	Used with symbol <i>E</i>	

**EXAMPLES:** A CHARACTER TABLE SHOWS THE character  $\chi$  for each symmetry operation  $R_{op}$  (in classes) for the various IRREDUCIBLE REPRESENTATIONS labeled by their SYMMETRY SPECIES. These  $R_{op}$  each in a class by itself

GROUP of order 8  $D_{2h}$

	$E$	$C_2(z)$	$C_2(y)$	$C_2(x)$	$i$	$\sigma(xy)$	$\sigma(xz)$	$\sigma(yz)$
SYMMETRY SPECIES $A_g$	1	1	1	1	1	1	1	1
$B_{1g}$	1	1	-1	-1	1	1	-1	-1
$B_{2g}$	1	-1	1	-1	1	-1	1	-1
$B_{3g}$	1	-1	-1	1	1	-1	-1	1
$A_u$	1	1	1	1	-1	-1	-1	-1
$B_{1u}$	1	1	-1	-1	-1	-1	1	1
$B_{2u}$	1	-1	1	-1	-1	1	-1	1
$B_{3u}$	1	-1	-1	1	-1	1	1	-1

$\chi$  points to the  $B_{1g}$  row,  $\sigma(yz)$  column.

GROUP of order 12  $D_{3h}$

	$E$	$2C_3$	$3C_2$	$\sigma_h$	$2S_6$	$3\sigma_v$
$A_1'$	1	1	1	1	1	1
$A_2'$	1	1	-1	1	1	-1
$E'$	2	-1	0	2	-1	0
$A_1''$	1	1	1	-1	-1	-1
$A_2''$	1	1	-1	-1	-1	1
$E''$	2	-1	0	-2	1	0

all in one class  $\sigma_v, \sigma_v', \sigma_v''$

6 classes 6 SYMMETRY SPECIES (IRREPS)

THESE ARE ALSO THE IRREPS THEMSELVES SINCE all are  $1 \times 1$  MATRICES for group  $D_{2h}$

2-dim- $E'$  and  $E''$  are 2-dim IRREPS

Subscript 2 under  $0$  in  $E'$  and  $E''$  rows.

$O_h$

	$E$	$8C_3$	$6C_2$	$6C_4$	$3C_2(=C_4^2)$	$i$	$6S_4$	$8S_6$	$3\sigma_h$	$6\sigma_d$
3-dim- $A_{1g}$	1	1	1	1	1	1	1	1	1	1
$T_{2g}$	3	0	1	-1	-1	3	-1	0	-1	1
$A_{1u}$	1	1	1	1	1	-1	-1	-1	-1	-1
$T_{2u}$	3	0	1	-1	-1	-3	1	0	1	-1

$\chi(E)$  gives the dimension of the IRREP

there are a total of 10 SYMMETRY SPECIES for  $O_h$



# HOW TO GENERATE A MATRIX REPRESENTATION (usually a REDUCIBLE one) BY USING A BASIS

Step ① Choose the basis

(orbitals, arrows, objects, cartesian displacements, etc.)

For example: for  $C_{3v}$  one could use as a basis for a 4x4 representation the following

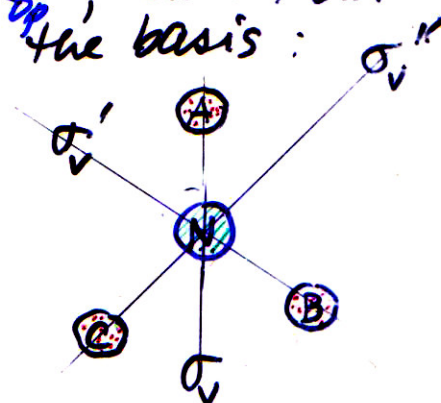
$\begin{bmatrix} s_N \\ s_A \\ s_B \\ s_C \end{bmatrix}$	2s orbital on N of $NH_3$ molecule
	1s orbital on $H_A$
	1s orbital on $H_B$
	1s orbital on $H_C$

Step ② To find the matrix representation of each symmetry operation  $R_{op}$ , CARRY OUT THAT SYMMETRY OPERATION on the basis:

SYMMETRY OPERATION

BASIS

$$\begin{aligned} \sigma_v s_N &= s_N \\ \sigma_v s_A &= s_A \\ \sigma_v s_B &= s_C \\ \sigma_v s_C &= s_B \end{aligned}$$



Put these in matrix form of equations.

$$\sigma_v \begin{bmatrix} s_N \\ s_A \\ s_B \\ s_C \end{bmatrix} = \begin{matrix} s_N & s_A & s_B & s_C \\ \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} & \begin{bmatrix} s_N \\ s_A \\ s_B \\ s_C \end{bmatrix} \end{matrix}$$

after matrix multiplication  $\begin{bmatrix} s_N \\ s_A \\ s_C \\ s_B \end{bmatrix}$

This matrix  $D(\sigma_v)$  is the matrix representation of  $\sigma_v$  in this basis



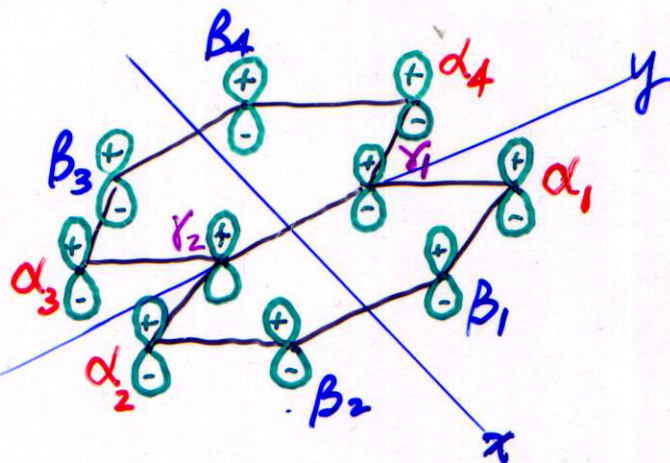
Equivalent but less commonly used form is to use a row vector (Atkins does it this way):

$$\sigma_V [S_N \ S_A \ S_B \ S_C] = [S_N \ S_A \ S_B \ S_C] \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{matrix} \text{after} \\ \Rightarrow \\ \text{matrix} \\ \text{multi-} \\ \text{plication} \end{matrix}$$

$[S_N \ S_A \ S_C \ S_B] \leftarrow$

## Another EXAMPLE:

Choose the basis of ten  $2p_z$  orbitals of naphthalene for the group  $D_{2h}$ . This gives a reducible  $10 \times 10$  matrix representation.



$D_{2h}$	$R_{op} =$	$E$	$\sigma_{xy}$	$\sigma_{xz}$	$\sigma_{yz}$	$i$	$C_2(z)$	$C_2(y)$	$C_2(x)$
	$R_{op} \alpha_1 =$	$\alpha_1$	$-\alpha_1$	$\alpha_2$	$\alpha_4$	$-\alpha_3$	$\alpha_3$	$-\alpha_4$	$-\alpha_2$
	$R_{op} \alpha_2 =$	$\alpha_2$	$-\alpha_2$	$\alpha_1$	$\alpha_3$	$-\alpha_4$	$\alpha_4$	$-\alpha_3$	$-\alpha_1$
	$R_{op} \alpha_3 =$	$\alpha_3$	$-\alpha_3$	$\alpha_4$	$\alpha_2$	$-\alpha_1$	$\alpha_1$	$-\alpha_2$	$-\alpha_4$
	$R_{op} \alpha_4 =$	$\alpha_4$	$-\alpha_4$	$\alpha_3$	$\alpha_1$	$-\alpha_2$	$\alpha_2$	$-\alpha_1$	$-\alpha_3$
	$R_{op} \beta_1 =$	$\beta_1$	$-\beta_1$	$\beta_2$	$\beta_4$	$-\beta_3$	$\beta_3$	$-\beta_4$	$-\beta_2$
	$R_{op} \beta_2 =$	$\beta_2$	$-\beta_2$	$\beta_1$	$\beta_3$	$-\beta_4$	$\beta_4$	$-\beta_3$	$-\beta_1$
	$R_{op} \beta_3 =$	$\beta_3$	$-\beta_3$	$\beta_4$	$\beta_2$	$-\beta_1$	$\beta_1$	$-\beta_2$	$-\beta_4$
	$R_{op} \beta_4 =$	$\beta_4$	$-\beta_4$	$\beta_3$	$\beta_1$	$-\beta_2$	$\beta_2$	$-\beta_1$	$-\beta_3$
	$R_{op} \gamma_1 =$	$\gamma_1$	$-\gamma_1$	$\gamma_2$	$\gamma_1$	$-\gamma_2$	$\gamma_2$	$-\gamma_1$	$-\gamma_2$
	$R_{op} \gamma_2 =$	$\gamma_2$	$-\gamma_2$	$\gamma_1$	$\gamma_2$	$-\gamma_1$	$\gamma_1$	$-\gamma_2$	$-\gamma_1$



$$\sigma_{xz} \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \\ \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \\ \gamma_1 \\ \gamma_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 & & & & & & \\ 1 & 0 & 0 & 0 & & & & & & \\ 0 & 0 & 0 & 1 & & & & & & \\ 0 & 0 & 1 & 0 & & & & & & \\ & & & & 0 & 1 & 0 & 0 & & \\ & & & & 1 & 0 & 0 & 0 & & \\ & & & & 0 & 0 & 0 & 1 & & \\ & & & & 0 & 0 & 1 & 0 & & \\ & & & & & & & & 0 & 1 \\ & & & & & & & & 1 & 0 \end{bmatrix} \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \\ \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \\ \gamma_1 \\ \gamma_2 \end{bmatrix} \xrightarrow{\text{after matrix mult.}} \begin{bmatrix} \alpha_2 \\ \alpha_1 \\ \alpha_4 \\ \alpha_3 \\ \beta_2 \\ \beta_1 \\ \beta_4 \\ \beta_3 \\ \gamma_2 \\ \gamma_1 \end{bmatrix}$$

$\chi^{\text{red}}(\sigma_{xz}) = 0$

$$\sigma_{xy} \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \\ \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \\ \gamma_1 \\ \gamma_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & & & & & & \\ 0 & -1 & 0 & 0 & & & & & & \\ 0 & 0 & -1 & 0 & & & & & & \\ 0 & 0 & 0 & -1 & & & & & & \\ & & & & -1 & 0 & 0 & 0 & & \\ & & & & 0 & -1 & 0 & 0 & & \\ & & & & 0 & 0 & -1 & 0 & & \\ & & & & 0 & 0 & 0 & -1 & & \\ & & & & & & & & -1 & 0 \\ & & & & & & & & 0 & -1 \end{bmatrix} \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha_4 \\ \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \\ \gamma_1 \\ \gamma_2 \end{bmatrix} \xrightarrow{\text{after matrix mult.}} \begin{bmatrix} -\alpha_1 \\ -\alpha_2 \\ -\alpha_3 \\ -\alpha_4 \\ -\beta_1 \\ -\beta_2 \\ -\beta_3 \\ -\beta_4 \\ -\gamma_1 \\ -\gamma_2 \end{bmatrix}$$

$\chi^{\text{red}}(\sigma_{xy}) = -10$

**NOTE!** Only the "UNSHIFTED" ORBITALS give NON-ZERO DIAGONAL MATRIX ELEMENTS

Since  $\alpha$  positions can not be converted into  $\beta$  or  $\gamma$  positions by symmetry operations the  $\alpha$ ,  $\beta$ , and  $\gamma$  blocks do not ever mix for any  $R_{op}$ . This matrix representation is already partially blocked out into  $4 \times 4$ ,  $4 \times 4$ , and  $2 \times 2$



The characters of the three smaller matrix representations are easily obtained by

a) looking at the  $R_{op} \alpha_i$  etc. table

b) (even without having that table) merely counting the unshifted orbitals and getting the sign right. Those orbitals that are centered on the symmetry element (i.e., on a symmetry axis for rotation, on the center for inversion, on a symmetry plane for reflection) do not become interchanged with others in the set.

Characters		E	$\sigma_{xy}$	$\sigma_{xz}$	$\sigma_{yz}$	i	$C_2(z)$	$C_2(y)$	$C_2(x)$
$\Gamma_\alpha$	4x4	4	-4	0	0	0	0	0	0
$\Gamma_\beta$	4x4	4	-4	0	0	0	0	0	0
$\Gamma_\gamma$	2x2	2	-2	0	2	0	0	-2	0

Now, can we find the IRREDUCIBLE REPRESENTATIONS contained in the 4x4, 4x4, and 2x2 reps?

Use  $a_i = \frac{1}{h} \sum_{R_{op}} \chi^{(i)*}_{(R_{op})} \chi^{red}_{(R_{op})}$

Given the character table for  $D_{2h}$ :

symmetry species	same $R_{op}$ as above							
$A_g$	1	1	1	1	1	1	1	1
$B_{1g}$	1	1	-1	-1	1	1	-1	-1
$B_{2g}$	1	-1	1	-1	1	-1	1	-1
$B_{3g}$	1	-1	-1	1	1	-1	-1	1
$A_u$	1	-1	-1	-1	-1	1	1	1
$B_{1u}$	1	-1	1	1	-1	1	-1	-1
$B_{2u}$	1	1	-1	1	-1	-1	1	-1
$B_{3u}$	1	1	1	-1	-1	-1	-1	1

We find:

$$\Gamma_\alpha = B_{2g} \oplus B_{3g} \oplus A_u \oplus B_{1u}$$

$$\Gamma_\beta = B_{2g} \oplus B_{3g} \oplus A_u \oplus B_{1u}$$

$$\Gamma_\gamma = B_{3g} \oplus B_{1u}$$

# PROJECTION OPERATORS:

$$R \Psi_{\alpha k} = \sum_{j=1}^{l_{\alpha}} \Psi_{\alpha j} \Gamma_{\alpha}(R)_{jk}$$

where  $\Psi_{\alpha k}$  has  $l_{\alpha}$  partner functions

Multiply by  $\Gamma_{\beta}^*(R)_{j'k'}$  and sum over all  $R$

$$\sum_R \Gamma_{\beta}(R)_{j'k'}^* R \Psi_{\alpha k} = \sum_R \Gamma_{\beta}(R)_{j'k'}^* \sum_{j=1}^{l_{\alpha}} \Psi_{\alpha j} \Gamma_{\alpha}(R)_{jk}$$

$$= \sum_{j=1}^{l_{\alpha}} \underbrace{\sum_R \Gamma_{\beta}(R)_{j'k'}^* \Gamma_{\alpha}(R)_{jk}}_{\frac{1}{h} \delta_{\alpha\beta} \delta_{jj'} \delta_{kk'}} \Psi_{\alpha j} \quad \text{GOT}$$

$$\left( \frac{1}{h} \sum_R \Gamma_{\beta}(R)_{j'k'}^* R \right) \Psi_{\alpha k} = \delta_{\alpha\beta} \delta_{jj'} \delta_{kk'} \Psi_{\alpha j}$$

This generates from the function in the  $k$ th position of the set of partner functions

the function in the  $j$ th position

Therefore, if we know one function we can get the other  $l_{\alpha}-1$  functions by means of this operator - called a "projection operator"

Special case is the character operator

let  $\alpha = \beta \quad j' = k' = u$

$$\left( \frac{1}{h} \sum_R \Gamma_{\alpha}(R)_{uu}^* R \right) \Psi_{\alpha k} = \Psi_{\alpha u} \delta_{uk}$$



If we apply the projection operator to an arbitrary function  $\psi$  and then sum over all  $u=1$  up to  $l_\alpha$  both sides

$$\left( \frac{l_\alpha}{h} \sum_R \underbrace{\sum_u \chi_\alpha^*(R)_{uu}}_{\text{character}} R \right) \psi = \sum_{u=1}^{l_\alpha} \psi_{\alpha u} \underbrace{\delta_{uu}}_{\substack{\text{for each } k \\ \text{we got this}}}$$

$\uparrow$   
 $\sum_{k=1}^{l_\alpha} \psi_{\alpha k}$

$$\left( \frac{l_\alpha}{h} \sum_R \chi_\alpha^*(R) \cdot R \right) \psi = \sum_{u=1}^{l_\alpha} \psi_{\alpha u}$$

character operator

It projects out of a general function a sum of basis functions spanning the representation  $\Gamma_\alpha$

## APPLICATION:

A linear combination of  $2p_z$  orbitals on the 4 alpha carbons of naphthalene and the  $2p_z$  orbitals on the 4 beta carbons are needed to form a MOLECULAR ORBITAL THAT BELONGS TO THE SYMMETRY SPECIES  $B_{2g}$ . Ditto to form a molecular orbital that belongs to the symmetry species  $A_{1u}$ .

How do we find these symmetry adapted linear combinations that belong to a particular symmetry species (IRREP)?

Project out of the  $2p_z$  orbital  $\alpha_i$  a linear combination belonging to  $B_{2g}$  by

$$P_{B_{2g}} = \frac{d_{B_{2g}}}{h} \sum_{R_{op}} \underbrace{\chi_{B_{2g}}^*(R_{op})}_{\text{from the character table}} R_{op}$$

$$= \frac{1}{8} [E - \sigma_{xy} + \sigma_{xz} - \sigma_{yz} + i - C_2(z) + C_2(y) - C_2(x)]$$

$$P_{B_{2g}} \alpha_i = \frac{1}{8} [\alpha_1 - (-\alpha_1) + \alpha_2 - \alpha_4 + (-\alpha_3) - \alpha_3 + (-\alpha_4) - (-\alpha_2)]$$

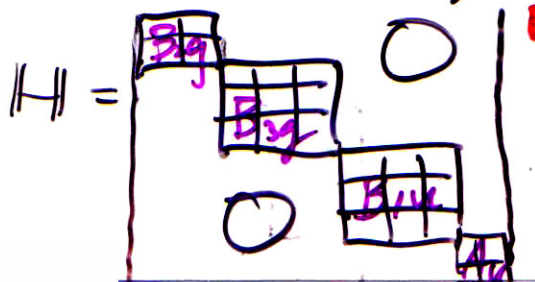
$$= \frac{1}{8} [2\alpha_1 + 2\alpha_2 - 2\alpha_3 - 2\alpha_4] \quad \text{not yet normalized}$$

$$\phi_{B_{2g}}^\alpha = N [\alpha_1 + \alpha_2 - \alpha_3 - \alpha_4] \quad \text{THIS is a SALC}$$

We can find all the 10 SALCs:

$$\phi_{B_{2g}}^\alpha \quad \phi_{B_{2g}}^\beta \quad \phi_{B_{3g}}^\alpha \quad \phi_{B_{3g}}^\beta \quad \phi_{B_{3g}}^\gamma \quad \phi_{A_{1u}}^\alpha \quad \phi_{A_{1u}}^\beta \quad \phi_{B_{1u}}^\alpha \quad \phi_{B_{1u}}^\beta \quad \phi_{B_{1u}}^\gamma$$

If we use these 10 functions as the complete set of basis functions with which to set up the Hamiltonian for the  $\pi$  electrons of naphthalene, we get



**FUNCTIONS BELONGING TO DIFFERENT SYMMETRY SPECIES DO NOT MIX**

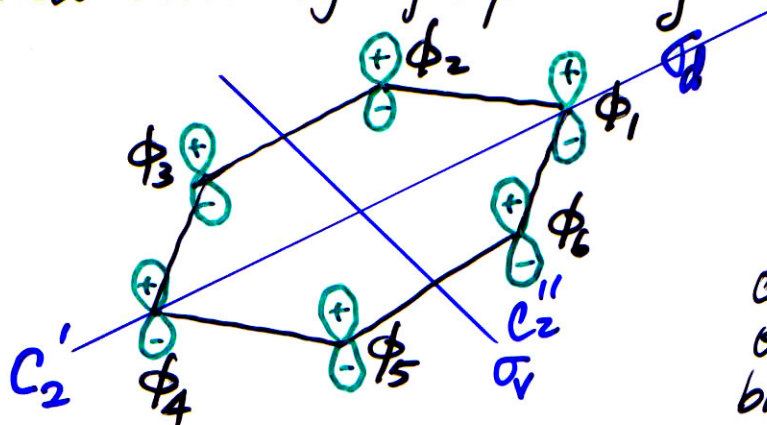
Now we need only to solve  $2 \times 2$  or  $3 \times 3$  blocks rather than  $10 \times 10$ .



## EXAMPLE:

Sometimes the MOLECULAR ORBITALS are determined entirely by symmetry:

$D_{6h}$



Use the 6 carbon  $2p_z$  orbitals as a basis for a representation  $\Gamma$

As we have seen in the naphthalene example, we can find the character of each symmetry operation in the reducible representation that can be generated from the basis  $\phi_1, \phi_2, \phi_3, \dots, \phi_6$  by looking only at the  $\phi_i$  that are NOT SHIFTED by the symmetry operation, i.e. they must lie on the axis, or plane of symmetry. See if they turn into themselves with or without a change in SIGN.

$D_{6h}$	E	$2C_6$	$2C_3$	$C_2$	$3C_2'$	$3C_2''$	i	$2S_3$	$2S_6$	$\sigma_h$	$3\sigma_d$	$3\sigma_v$
$\Gamma$	6	0	0	0	-2	0	0	0	0	-6	2	0

Decompose this into the IRREPS contained in  $\Gamma$  by

using 
$$a_i = \frac{1}{24} \sum_{R_{op}} \chi^{(i)*}(R_{op}) \chi^{\Gamma}(R_{op})$$

$$\Gamma = A_{2u} \oplus B_{1g} \oplus E_{1g} \oplus E_{1u}$$

In this case we can actually use a SUBGROUP  $C_6$  of group  $D_{6h}$  since it provides the same results and we can identify directly which SYMMETRY SPECIES in  $C_6$  group correspond to the  $A_{2u}, B_{1g}, E_{1g}$  and  $E_{1u}$  of the  $D_{6h}$  group

Group $C_6$	$E$	$C_6$	$C_3$	$C_2$	$C_3^2$	$C_6^5$
$R_{op} \phi_i =$	$\phi_1$	$\phi_2$	$\phi_3$	$\phi_4$	$\phi_5$	$\phi_6$
CHARACTERS A	1	1	1	1	1	1
B	1	-1	1	-1	1	-1
TOGETHER is PSEUDO E also	1a	1	$E$	$-E^*$	$-1$	$E^*$
a	1b	1	$E^*$	$-E$	$-1$	$E$
2a	2a	1	$-E^*$	$-E$	1	$-E^*$
2b	2b	1	$-E$	$-E^*$	1	$-E$

SYMMETRY SPECIES  
subgroup  $C_6$  group  $D_{6h}$

CHARACTER A	$A_{2u}$
B	$B_{1g}$
$E_{1g}$	$E_{1g}$
$E_{1u}$	
$E_{2g}$	
$E_{2u}$	$E_{2u}$

$$E \equiv e^{i2\pi/6} = \cos\left(\frac{2\pi}{6}\right) + i\sin\left(\frac{2\pi}{6}\right)$$

The IRREPS contained in  $\Gamma$

Create the projection operators

$$P_A = \frac{d_A}{h} \sum_{R_{op}} \chi^{A*}(R_{op}) R_{op}$$

from the character table

forget about this, need to normalize the SALCs later.

$\psi_{A_{2u}}$  comes from  $P_A \phi_1 = (1E\phi_1 + 1C_6\phi_1 + 1C_3\phi_1 + 1C_2\phi_1 + 1C_3^2\phi_1 + 1C_6^5\phi_1)$

all characters are 1 for symmetry species A

Can get SALCs by inspection of above table:

$$\psi_{A_{2u}} = (\phi_1 + \phi_2 + \phi_3 + \phi_4 + \phi_5 + \phi_6)$$

$$\psi_{B_{1g}} = (\phi_1 - \phi_2 + \phi_3 - \phi_4 + \phi_5 - \phi_6)$$

$$\psi_{E_{1ga}} = (\phi_1 + E\phi_2 - E^*\phi_3 - \phi_4 - E\phi_5 + E^*\phi_6)$$

$$\psi_{E_{1gb}} = (\phi_1 + E^*\phi_2 - E\phi_3 - \phi_4 - E^*\phi_5 + E\phi_6)$$

$$\psi_{E_{2ua}} = (\phi_1 - E^*\phi_2 - E\phi_3 + \phi_4 - E^*\phi_5 - E\phi_6)$$

$$\psi_{E_{2ub}} = (\phi_1 - E\phi_2 - E^*\phi_3 + \phi_4 - E\phi_5 - E^*\phi_6)$$

Can form 2 REAL functions instead of using these 2 COMPLEX functions

Ditto!

since

$$\frac{E + E^*}{2} = \cos\left(\frac{2\pi}{6}\right) = \frac{1}{2}$$

$$\frac{E - E^*}{2i} = \sin\frac{2\pi}{6} = \frac{\sqrt{3}}{2}$$

So take

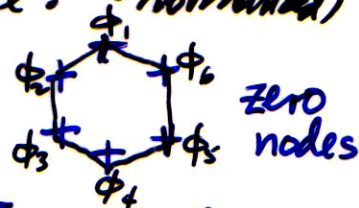
$$(\psi_{E_{1g}}^+)^{\text{real}} = \frac{1}{2}(\psi_{E_{1ga}} + \psi_{E_{1gb}}) \text{ normalize later}$$

and  $\psi_{E_{1g}}^- = \frac{1}{2i}(\psi_{E_{1ga}} - \psi_{E_{1gb}})$

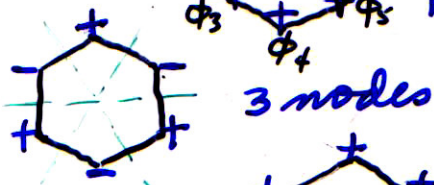


The final SALCs (all REAL functions) are: (not normalized)

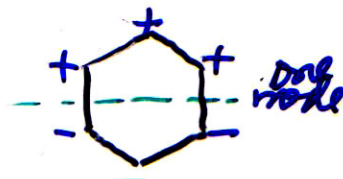
$$\psi_{A_{2u}} = (\phi_1 + \phi_2 + \phi_3 + \phi_4 + \phi_5 + \phi_6)$$



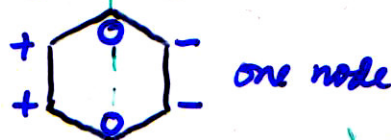
$$\psi_{B_{1g}} = (\phi_1 - \phi_2 + \phi_3 - \phi_4 + \phi_5 - \phi_6)$$



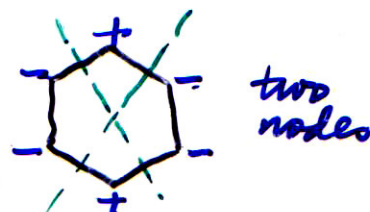
$$\psi_{E_{1g}}^+ = (\phi_1 + \frac{1}{2}\phi_2 - \frac{1}{2}\phi_3 - \phi_4 - \frac{1}{2}\phi_5 + \frac{1}{2}\phi_6)$$



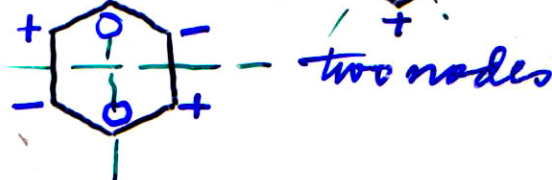
$$\psi_{E_{1g}}^- = (\phi_2 + \phi_3 - \phi_5 - \phi_6) \frac{1}{\sqrt{2}}$$



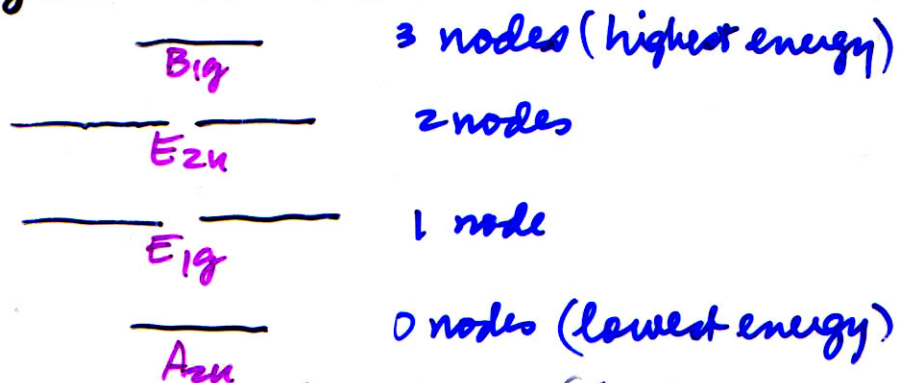
$$\psi_{E_{2u}}^+ = (\phi_1 - \frac{1}{2}\phi_2 - \frac{1}{2}\phi_3 + \phi_4 - \frac{1}{2}\phi_5 - \frac{1}{2}\phi_6)$$



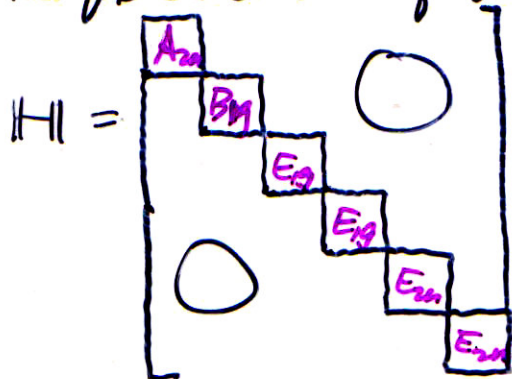
$$\psi_{E_{2u}}^- = (\phi_2 - \phi_3 + \phi_5 - \phi_6) \frac{1}{\sqrt{2}}$$



Energies are in the order:



If we use these functions (SALCs) as the complete set of basis functions with which to set up the Hamiltonian of the pi electrons of benzene, we get



Therefore these SALCs  
 $\psi_{A_{2u}}, \psi_{B_{1g}}, \psi_{E_{1g}}, \psi_{E_{1g}}, \psi_{E_{2u}}, \psi_{E_{2u}}$   
 are the MOLECULAR ORBITALS of the pi electrons of benzene

## SYMMETRY OPERATIONS on FUNCTIONS:

Consider some set of linearly independent functions  $\{F_1, F_2, \dots, F_j, \dots, F_n\}$

Let the symmetry operations of some particular point group be  $\{R, S, W, \dots\}$

In particular, let  $RS = W$

Let the effect of  $R$  on any  $F_j$  is to transform  $F_j$  into some linear combination of the functions

$F_1, F_2, \dots, F_n$

$$R F_j = \sum_{i=1}^n F_i r_{ij}$$

$r_{ij}$  are constants  
There are  $j=1, 2, \dots, n$   
such relations  
for  $R$

$$S F_j = \sum_{i=1}^n F_i s_{ij}$$

$$W F_j = \sum_{i=1}^n F_i w_{ij}$$

Let the square matrices  $R, S, W, \dots$  be made up from coefficients  $r_{ij}, s_{ij}, w_{ij}, \dots$

Then: The matrices  $\{R, S, W, \dots\}$  form a REPRESENTATION (generally reducible) of the point group



$$\begin{aligned}
 \text{Proof: } WF_j &= \sum_i^n F_i w_{ij} = R S F_j = R \sum_i^n F_i s_{ij} = \sum_i^n (R F_i) s_{ij} \\
 &= \sum_{i=1}^n \left( \sum_{k=1}^n F_k r_{ki} \right) s_{ij} = \sum_{i=1}^n \sum_{k=1}^n F_k r_{ki} s_{ij} \\
 &\quad \text{interchange dummy indices } i, k \\
 &= \sum_i^n F_i \sum_k r_{ik} s_{kj}
 \end{aligned}$$

$$\therefore w_{ij} = \sum_k r_{ik} s_{kj} \quad \text{since the } F_i \text{ are linearly independent}$$

$$W = R S$$

**Theorem:** Any set of  $n$  linearly independent functions that are transformed into linear combinations of one another by the **symmetry operations** of a group forms a basis for an  **$n$ -dimensional** representation of the group.

**ELECTRONIC OR VIBRATIONAL EIGENFUNCTIONS ARE BASES FOR IRREDUCIBLE REPRESENTATIONS:**

- The Hamiltonian  $\hat{H}$  commutes with any symmetry operation  $R$  since a symmetry operation of the point group to which the molecule belongs sends the molecular framework into a configuration physically **INDISTINGUISHABLE** from the original one, it does not affect either the electronic or the vibrational hamiltonian which is defined relative to the molecular framework

Non-degenerate case:

$$R \mathcal{H} \Psi_i = R E_i \Psi_i$$

$$\mathcal{H} \underline{R \Psi_i} = E_i \underline{R \Psi_i} \quad \mathcal{H} \text{ commutes with } R$$

$\therefore R \Psi_i$  is also a solution to the Schrödinger eqn  
Since  $R \Psi_i$  has to be normalized, given that  $\Psi_i$  is itself already normalized, then  
 $R \Psi_i = \pm \Psi_i$

$\therefore$  For each non-degenerate eigenvalue we can generate a representation of the group with 1-dimensional matrices having matrix elements 1 or -1, that is, a one-dimensional IRREDUCIBLE REPRESENTATION of the point group of the molecule.

Degenerate case:  $l_\alpha$ -fold degenerate with energy eigenvalue  $E_\alpha$   
 $\mathcal{H} \Psi_{\alpha j} = E_\alpha \Psi_{\alpha j} \quad j=1, 2, 3, \dots, l_\alpha$  partner functions

Any linear combinations of  $\Psi_{\alpha j}$  are also solutions of  $\mathcal{H}$  with the same energy eigenvalue  $E_\alpha$

Apply a Symmetry operation  $R$  on one of the functions  $\Psi_{\alpha j}$

$$\mathcal{H} \underline{R \Psi_{\alpha j}} = E_\alpha \underline{R \Psi_{\alpha j}}$$

$$\downarrow$$
$$\sum_{i=1}^{l_\alpha} \Psi_{\alpha i} r_{ij}$$



For some other symmetry operation  $S$

$$S \Phi_{\alpha j} = \sum_i^{\ell_{\alpha}} \Phi_{\alpha i} s_{ij}$$

$$W \Phi_{\alpha j} = \sum_i^{\ell_{\alpha}} \Phi_{\alpha i} w_{ij} = RS \Phi_{\alpha j}$$

As before, we find  $w_{ij} = \sum_k r_{ik} s_{kj}$  or  $W = RS$

Thus, the functions  $\Phi_{\alpha j}$   $j = 1, 2, \dots, \ell_{\alpha}$  together form a basis for the reducible or irreducible  $\ell_{\alpha}$ -dimensional representation of the point group of the molecule. That is, we can write

$$R \Phi_{\alpha j} = \sum_{i=1}^{\ell_{\alpha}} \Phi_{\alpha i} \Gamma_{\alpha}(R)_{ij}$$

If reducible then can always reduce to block-diagonal form by a similarity transformation which converts all of  $\{R, S, W, \dots\}$  into the same block diagonal form by  $C^{-1}RC$ ,  $C^{-1}SC$ , etc.

The new set of functions divide up into subsets whose members transform among themselves under the action of the symmetry operations  $\{R, S, W, \text{etc.}\}$

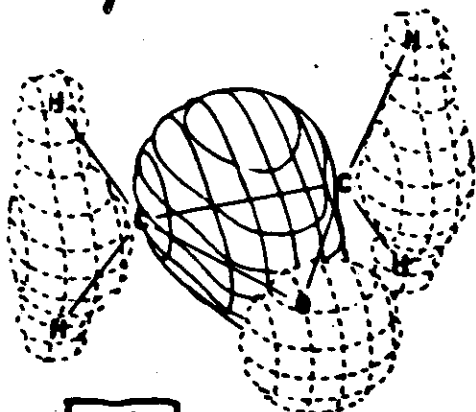
### SUMMARY:

- The NONDEGENERATE electronic or vibrational eigenfunctions of a molecule are BASES for one-dimensional IRREPS of the molecular point group.
- The  $\ell_{\alpha}$ -fold DEGENERATE functions are BASES for  $\ell_{\alpha}$ -dimensional IRREPS of the molecular point group.

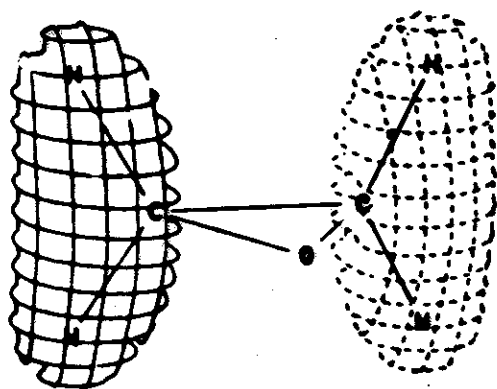
$C_{2v}$	$E$	$C_2$	$\sigma_v(xz)$	$\sigma_v(yz)$		
$A_1$	1	1	1	1	$z$	$x^2, y^2, z^2$
$A_2$	1	1	-1	-1	$R_z$	$xy$
$B_1$	1	-1	1	-1	$x, R_y$	$xz$
$B_2$	1	-1	-1	1	$y, R_x$	$yz$

$C_{2h}$	$E$	$C_2(z)$	$\sigma_h$	$i$	$xy$ plane	
$A_g$	1	1	1	1	$R_z$	$x^2, y^2, z^2, xy$
$B_g$	1	-1	1	-1	$R_x, R_y$	$xz, yz$
$A_u$	1	1	-1	-1	$z$	
$B_u$	1	-1	-1	1	$x, y$	

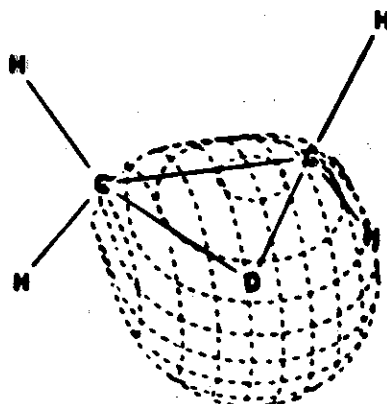
ethylene oxide  
C-O 42 plane



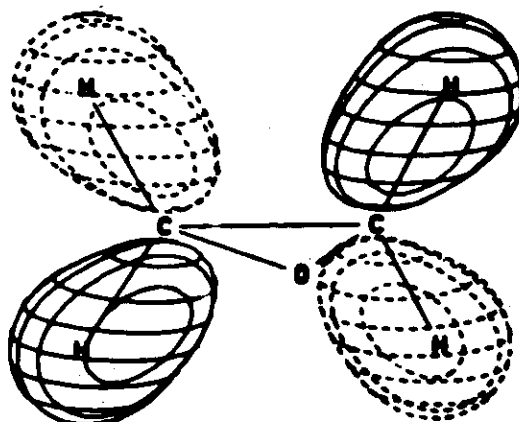
$A_1$   $E = -0.6351$  0



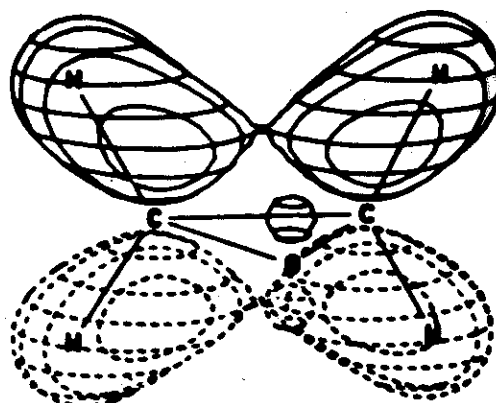
$B_2$   $E = -0.8718$  0  $CH_2$



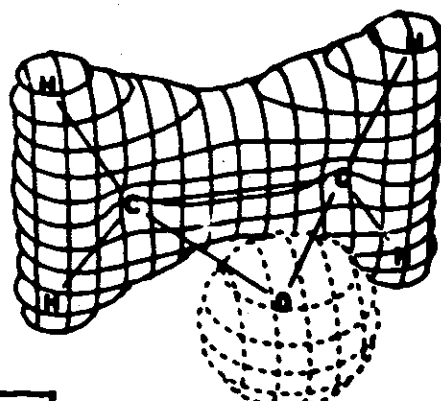
$A_1$   $E = -1.4284$  0  $CO$ , 0  $CC$



$A_2$   $E = -0.5531$  0  $CH_2$



$B_1$   $E = -0.7182$  0  $CH_2$



$A_1$   $E = -0.9389$  0  $CC$ , 0  $CH_2$ , 0

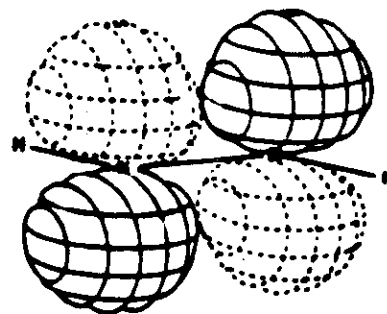
16 electrons

MOLECULAR ORBITAL DRAWINGS

. Dinitride

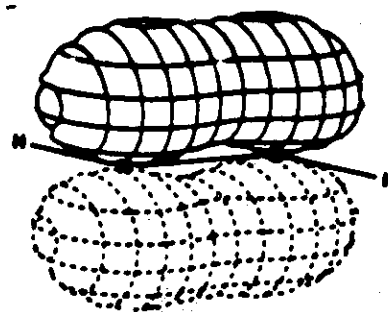
$C_{2h}$

$B_g$



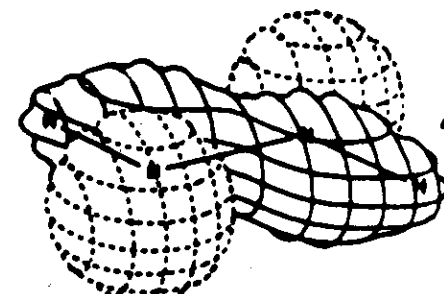
$1B_g$   $E = 0$

$B_u$



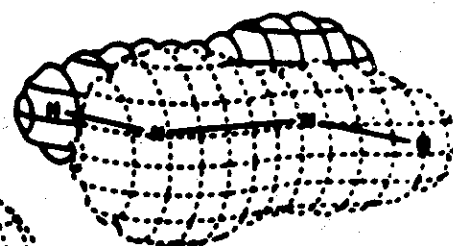
$1B_u$   $E = -0.5292$   $E_{\text{non}}$

$A_g$



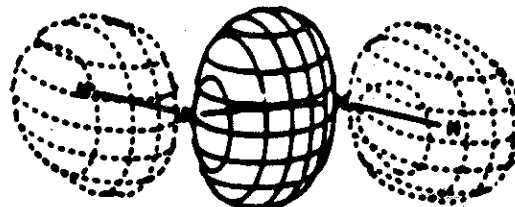
$4A_g$   $E = -0.4027$   $E_{\text{non}}$

$A_u$

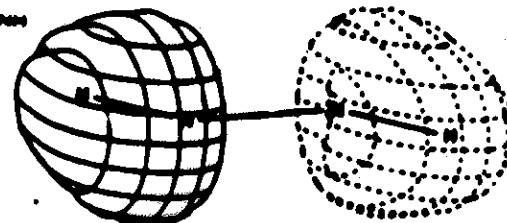


$3A_u$   $E = -0.6463$   $E_{\text{non}}$

$A_g$

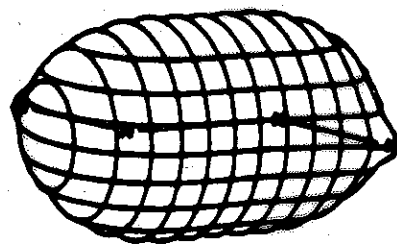


$3A_g$   $E = -0.6809$   $E_{\text{non}}$   $E_{\text{non}}$



$2A_u$   $E = -0.9568$   $E_{\text{non}}$

$A_u$



$2A_g$   $E = -1.3945$   $E_{\text{non}}$   $E_{\text{non}}$

$A_g$

\_\_\_\_\_  $1B_g$

\_\_\_\_\_  $4A_g$   $-0.4027$

\_\_\_\_\_  $1B_u$   $-0.5292$

=====  $3A_u$   $-0.6463$   
=====  $3A_g$   $-0.6809$

\_\_\_\_\_  $2A_u$   $-0.9568$

\_\_\_\_\_  $2A_g$   $-1.3945$

---  $1A_u$  } 4 electrons in 1s  
---  $1A_g$  } shells of  
              N atoms

## DIRECT PRODUCTS -

Can form direct products of groups

" " " functions

" " " matrices

" " " representations

The direct product of 2 square matrices  $A$  and  $B$  of dimension  $m$  and  $n$  respectively is a matrix  $C$  of dimension  $mn$  such that

$$C_{\substack{ik \\ \text{row} \\ ik}}; jl = A_{ij} B_{kl}$$

Example:

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \otimes \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} =$$

$$\begin{array}{cc|cc} \text{col}_{11} & \text{col}_{12} & \text{col}_{21} & \text{col}_{22} \\ \hline a_{11}b_{11} & a_{11}b_{12} & a_{12}b_{11} & a_{12}b_{12} \\ a_{11}b_{21} & a_{11}b_{22} & a_{12}b_{21} & a_{12}b_{22} \\ \hline a_{21}b_{11} & a_{21}b_{12} & a_{22}b_{11} & a_{22}b_{12} \\ a_{21}b_{21} & a_{21}b_{22} & a_{22}b_{21} & a_{22}b_{22} \end{array}$$

$$\text{trace of } a = a_{11} + a_{22}$$

$$\text{trace of } b = b_{11} + b_{22}$$

$$\text{Trace} = (a_{11} + a_{22})(b_{11} + b_{22})$$

columns or rows of the direct product matrix are numbered as

11 12 13 14 ... 21 22 23 24 ...

row 11 of direct product matrix = row 1 x row 1

- Theorem:

The characters of the direct product representation are equal to the products of the characters of the representations based on the individual sets of functions.



$$R F_i = \sum_{j=1}^m F_j x_{ji}$$

$$R G_k = \sum_{l=1}^n G_l y_{lk}$$

Then

$$R(F_i G_k) = (R F_i)(R G_k) = \sum_{j=1}^m \sum_{l=1}^n \underbrace{F_j G_l}_{\text{basis}} \underbrace{x_{ji} y_{lk}}_{\substack{\uparrow \\ \text{elements} \\ \text{of the direct} \\ \text{product of} \\ \text{matrices } x \text{ and } y}}$$

The product functions  $F_i G_k$  then form a basis for a  $m \times n$  representation of the group, since the product functions are transformed into linear combinations of one another by the symmetry operations of the group.

Can form direct products of two groups

$$\begin{aligned} C_{nh} &= C_n \otimes C_s \quad \text{for odd } n \\ C_{nh} &= C_n \otimes C_i \quad \text{for even } n \\ D_{nh} &= D_n \otimes C_i \end{aligned}$$

The operations  $R_i S_j$  form a group

The direct products of the matrices which are the reps of the groups form a representation of the direct product group



The direct product enables one to find the rep of a wfns when the reps of its factors are known.

Examples

$$\Psi_{\text{vib}} = \underbrace{\psi_{\text{HO}}(Q_1)}_{\text{different IRREPS}} \cdot \underbrace{\psi_{\text{HO}}(Q_2)}_{\text{different IRREPS}} \cdot \dots$$

$\Psi_{\text{electronic}} =$

$$(1a_1)^2 (2a_1)^2 (1b_2)^2 (3a_1)^2 (1b_1) (4a_1)$$

Take the direct products to find sym. species of electronic states.

Take the direct product to find sym. species of  $\Psi_{\text{vib}}$

Theorem: The direct product of two irreducible reps  $\Gamma_\alpha$  and  $\Gamma_\beta$  contains the totally symmetric IRREP if and only if  $\Gamma_\alpha$  is the complex conjugate of  $\Gamma_\beta$ .

(By complex conjugate rep. we mean the rep whose matrices are the complex conjugates of the original rep)

Proof: The characters of the totally symmetric IRREP are all 1 ( $A, A_1, A_{1g}, A_g, A_1'$ )

Find the no. of times the totally sym. irrep. occurs

in  $\Gamma_\alpha \otimes \Gamma_\beta$  :

$$a_{A_{1g}} = \frac{1}{h} \sum_R \chi(R) \cdot \chi_{A_{1g}}^*(R) = \frac{1}{h} \sum_R \chi(R)$$

But  $\chi_{\alpha \otimes \beta}(R) = \chi_\alpha(R) \cdot \chi_\beta(R)$   
 can be written as  $[\chi_\alpha^*(R)] \cdot \chi_\beta(R)$

in terms of characters of  $\Gamma_{\alpha^*}$

However, LOT says

$$\sum_R \chi_i(R)^* \chi_j(R) = h \delta_{ij}$$

Therefore

$$a_{A_{1g}} = \frac{1}{h} \sum_R (\chi_{\alpha^*}(R))^* \chi_\beta(R) = \delta_{\alpha^* \beta}$$

which says that the totally symmetric irrep is contained in the direct product of two IRREPs iff they are complex conjugates of each other.

What about the direct product of several IRREPs?

$$\Gamma_\alpha \otimes \Gamma_\beta \otimes \Gamma_\phi = (\underbrace{\Gamma_\alpha \otimes \Gamma_\beta}_{\text{maybe reducible}}) \otimes \Gamma_\phi$$

IRreducible

Thus, the product contains the totally symmetric IRREP if

a)  $\Gamma_\phi^*$  is contained in the  $\Gamma_\alpha \otimes \Gamma_\beta$  product

That is, if  $\Gamma_\alpha \otimes \Gamma_\beta$  is reducible, one of the IRREPs which can be blocked out of it must be  $\Gamma_\phi^*$

b) Alternatively,  $\Gamma_\alpha^*$  is contained in the direct product  $\Gamma_\beta \otimes \Gamma_\phi$

or  $\Gamma_\beta^*$  is contained in the direct product  $\Gamma_\alpha \otimes \Gamma_\phi$ .

Consider the integral

$$\int f_A^* f_B \, d\tau$$

An integral should be invariant (even in sign) to all symmetry operations. This means that an integral forms a basis for the totally symmetric irrep of the group, that is  $A, A_1, A_g, A_{1g}$ . The integral must be zero unless the integrand is totally symmetric or contains the totally symmetric IRREP.

Thus, The integral is zero unless  $\Gamma_A^* \otimes \Gamma_B$  contains the totally symmetric IRREP.

# DIRECT PRODUCTS OF REPS

The over-all molecular wavefunction is the PRODUCT

$$\Psi = \psi_{\text{vib}} \cdot \psi_{\text{rot}} \cdot \psi_{\text{electronic}} \cdot \psi_{\text{nuclear spin}}$$

which can be classified according to the IRREPS (Symmetry Species) of the molecular point group. To find out WHICH ONE? we need to form

**DIRECT PRODUCTS** of REPRESENTATIONS.

**Theorem:** THE CHARACTERS of the DIRECT PRODUCT REPRESENTATION are equal to the products of the characters of the representations based on the individual sets of functions

Example:  $E_{1u} \otimes E_{1u}$  in group  $D_{6h}$  order = 24

$$R_{op} = E \quad 2C_6 \quad 2C_3 \quad C_2 \quad 3C_2' \quad 3C_2'' \quad i \quad 2S_3 \quad 2S_6 \quad \sigma_h \quad 3\sigma_d \quad 3\sigma_v$$

$$\chi(E_{1u}) = 2 \quad 1 \quad -1 \quad -2 \quad 0 \quad 0 \quad -2 \quad -1 \quad 1 \quad 2 \quad 0 \quad 0$$

$$\chi(E_{1u} \otimes E_{1u}) = 4 \quad 1 \quad 1 \quad 4 \quad 0 \quad 0 \quad 4 \quad 1 \quad 1 \quad 4 \quad 0 \quad 0$$

This is obviously a REDUCIBLE rep. so we apply the recipe:

$$a_i = \frac{1}{h} \sum_{R_{op}} \chi^{(i)*}(R_{op}) \chi(R_{op})$$

and we will get

$$a_{A_{1g}} = \frac{1}{24} [4 \cdot 1 + 2(1 \cdot 1) + 2(1 \cdot 1) + 4 \cdot 1 + 0 + 0 + 4 \cdot 1 + 1 \cdot 1 + 1 \cdot 1 + 4 \cdot 1 + 0 + 0] = 1 \text{ etc}$$

In fact we get

$$\underbrace{E_{1u} \otimes E_{1u}}_{\text{Order 4}} = A_{1g} \oplus A_{2g} \oplus E_{2g}$$

We can take direct products of more than two representations in the same way:

$$\chi(E_{1u} \otimes A_{2g} \otimes E_{2g})_{R_{op}} = \chi_{E_{1u}}(R_{op}) \cdot \chi_{A_{2g}}(R_{op}) \cdot \chi_{E_{2g}}(R_{op})$$

Generally, the DIRECT products of representations are reducible reps of dimension = products of dimensions of the individual ones.

$$A \otimes A \text{ or } B \otimes B \rightarrow A$$

$$A \otimes B \rightarrow B$$

$$\Gamma_g \otimes \Gamma_g \text{ or } \Gamma_u \otimes \Gamma_u \rightarrow \Gamma_g$$

$$\Gamma_g \otimes \Gamma_u \rightarrow \Gamma_u$$

$$\Gamma_1 \otimes \Gamma_1 \text{ or } \Gamma_2 \otimes \Gamma_2 \rightarrow \Gamma_1 \quad \text{similarly for } \Gamma_1' \text{ and } \Gamma_1''$$

$$\Gamma_1 \otimes \Gamma_2 \rightarrow \Gamma_2$$

**THEOREM: THE DIRECT PRODUCT of two IRREPS**

$\Gamma_\alpha \otimes \Gamma_\beta$  **CONTAINS the TOTALLY SYMMETRIC IRREP** ( $A, A_{1g}, A_1, A_g$  etc) **IF and ONLY IF**

$\Gamma_\alpha$  is the **COMPLEX CONJUGATE** of  $\Gamma_\beta$ , that is  $\Gamma_\alpha^* = \Gamma_\beta$  or if **REAL**, **IF and ONLY IF**  $\Gamma_\alpha = \Gamma_\beta$ .

For direct product of several IRREPS:

$$\Gamma_\alpha \otimes \Gamma_\beta \otimes \Gamma_\phi$$

may be reducible

contains the **TOTALLY SYMMETRIC IRREP** if when  $\Gamma_\alpha \otimes \Gamma_\beta$  blocks out into IRREPS one of which is  $\Gamma_\phi^*$

Consider the integral:

$$\int_A f_A^* f_B dt$$

→ SHOULD BE INVARIANT even in SIGN to ALL asymmetry operations, since symmetry operations do nothing more than change the defined orientation of the Cartesian axes.

THIS means the INTEGRAL must ~~BE ZERO~~ unless the integrand is **TOTALLY SYMMETRIC** or contains the IRREPS  $A, A_1, A_{1g}, A_1, \text{ or } A_g$  !!!



## Application of direct products:

1.  $\int \psi_i^* H \psi_j d\tau = ?$

$H$  belongs to the TOTALLY SYMMETRIC IRREP since the energy can not change in either sign or magnitude as a result of a symmetry operation. Thus, the symmetry of the integrand depends on the representations to which  $\psi_i^*$  and  $\psi_j$  belong. Thus,  $\int \psi_i^* H \psi_j d\tau \neq 0$  only if  $\psi_i^*$  and  $\psi_j$  belong to the same IRREP.

2.  $\int \psi_i^* \times \psi_j d\tau \neq 0$  only if  $\Gamma_i^* \otimes \Gamma_j \otimes \Gamma_x$

contains the totally symmetric IRREP

that is,  $\Gamma_x \otimes \Gamma_j$  contains  $\Gamma_i$

or  $(\Gamma_i^* \otimes \Gamma_j)$  contains the IRREP  $\Gamma_x^*$

## 3. Expectation values

$$\int \psi_i^* \begin{pmatrix} u_x \\ u_y \\ u_z \end{pmatrix} \psi_i d\tau \neq 0 \text{ only if } \Gamma_x \text{ belongs to } A, A_1, A_2, A_3 \text{ etc.}$$

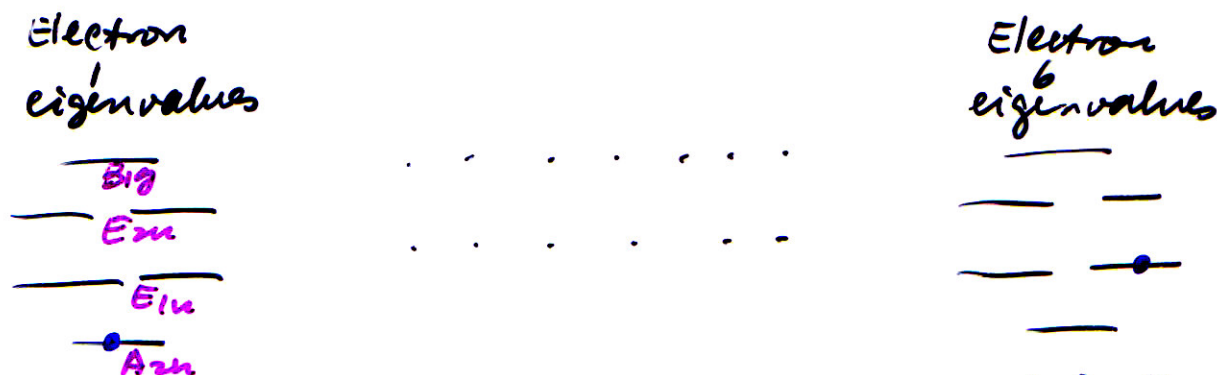
since  $\psi_i^* \psi_i$  belongs to the totally symmetric IRREP

IF the pi electrons are NON-INTERACTING with each other and other electrons (sigma) of benzene, then: we can write a simple sum

$$H = H(1) + H(2) + H(3) + H(4) + H(5) + H(6) + H(\text{others})^{\text{sigma}}$$

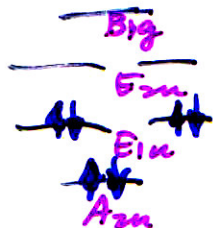
of one-electron hamiltonians  $H(i)$  etc.

This is the basis of MOLECULAR ORBITAL THEORY.



Put them (all 6) on the same picture, for example for the ground state:

Total energy = simple sum of these energies



Wavefunction is a product

$$\Psi(1,2,3,4,5,6) = \psi_{A_{2u}}(1) \cdot \psi_{A_{2u}}(2) \cdot \psi_{E_{1u}}^+(3) \cdot \psi_{E_{1u}}^-(4) \cdot \psi_{E_{2u}}^-(5) \cdot \psi_{E_{2u}}^-(6)$$

multiplied by spin functions  
 $\alpha(1) \cdot \beta(2) \cdot \alpha(3) \cdot \beta(4) \cdot \alpha(5) \cdot \beta(6)$

Question: What then is the SYMMETRY SPECIES for the ground state of benzene?

Answer: We need to take DIRECT PRODUCTS of the IRREPS to find the correct SYMMETRY SPECIES

$$A_{2u} \otimes A_{2u} \otimes E_{1u} \otimes E_{1u} \otimes E_{1u} \otimes E_{1u} = ? \quad \text{answer } A_{1g}$$

From these  
How to find the result

$D_{6h}$	$E$	$2C_6$	$2C_3$	$C_2$	$3C_2'$	$3C_2''$	$i$	$2S_6$	$2S_6$	$\sigma_h$	$3C_2$	$3C_2$		
$A_{1g}$	1	1	1	1	1	1	1	1	1	1	1	1	$R_z$	$(x^2 + y^2, z^2) A_{1g}$
$A_{2g}$	1	1	1	1	-1	-1	1	1	1	1	-1	-1		
$B_{1g}$	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1		
$B_{2g}$	1	-1	1	-1	-1	1	1	-1	1	-1	-1	1		
$E_{1g}$	2	1	-1	-2	0	0	2	1	-1	-2	0	0	$(R_x, R_y)$	$(xz, yz) E_{1g}$
$E_{2g}$	2	-1	-1	2	0	0	2	-1	-1	2	0	0		$(x^2 - y^2, xy) E_{2g}$
$A_{1u}$	1	1	1	1	1	1	-1	-1	-1	-1	-1	-1	$\Gamma_z$	
$A_{2u}$	1	1	1	1	-1	-1	-1	-1	-1	-1	1	1		$z$
$B_{1u}$	1	-1	1	-1	1	-1	-1	1	-1	1	-1	1		
$B_{2u}$	1	-1	1	-1	-1	1	-1	1	-1	1	1	-1	$(x, y)$	
$E_{1u}$	2	1	-1	-2	0	0	-2	-1	1	2	0	0		
$E_{2u}$	2	-1	-1	2	0	0	-2	1	1	-2	0	0		

For example, benzene has a ground electronic state  $A_{1g}$  (as we have seen already). Then the UV-visible spectra of benzene (electronic transitions) will depend on the transition probability integral

$$\int \Psi_{A_{1g}}^* (x \text{ or } y \text{ or } z) \Psi_{\text{excited}} d\tau$$

This integral will be NON-ZERO IF AND ONLY IF

$$\Gamma_{\text{excited state}} = \Gamma_x \text{ or } \Gamma_y \text{ or } \Gamma_z \text{ which in this}$$

case ( $D_{6h}$ ) happen to be  $A_{2u}$  and  $E_{1u}$ .

This means that the UV-Vis spectra of benzene will consist of transitions from the ground state to those excited states that are of the SYMMETRY SPECIES  $A_{2u}$  and  $E_{1u}$ . Furthermore, the ground vibrational state of benzene being also  $A_{1g}$ , the INFRARED spectra of benzene will include only those bands corresponding to upper vibrational states that are  $A_{2u}$  and  $E_{1u}$ .

3. RAMAN SPECTRA depend on electric dipole polarizabilities which are expressed in terms of the operators  $x^2, xy, xz, y^2, yz, z^2$ . Again, the ground vibrational state of benzene being  $A_{1g}$ , the RAMAN spectra of benzene will include only those bands corresponding to excitation to vibrational states that are  $\Gamma_{x^2}$  or  $\Gamma_{xy}$  or .... that is,  $A_{1g}, E_{1g}, E_{2g}$  only.



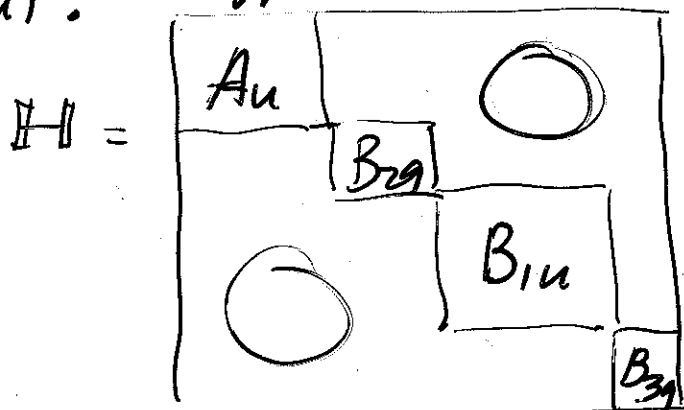
# EXAMPLES:

1.  $\int \psi_i^* H \psi_j d\tau = ?$   
HAMILTONIAN MATRIX

The Hamiltonian  $H$  belongs to  $A, A_1, A_2, A_1',$  or  $A_{1g}$  since the ENERGY cannot change in either SIGN or MAGNITUDE as a result of a symmetry operation. Thus, the symmetry of the integrand, in this example, depends on the IRREPS to which  $\psi_i^*$  and  $\psi_j$  belong. Thus,

$\int \psi_i^* H \psi_j d\tau \neq 0$  IF AND ONLY IF  $\psi_i$  and  $\psi_j$  belong to the SAME IRREP, i.e. if  $\Gamma_i^* = \Gamma_j$ .

Therefore the  $H$  matrix in the basis of SALCs belonging to the different IRREPS will always BLOCK OUT:



GROUP THEORY CAN TELL US WHEN MATRIX ELEMENTS ARE NECESSARILY ZERO.

(provided the SALCs that belong to the same IRREP are arranged next to each other, the blocking out will be as obvious as shown above)

2.  $\int \psi_i^* x \psi_j d\tau = ?$  or  $\int \psi_i^* y \psi_j d\tau = ?$  or  $\int \psi_i^* z \psi_j d\tau = ?$   
ELECTRIC DIPOLE TRANSITIONS

are the integrals related to electronic transition probabilities. The first is NON-ZERO IF AND ONLY IF

$\Gamma_i^* \otimes \Gamma_x \otimes \Gamma_j$  contains  $A, A_1, A_2, A_1',$  or  $A_{1g}$

that is, IF AND ONLY IF  $\Gamma_i^* \otimes \Gamma_j$  contains the IRREP  $\Gamma_x$

THE SAME ARGUMENTS CAN BE USED FOR THE  $y$  and  $z$  integrals

1. INTRODUCTION TO QUANTUM MECHANICS
2. ANGULAR MOMENTUM
3. THE HYDROGEN ATOM
4. MATRIX REPRESENTATION OF QUANTUM MECHANICS
5. ELECTRONIC STRUCTURE OF ATOMS
6. APPROXIMATION METHODS
7. DIATOMIC MOLECULES
8. MOLECULAR SPECTROSCOPY

8.1 Nature of electromagnetic radiation, the time dependent  $E$  and  $B$  fields

8.2 Quantum theory of absorption/emission of radiation: Fermi's golden rule

8.3 Einstein's coefficients for stimulated absorption/emission and Lambert-Beer law

8.4 Selection rules and transition moments for electric dipole transitions

8.5 Molecular energy levels and states

8.6 Transitions between different electronic states

8.7 Transitions within the same electronic state: vibration-rotation spectroscopy

8.8 Symmetry of states of polyatomic molecules

**8.9 Vibration-rotation spectroscopy of polyatomics**

Continuing) B. SAME ELECTRONIC STATE

b) DIFFERENT VIBRATIONAL STATES (INFRARED SPECTRUM)

## POLYATOMIC MOLECULES

Replace  $U(R) = U(R_e) + \left(\frac{dU}{dR}\right)_{R_e}(R-R_e) + \dots$

by (for the x component):

$$U_x = U_x(\text{at equil. geometry}) + \sum_k \left(\frac{\partial U_x}{\partial Q_k}\right)_{eq} Q_k + \dots$$

where

$Q_k$  is the SIMULTANEOUS DISPLACEMENTS of ALL NUCLEI in the molecule FROM THEIR EQUILIBRIUM POSITIONS. EACH  $Q_k$  BELONGS to an IRREP of the point group of the molecule.

$U_x$  itself belongs to the same IRREP as the coordinate x call it  $\Gamma_x$

$$\left(\frac{\partial U_x}{\partial Q_k}\right)_{eq} = 0 \text{ unless } Q_k \text{ BELONGS to } \Gamma_x$$

Thus, instead of the term  $\left(\frac{dU}{dR}\right)_{R_e} \int \psi_{v''}(R-R_e) \psi_{v'} d\tau_{vib}$  we will have for polyatomic molecules the term:

$$\sum_k \underbrace{\left(\frac{\partial U_x}{\partial Q_k}\right)_{eq}}_{\text{NON-ZERO ONLY IF}} \int \psi_{v''} \underbrace{Q_k}_{\text{BELONGS TO } \Gamma_x} \psi_{v'} d\tau$$

$Q_k$  BELONGS TO  $\Gamma_x$  IRREP.

which is

NON-ZERO ONLY IF

$$\Gamma_{v''} \otimes \Gamma_x \otimes \Gamma_{v'}$$

yields the TOTALLY SYMMETRIC IRREP ( $A, A_1, A_g, A_g, \dots$ )

of the point group of the molecule, that is, if

$$\Gamma_{v''} \otimes \Gamma_{v'} = \Gamma_x$$

...similarly for y and z dipole moment components.

For a molecule in its ground (lowest energy) state

$$\Gamma_{v_k''} = A \text{ or } A_1 \text{ or } A_{1g} \text{ or } A_1' \text{ or } A_g \quad (v_k'' = 0)$$

$$\Gamma_{v_k'} = \Gamma_{Q_k} \text{ if } v_k' = 1$$

$$\text{or } = \underbrace{\Gamma_{Q_k} \otimes \Gamma_{Q_k} \otimes \Gamma_{Q_k} \dots}_{v_k' \text{ times}}$$

For  $v_k'' = 0$  to  $v_k' = 1$  (that is, for a "FUNDAMENTAL" vibrational band to be observed in the INFRARED),  $A_{1g} \otimes \Gamma_{Q_k} \otimes \Gamma_{Q_k}$  must belong to  $A_{1g}$ ,  
 or  $\Gamma_{Q_k} = \Gamma_x \text{ or } \Gamma_y \text{ or } \Gamma_z$

Just as for diatomic molecules, other transitions can be observed, corresponding to

$\Delta v = \pm 2$  "FIRST OVERTONE"

$\Delta v = \pm 3$  "SECOND OVERTONE" etc

but in every case it must be true that

$$\underbrace{\Gamma_{v_k''}}_{\text{initial vibrational state}} \otimes \underbrace{\Gamma_{v_k'}}_{\text{final vibrational state}} = \Gamma_x \text{ or } \Gamma_y \text{ or } \Gamma_z$$